



Engineering Specification

Lenovo Engineering Specification 41A7731

Baseline Environmental Requirements for Lenovo Products, Materials and Parts

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5.0 Revision History

| Date | EC Level | Change Summary |
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| 2008-11-19 | M07196M | Modified document Title. Table 1 Changed references to PCD to SMD (Supplier Material Declaration) Asbestos - description changed to be consistent with IPC-1752 Brominated Flame Retardants - add "Intentionally Added" and remove all other comments. Mercury/Mercury Compounds - change the description to "Not present except in lambs"; consistent with Table 3. Hexavalent Chromium/Hexavalent Chromium - changed the description to be consistent with description in 41A7733; consistent with Table 3. Polyvinyl chloride (PVC) – removed threshold limit; changed description to reflect no usage in external plastic covers; consistent with Table 3. Polycyclic Aromatic Hydrocarbons (PAH) – moved requirements from Table 3 Red Phosphorous (Red-P) flame retardants – changed description Table 2 "Low Halogen" Substance Requirements – changed description Table 3 Antimony/Antimony Compounds – added phase-out target Beryllium/Beryllium Compounds – added phase-out target Brominated / Chlorinated Flame Retardants (other than PBBs or PBDEs) – changed description to be consistent with Table 3. Change the <i>Copyright Lenovo Corp. 2006 to Copyright Lenovo Corp. 2008</i> EC release dates added under the EC numbers. 2.4.2.4 Additional Requirements for Taiwan – revised to reflect recent updates Figure 4. Four-in-One recycling symbol for Taiwan – updated symbol 2.4.2 Product Design and Labeling Requirements for Batteries - "EU" notation added to EU Battery Directive Mark per EPBA recommendation. |
| 2009-02-10 | M07339F | Added REACH requirements and information. Updated reference documents. Updated BFR/PVC phaseout plans (beginning 2011); criteria and requirements. Updated rechargeable battery labelling requirements for Japan. Added Product Energy requirements for monitors, external power adapters, PC's (China, Korea, EU, Switzerland, Australia, New Zealand). |
| 2012-09-26 | Version 6.0 | Updated China WEEE and National Standards reference documents Updated Restricted Substances listings Added Additional Requirements for Children's Products Updated REACH/SVHC information Updated Battery restrictions for non-removable and button cell batteries Update Battery labeling requirements Update WEEE marking label (remove EU Only) Update China WEEE information and requirements Updated China Product Energy requirements Updated EuP/ErP product energy efficiency requirements Updated External Power Supply (EPS) for Australia, New Zealand, US/DOE, Canada, China. Added California Battery Charger requirements Added Annex DD SVHC Candidate List (June 2012) |
| 2012-10-10 | Version 6.1 | Added Annex EE. Hydrofluorocarbons (HFCs) Correctd Desktop/AIO, Notebook Frame Buffer Width criteria in Table 12. |

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| 2013-09-26 | Version 6.2 | <p>Table 1 Updates:</p> <p>Short Chain Chlorinated Paraffins reference to PoPs convention added.</p> <p>Perfluorooctane sulfonates(PFOS) reference to PoPs convention added.</p> <p>Lead carbonates and Lead sulphates reference to REACH annex XVII added.</p> <p>Monomethyl — tetrachlorodiphenyl methane reference to REACH annex XVII added.</p> <p>Monomethyl-dichloro-diphenyl methane reference to REACH annex XVII added.</p> <p>Monomethyl-dibromo-diphenyl methane reference to REACH annex XVII added.</p> <p>2-(2-butoxyethoxy)ethanol(CAS No. : 112-34-5) prohibited no more than 3% by weight by REACH annex XVII added.</p> <p>Dibutyltin (DBT) reference to REACH annex XVII added.</p> <p>Dioctyltin (DOT reference to REACH annex XVII added.</p> <p>TBT and TPT reference to REACH annex XVII added.</p> <p>CAS number for Dimethyl fumarate corrected.</p> <p>Polychlorinated biphenyls(PCBs) Annex reference corrected.</p> <p>JIG 101 Level A definition/references updated to edition 4.1 ,</p> <p>EU Regulation (EC) No 1907/2006 and Annex XVII replaces Directive 76/769/EEC, Marketing and Use of Dangerous Substances. Updated Table 1 references.</p> <p>Corrected Annex references to Polybrominated biphenyls, Polychlorinated biphenyls.</p> <p>Updated requirements for Halogenated diphenyl methanes (refer to Annex D).</p> <p>RoHS definition/references updated to 2011/65/EU.</p> <p>WEEE definition/references updated to 2012/19/EU.</p> <p>Updated and included other jurisdictions with RoHS requirements.</p> <p>Updated RoHS exemption list per Directive 2011/65/EU.</p> <p>Updated REACH SVHC listing per 20 June 2013 Candidate List.</p> <p>Added Annex EE. List of substances subject to REACH Authorisation (current as of the date of this specification).</p> <p>Hydrofluorocarbons (HFCs) listing moved to Annex FF.</p> <p>Added requirements for Australia and New Zealand MEPS.</p> <p>Updated EPEAT logo images, specifications, and guidelines.</p> |
| 2014-06-22 | Version 6.3 | <p>Added definition of EEE (Section 1.2)</p> <p>Table 1 Updates: Acids generated from chromium trioxide and their oligomers, Ammonium dichromate, Biocidal product, chromium trioxide, Hexavalent chromium restriction for leather, Potassium chromate, Potassium dichromate, Sodium chromate, Sodium dichromate, Trichloroethylene</p> <p>Table 3 Updates:</p> <p>Regulatory or other references changed to Examples of Industry Uses / Comments</p> <p>1, 2, 3-Trichlorobenzene, 1, 2, 4-Trichlorobenzene, 2,3-Dibromo-1-propanol, 2,4-Dinitrotoluene, 2-Butanone oxime, 2-Ethylhexyl-2,3,4,5-tetrabromobenzoate (TBB), 4, 4'-Diaminodiphenylmethane (MDA), Arsenic pentaoxide, Arsenic trioxide, Beryllium (CAS 7440-41-7), Beryllium oxide (CAS 1304-56-9), Bis(2-ethylhexyl)tetrabromophthalate (TBPH or BEHTBP), Bisphenol A, Cobalt dichloride, Cobalt metal, Cobalt sulfate, Dibromoneopentyl-glycol, Dibromoneopentyl-glycol, Diethyl phthalate, Diisononyl phthalate (DINP), Di-n-hexyl phthalate (DNHP), Di-n-octyl phthalate (DNOP), Di-n-pentyl phthalate (DNPP), Dioctyltin (DOT) compounds, Formaldehyde, Hydrazine, Indium</p> |

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| | | <p>phosphide, Indium phosphide, Long chain chlorinated paraffins (LCCP), Nanomaterials, n-Butyl glycidyl ether, n-Hexane, Nickel sulfamate, Nickel sulphate, Nonylphenols, P-Dichlorobenzene, Perchlorates (Annex MM), Perfluoroalkyl sulfonates (PFASs), Perfluorooctanoic acid (PFOA) and its salts, Polycyclic aromatic hydrocarbons (PAHs), Refractory ceramic fibres, Tetrabromobisphenol A, Tetrabutyltin (TTBT) (CAS 1461-25-2), Toluene (CAS 108-88-3), Tributyltin (TBT) and tributyltin compounds, Triphenyltin (TPT) and triphenyltin compounds, Tris (1,3-dichloro-2-propyl) phosphate (TDCPP), Tris (2, 3-dibromopropyl) phosphate, Tris (2-chloro-1-methylethyl) phosphate (TCPP), Tris (2-chloroethyl) phosphate (TCEP), Vinyl chloride</p> <p>Removed the weight plastic weight exemption for Brominated / Chlorinated Flame Retardants (other than PBBs or PBDEs).</p> <p>Table 5 Updates: Sum of PAHs changed to 18. Ammonium pentadecafluorooctanoate (APFO), Benzyl butyl phthalate (BBP), 1,2-Benzenedicarboxylic acid, dipentylester, branched and linear, 1,2-Benzenedicarboxylic acid, dihexyl ester, branched and linear, Bis (2-ethyl(hexyl)phthalate) (DEHP), Cadmium, Cadmium chloride, Cadmium oxide, Cadmium sulphide, Diboron trioxide, Diisopentylphthalate, Di-n-hexyl phthalate (DNHP), 1,2-dimethoxyethane;ethylene glycol dimethyl ether (EGDME), Dipentyl phthalate (DPP), Fatty acids, C16-18, lead salts, Hexabromocyclododecane (HBCDD), Lead monoxide (lead oxide) trioxide, Lead oxide sulphate, Lead titanium trioxide, Orange lead (lead tetroxide), Pentadecafluorooctanoic acid (PFOA), [Phthalate (2-)]dioxotrilead</p> <p>Pyrochlore, antimony lead yellow, Sodium perborate; perboric acid, sodium salt, Sodium peroxometaborate, Tetralead trioxide sulphate</p> <p>Updated Annex C Halogenated aromatic substances Updated Annex BB Tributyl Tin, Triphenyl Tin Updated Annex CC Polycyclic Aromatic Hydrocarbons Updated Annex DD SVHC Candidate List Updated Annex EE List of substances subject to REACH Authorisation Added Annex GG Nonylphenols Added Annex HH Perchlorates</p> <p>Added Section 2.4.2.6: Requirements for Alkaline Batteries Sold in Latin America</p> <p>Updated Section 2.11.1.4 Energy requirements for Flat Panel TVs in China and Section 2.11.4.4 Energy requirement for External Power Supplies in China</p> <p>Updated Section 2.11.4.6 Requirements for California to include the BC mark labeling requirements.</p> <p>Added Section 2.11.6 Requirements for Mexico: Computers, Small Scale Servers, Servers, Storage Products, and peripheral</p> |
| 2014-09-05 | Version 6.4 | Table 1 Update: Red Phosphorous (Red-P) flame retardants restricted from use in all electrical/electronic parts, assemblies, etc. |
| 2015-03-05 | Version 6.5 | <p>Table 1 Update: 600ppm allowance for PBBs, PBDEs, excluding DecaBDE in PCC recycle and plastic resins.</p> <p>Table 4 Update: Added New SVHC substances. Cadmium fluoride, Cadmium sulphate, 2-benzotriazol-2-yl-4,6-di-tert-butylphenol (UV-320),</p> |

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| | | <p>2-(2H-benzotriazol-2-yl)-4,6-ditertpentylphenol (UV-328), 2-ethylhexyl 10-ethyl-4,4-dioctyl-7-oxo-8-oxa-3,5-dithia-4-stannatetradecanoate (DOTE), reaction mass of 2-ethylhexyl-10-ethyl-4,4-dioctyl-7-oxo-8-oxa-3,5-dithia-4-stannatetradecanoate and 2-ethylhexyl 10-ethyl-4-[[2-[(2-ethylhexyl)oxy]-2-oxoethyl]thio]-4-octyl-7-oxo-8-oxa-3,5-dithia-4-stannatetradecanoate (reaction mass of DOTE and MOTE)</p> <p>General: format, punctuation, grammatical updates/corrections.</p> |
| 2015-07-02 | Version 6.6 | <p>Added 4 phthalates per new Directive (EU) 2015/863 to amend Annex II to EU RoHS 2 (Directive 2011/65/EU).</p> <p>Updated REACH SVHC listing per 15 June 2015 Candidate List: UV-320, UV328, Cadmium fluoride, Cadmium sulphate, DOTE, Reaction mass of DOTE and MOTE, 1,2-benzenedicarboxylic acid, di-C6-10-alkyl esters; 1,2-benzenedicarboxylic acid, mixed decyl and hexyl and octyl diesters with > 0.3% of dihexyl phthalate</p> <p>Table 1 Updates: Azocolourants entry updated, Nonylphenol ethoxylates, Perfluorooctanoic acid PFOAs (REACH restrictions)</p> <p>Table 4 Updates: Toluene diisocyanates, Perfluoro carboxylic acid and related compounds (reporting requirements)</p> <p>Table 7 Updates: New law –Canada Products Containing Mercury Regulations SOR/2014-254 (all battery types)</p> <p>Section 2.6 New law –Canada Products Containing Mercury Regulations SOR/2014-254 (product and packaging label requirements)</p> <p>Table 10 updates: Product and packaging labeling requirements for Canada, US Web page notification requirements for notebook, laptop computers</p> <p>2.11.4 EPS energy efficiency requirements and standards updated (DOE Energy Conservation Program: Energy Conservation Standards for External Power Supplies)</p> <p>Added: Annex II. Perfluorooctyl acid (PFOA) and salts</p> <p>Added: Annex JJ. Perfluorinated compounds</p> <p>Added: Annex KK. Toluene Diisocyanates</p> <p>Added: Annex LL. Nonylphenol Ethoxylates</p> <p>Updated: Annex DD. SVHC Candidate List</p> <p>Updated: Annex EE. REACH Authorization List of substances</p> |
| 2016-03-25 | Version 6.7 | <p>Update to Article definition</p> <p>Table 1 Updates: Changed restriction for 22 Authorized SVHCs from term “Deliverable” to “Article”</p> <p>Updated GS Mark standard and requirements for Polycyclic Aromatic Hydrocarbons (PAH)</p> <p>Table 4: Updates: The term “Deliverable” has been replaced with “Article” and a note added referring to the September 10, 2015 ruling from the EU Court of Justice.</p> <p>Added -Nanomaterial (new law -Belgium Royal Decree)</p> <p>Additional requirement “Prohibited in Substances and Preparations” added for Cadmium, Hexachloroethane, Nonylphenol ethoxylates, Tris-(aziridinyl)-phosphineoxide, Tris (2,3 dibromopropyl)</p> <p>Updated REACH SVHC listing per 17 December 2015 Candidate List: 2-(2H-benzotriazol-2-yl)-4-(tert-butyl)-6-(sec-butyl)phenol (UV-350) Dicyclohexyl phthalate 2,4-di-tert-butyl-6-(5-chloro benzotriazol -2-yl) phenol (UV-327) Hexamethylene diacrylate Perfluorononan-1-oic acid (2,2,3,3,4,4,5,5,6,6,7,7,8,8,9,9,9-heptafluorononanoic acid and its sodium and ammonium salts</p> |



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| | | <p>1,3-propanesultone</p> <p>Table 7 Updates: Zinc silver oxide, zinc air and zinc manganese dioxide button batteries prohibited (Japan Act on Preventing Environmental Pollution of Mercury)</p> <p>Added – Battery requirements for Brazil</p> <p>Added SystemX energy efficiency requirements; i.e., Switches, Routers</p> <p>Updated: Annex DD. SVHC Candidate List</p> <p>Updated: Annex EE. REACH Authorization List of substances</p> |
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Scope

1.0 Scope

1.1 Objectives

This Lenovo Engineering Specification establishes baseline environmental requirements for all *Materials, Parts and Products that comprise a Lenovo hardware Product for which this specification is referenced in a Statement of Work, print, contract or other procurement documents. This specification implements Lenovo's environmental policy objectives and contains some, but not all, environmental legal requirements for Materials, Parts and Products.

Compliance with the requirements in this specification alone may not satisfy the Supplier's responsibilities to Lenovo since this specification does not encompass all environmental legal requirements in various countries around the world for Materials, Parts and Products. This specification also contains some restrictions on Materials and on certain chemicals used in manufacturing. It also requires suppliers to disclose information about the content of certain substances in their products. This specification also includes requirements for batteries, marking of plastic parts, and other product labeling requirements.

It is important to note that in addition to this specification, Lenovo also maintains environmental and/or related requirements in other specifications, contracts or procurement documents

1.2 Definitions

Article - an object which during production is given a special shape, surface, or design which determines its function to a greater degree than does its chemical composition. In reference to EU REACH Substances of Very High Concern (SVHC), when a product is made up of more than one constituent Article, the SVHC concentration above 0.1% weight by weight applies to each constituent Article making up the product.

Battery or accumulator: any source of electrical energy generated by direct conversion of chemical energy and consisting of one or more primary battery cells (non-rechargeable) or consisting of one or more secondary battery cells (rechargeable). This definition is from the EU Directive 2006/66/EC on batteries and accumulators and waste batteries and accumulators.

Deliverable(s): any tangible item(s) delivered by or for a Supplier to Lenovo in accordance with a purchase contract or other agreement with Lenovo. Deliverables include, but are not limited to, components, materials, parts, and products.

Electrical and Electronic Equipment (EEE): means equipment which is dependent on electric currents or electromagnetic fields in order to work properly and equipment for the generation, transfer and measurement of such currents and fields and designed for use with a voltage rating not exceeding 1000 volts for alternating current and 1500 volts for direct current. This definition is from EU Directive 2012/19/EU of the European Parliament and of the Council of 4 July 2012 on waste electrical and electronic equipment (WEEE).



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EPEAT: Electronic Products Environmental Assessment Tool (EPEAT). EPEAT is a procurement tool designed to help purchasers evaluate, compare and select desktop computers, laptops and monitors based upon their environmental attributes as specified in the IEEE Standard for the Assessment of Personal Computer Products (1680). Refer to: www.epeat.net

Intentionally Added or Intentional Addition shall mean that a substance is deliberately utilized in the production of a Material or Part.

Materials are chemical substances and preparations that are supplied for the production of Parts and Products (for example structural plastics, metals, coatings, paints, adhesives) and chemical substances or preparations that are shipped with Products, such as toner, cleaners, lubricants, oils, and refrigerants.

Not Detected - Below the detection limit of established test standards or appropriate industry wide test methods. In general, these test standards/ methods should achieve trace level detection or at the lowest detection capabilities of the specific sample matrix.

Homogenous Material is a unit that cannot be mechanically disjointed into different materials. The term “Homogenous” means having uniform composition throughout. Examples of homogenous materials are individual types of plastics, ceramics, glass, metals, alloys, resins, and coatings. Mechanically disjointed means that the materials can, in principle, be separated by mechanical actions such as unscrewing, cutting, crushing, grinding, and abrasive processes.

Parts include fabricated Materials, components, devices and assemblies.

Preparation: a mixture or solution composed of two or more substances, for example paint, lubricant or ink. This definition is found in the EU Council Directive relating to restrictions on the marketing and use of certain dangerous substances and preparations and EU Regulation 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH).

Products are stand alone, final assemblies that Lenovo markets under its own brand including complete machines supplied by an original equipment manufacturer (OEM) to Lenovo for sale under a Lenovo brand.

RoHS - an acronym for the European Union Directive 2011/65/EU on the Restriction of the use of certain Hazardous Substances in electrical and electronic equipment and subsequent amendments to this Directive.

RoHS substances: substances restricted by European Union Directive 2011/65/EU, “Restriction on the Use of Certain Hazardous Substances (RoHS) in Electrical and Electronic Equipment” Refer to Lenovo RoHS Engineering Specification 41A7733.



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REACH: an acronym for the European Commission Regulation Number 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals.

Substance: a chemical element and its compounds in the natural state or obtained by any manufacturing process, including any additive necessary to preserve its stability and any impurity deriving from the process used, but excluding any solvent which may be separated without affecting the stability of the substance or changing its composition. This definition is found in the EU Council Directive relating to restrictions on the marketing and use of certain dangerous substances and preparations and EU Regulation 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH). Substance includes such examples as ethanol and metals. Note: metals are included here not in the form of a part or product such as a heat sink or sheet metal cover but as a metal such as aluminum or aluminum alloy. Substance goes beyond a pure chemical compound defined by a single molecular structure. The definition of the substance includes different constituents such as impurities. Also note the word “substance” is used throughout this specification, only the “Substance” with a capital letter refers to this specific definition.

Substance(s) of Very High Concern (SVHC)

1. Substances meeting the criteria for classification in accordance with EU Directive 67/548/EEC:

- Carcinogenic category 1 or 2
- Mutagenic category 1 or 2
- Toxic for reproduction category 1 or 2;

2. Substances which are persistent, bioaccumulative and toxic (PBT) or very persistent and very bioaccumulative (vPvB) in accordance with the criteria set out in Annex XIII of the EU REACH Regulation;

3. Substances- such as those having endocrine disrupting properties or those having PBT properties or vPvB properties which do not fulfill the criteria of 2 above - for which there is scientific evidence of probable serious effects to human health or the environment which give rise to an equivalent level of concern to those of other substances listed in 1 or 2 and which are identified on a case-by-case basis in accordance with the procedure set out in Article 59 of REACH. This definition is from the EU REACH Regulation, Article 57.

WEEE - an acronym for the European Union Directive 2012/19/EU of the European Parliament and of the Council on Waste Electrical and Electronic Equipment.

Threshold Level: concentration level or limit (equal to or) above which the presence of a substance or material in a product or subpart must be declared. Threshold levels are provided in ppm (and mass %). The general conversion used is 1000 ppm = 0.1% by weight.

1.3 Application

This specification applies to all Materials, Parts, and Products supplied for Lenovo brand hardware Products that reference this specification. All suppliers must comply with **Sections 2.1 through 2.2**



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and 3.0 of this specification and their corresponding tables. In addition suppliers of Parts or Products containing molded thermoplastics must comply with **Section 2.3**. Suppliers of Parts and Products containing batteries must comply with **Section 2.4**. Suppliers of Parts and Products having decorative metal finishes must comply with section 2.5. Suppliers of Parts and Products containing mercury must comply with **Section 2.6**. Suppliers of chemicals must comply with **Section 2.7**. Suppliers of Products and operating chemicals (e.g., toner) must comply with **Section 2.8**. Products defined by the European Union as electrical and electronic equipment (EEE) for the Directive on Waste Electrical and Electronic Equipment (2012/19/EU) must comply with **Section 2.9**. Suppliers are responsible for compliance with this specification in their own operations, in their subcontracted operations, and in the Materials they procure for the manufacture of components, Parts, assemblies, and Products for Lenovo hardware.

Compliance with the requirements in this specification alone may not satisfy the supplier's responsibilities to Lenovo since this specification does not necessarily encompass all applicable environmental requirements for Materials, Parts and Products.

In the event of conflict between this specification and any Lenovo part drawing requirement, suppliers shall immediately notify their Lenovo procurement representative. **Any deviation from the requirements of this specification must have prior written approval by Lenovo's procurement representative.**

1.4 Document Administration

This document is maintained and controlled by Lenovo Global Environmental Affairs. Technical questions regarding the requirements in this specification may be referred through Lenovo procurement to:

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Environmentally Conscious Product Team Lead
Phone: 919-294-0420
alcarter@lenovo.com

1.5 List of Documents Referenced in This specification

1.5.1 External Documents

- **Joint Industry Guide (JIG)101 A**
- **European Union Directive 2012/19/EU on waste electrical and electronic equipment (WEEE)**
- **European Union Directive 2011/65/EU on the restriction of the use of Certain Hazardous Substances in Electrical and Electronic Equipment (RoHS)**
- **EU Regulation 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH).**
- **EU Commission Regulation No 1275/2008**



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- **Marking for control of pollution caused by EIP Products SJ/T11364 2014**
- **National Standard of the People's Republic of China GB 20943-2007**
- **National Standard of the People's Republic of China GBT 18455-2010**
- **National Standard of the People's Republic of China GB 24850-2010**
- **National Standard of the People's Republic of China GB 25956-2010**
- **National Standard of the People's Republic of China GBT 26572-2011**
- **National Standard of the People's Republic of China GB 28380-2012**
- **Regulations on Recovery Processing of Waste Electrical and Electronic Products (China WEEE)**
- **Regulations on Fund Collecting of Waste Electrical and Electronic Products (China WEEE Fund)**
- **Korean e-Standby Program Application Regulation**

1.5.2 Lenovo Documents

Lenovo Information for Suppliers can be found at:

http://www.lenovo.com/global_procurement/us/en/Guidelines/Restrictions_and_Packaging.html

Product Content Restrictions and Packaging Requirements

- **Environmental Product Content Restrictions**
 - Baseline Environmental Requirements for Materials, Parts and Products, 41A7731
 - RoHS Engineering Specification, 41A7733
 - Lenovo Supplier Material Self-Declaration
 - Lenovo Guide to Full Material Disclosure
 - REACH: SVHC Disclosure
- **Packaging Requirements**
 - Wooden Packaging - Material Selection, Treatment, and Marking Requirements, 41A0609
 - Expanded Packaging Materials - Prohibited Expansion Agents, 41A0610
 - Packaging Materials, Environmental Requirements, 41A0612
 - Recyclable Packaging Materials - Selection and Identification, 41A0613

Lenovo requires Suppliers to provide Declarations confirming that materials, parts and products meet the requirements of Lenovo's Restricted Materials Specifications. At Lenovo's request, the supplier may be asked to provide additional technical documentation or test results supporting the declaration.

- Lenovo Supplier Material Self-Declaration
- Lenovo Guide to Full Material Disclosure

2.0 Requirements

2.1 Restricted Substances



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2.1.1 Lenovo Restrictions

Table 1, “Restricted Substances,” lists restrictions for categories of substances which are restricted for use in Materials, Parts, and Products for Lenovo hardware. The scope of restrictions varies by substance category. Relatively few categories have general bans; most restrictions pertain to limited applications for the substance categories. Details of the restrictions for each category are provided in **Table 1** along with some applicable regulatory references. These references are not intended to be a complete list, but rather examples of the regulations driving these restrictions. Restrictions on chemicals used in manufacturing of Lenovo hardware Products may also be included as specified in the table or notes. Expanded listings of relevant substances in each of the categories are available in the Annexes for this specification referenced in **Table 1**.

| Table 1. Restricted Substances | | |
|--|---|--|
| Chemical Substance Category | Details of Restriction | Regulatory/ Industry References |
| Acids generated from chromium trioxide and their oligomers. Group containing: Chromic acid (CAS 7738-94-5); dichromic acid (CAS 13530-68-2); oligomers of chromic acid and dichromic acid (CAS not yet assigned) | Prohibited at or above 0.1% weight by weight of the Article.. | 1 |
| Acrylamide (CAS 79-06-1) | Shall not be used as a substance or constituent of mixtures in a concentration equal to or greater than 0.1% by weight for grouting applications. | 1 |
| Ammonium dichromate (CAS 7789-09-5) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Arsenic and compounds (Annex S) | Prohibited in wood products and paints. | 1, 2 |
| Asbestos (Annex A) | Prohibited Must not be used. Report any content. | 1, 2, 3 JIG 101 Ed. 4.1 |
| Azo colorants (Annex B) | Azodyes which may release one or more aromatic amines (listed in 1B, 1J, 2 Annex B (1)) are prohibited above 30 ppm in textile and leather articles which may come into direct and prolonged contact with human skin. Azodyes (listed in Annex B (2)) are prohibited in concentrations above 0.1% by weight in colorants for textile and leather articles (e.g., fabrics for headphones and wrist straps). | 1, 2 JIG 101 Ed. 4.1 |
| Benzenamine, N-phenyl-, reaction products with styrene and 2,4,4-trimethylpentene (CAS 68921-45-9) | Prohibited | 15 |
| Benzidine, CAS No 92-87-5, and its salts | Prohibited | 1, 2, 12, 15,38 |
| Benzo[a]pyrene (CAS No 50-32-8) | Prohibited in wood based materials in excess of 0.5 milligrams per kilogram of dry matter. | 2 |



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| Benzyl butyl phthalate (BBP) (CAS 85-68-7) | Prohibited at or above 0.1% by weight (or 1000ppm) in homogeneous materials. | 1 |
| Biocidal product as defined in EU Regulation 528/2012 concerning the making available on the market and use of biocidal products | Prohibited on or in Deliverables, for example, prohibited for use as a treatment on Deliverables where the biocidal product is expected to remain on the Lenovo Deliverable. This restriction shall not apply to treated articles where the sole treatment undertaken was the fumigation or disinfection of premises or containers used for storage or transport and where no residues are expected to remain from such treatment on the Lenovo Deliverable. | 41 |
| Bis (2-ethylhexyl) phthalate (DEHP) (CAS 117-81-7) | Prohibited at or above 0.1% by weight (or 1000ppm) in homogeneous materials. | 1 |
| 2-(2-butoxyethoxy)ethanol (DEGBE) (CAS 112-34-5) | Prohibited at or above 3% weight by weight of the Deliverable. | 1 |
| Brominated Flame Retardants: <ul style="list-style-type: none"> Polybrominated biphenyl (PBBs) Polybrominated diphenyl ether (PBDEs) including Decabromobiphenyl Ether (DecaBDE) | Prohibited RoHS Substances: no exemptions Any content must be reported 600ppm max in post consumer plastic feedstock and post consumer content plastic resin only (excluding DecaBDE). Subject to approval. | 1, 14 JIG 101 Ed. 4.1 |
| Cadmium/Cadmium Compounds (Annex L) | 100 ppm or Intentionally Added in homogenous material RoHS Substance: allowance made for RoHS exemptions EPEAT Products: 50 ppm in homogenous material For restrictions in battery applications see Table 7 Prohibited in Substances and Preparations | Annex L 1, 2, 12, 28 JIG 101 Ed. 4.1 California Health and Safety Code sections 25214.9-25214.1 0.2 EPEAT 4.1.2.1 (IEEE STD 1680-2006) 37 |
| Chromium trioxide (CAS 1333-82-0) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Creosote, coal tar, tar oils and anthracene substances (see Annex FF for list) | Prohibited for the treatment of wood. | 1, 2 |
| Decabromo diphenyl ether (CAS number 1163 19 5) | Decabromo diphenyl ether is prohibited in computer plastic housings at any detectable level. Computer plastic housings also includes attachments to the housings such as buttons (e.g. Power on and off), drive bezels (e.g. DVD and tape drive bezels) and snap in logos. Prohibited in Substances, Preparations and Products (other than computer plastic housings which have a more restrictive level, see above) at levels at and above 0.1% by weight. Lenovo prohibits the Intentional Addition of Deca BDE in any Homogeneous Material. | 19, 23, 22 Lenovo Requirement |
| 4,4'-Diaminodiphenylmethane (MDA) (CAS 101-77-9) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Diarsenic pentaoxide (CAS 1303-28-2) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Diarsenic trioxide | Prohibited at or above 0.1% weight by weight of the Article. | 1 |



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| (CAS 1327-53-3) | | |
| Dibutyl phthalate (DBP) (CAS 84-74-2) | Prohibited at or above 0.1% by weight (or 1000ppm) in homogeneous materials. | 1 |
| Dibutyltin (DBT) compounds (Annex KK) | Prohibited in Mixtures and Articles where the concentration in the Mixture or Article, or part thereof, is greater than the equivalent of 0.1% by weight of tin. | 26 |
| Diisobutyl phthalate (DIBP) (CAS 84-69-5) | Prohibited at or above 0.1% by weight (or 1000ppm) in homogeneous materials. | 1 |
| Dimethylfumarate (CAS 624-49-7) | Prohibited in Products, Parts, and Deliverable greater than 0.1 mg/kg of the weight of the Product, Part or Deliverable. Prohibited in pouches (e.g., desiccants) and in chemicals, Substances, and Preparations. | 1, 31 |
| 2,4-Dinitrotoluene (CAS 121-14-2) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Diocetyl tin (DOT) compounds (e.g., dioctyl tin oxide CAS 870-08-6 and dioctyltin dilaurate (CAS 3648-18-8) | Prohibited in concentrations greater than the equivalent of 0.1% by weight of tin in: 1. Textile articles intended to come into contact with skin, and 2. Two-component room temperature vulcanization molding kits (RTV-2 molding kits). | 26 |
| Formaldehyde CAS No 50-00-0 | Materials capable of releasing formaldehyde into the air, under reasonably foreseeable conditions of use at concentrations reaching or exceeding 0.1ppm are prohibited. The use of formaldehyde in textiles intended for skin contact is prohibited (e.g. Wrist straps and headphones) above 120 mg/kg formaldehyde. The use of formaldehyde in wood applications may not be used if the formaldehyde emission caused by the wooden materials exceeds 0.1 ml/m ³ (ppm) in the air of a test chamber. Formaldehyde emission standards in Composite Wood must not exceed the following limits (see Section 2.12 for more details): Hardwood Plywood Veneer Core - 0.05ppm Hardwood Plywood Composite Core - 0.05ppm Particleboard - 0.09ppm Medium Density Fiberboard - 0.11 ppm Thin Medium Density Fiberboard - 0.13 ppm | 25 11, 32 20,21 24 |
| Halogenated aromatic substances (Annex C) | Prohibited from use in capacitors and transformers above 500 ppm for monohalogenated or 50 ppm for polyhalogenated aromatic substances in materials of the component. | 1, 2 |
| Halogenated diphenyl methanes (Annex D) | Prohibited | 1, 12 |
| Hexabromocyclododecane (HBCDD) and all major diastereoisomers identified (alpha HBCDD, beta HBCDD, gamma HBCDD) (CAS 25637-99-4, 3194-55-6, 134237-50-6, 134237-51-7, 134237-52-8) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Hexachlorobenzene (CAS 118-74-1) | Prohibited except if incidentally present. | 15,37 |
| Hexachlorobutadiene (CAS 87-68-3) | Prohibited | 10, 15 |
| Hexachloroethane (Annex F) | Prohibited in manufacturing or processing of nonferrous metals. Prohibited in Substances and Preparations | 1, 2, 15 37 |
| Hexavalent | Intentionally Added in homogenous material | 1 |



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| Chromium/Hexavalent Chromium Compounds (Annex M) | <p>RoHS Substance: allowance made for RoHS exemptions</p> <p>Intentional Addition is prohibited by Lenovo in paints and plastic resins.</p> <p>EPEAT Products: 500 ppm in any Homogenous Material</p> <p>Prohibited in leather articles or articles containing leather parts coming into contact with skin in concentrations equal to or greater than 3 mg/kg (0.0003% by weight) of the total dry weight of the leather.</p> | <p>JIG 101 Ed. 4.1</p> <p>California Health and Safety Code sections 25214.9-25214.1 0.2</p> <p>EPEAT 4.1.5.1 (IEEE STD 1680-2006)</p> |
| Hydrofluorocarbons (Annex EE) | Prohibited in non-refillable containers, foams, and non-confined, direct evaporation systems containing refrigerants. | 30 |
| Lead chromate (CAS 7758-97-6) (Please note hexavalent chromium and lead are prohibited for use in Deliverables. | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Lead chromate molybdate sulphate red (Color Index Pigment Red 104) (CAS 12656-85-8)) (Please note hexavalent chromium and lead are prohibited for use in Deliverables. | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Lead/Lead Compounds (Annex N) | <p>1000 ppm or Intentionally Added in homogenous Material</p> <p>RoHS Substance: allowance made for RoHS exemptions</p> <p>Paint: Intentionally Added</p> <p>External PVC cables, wire coatings: 300 ppm</p> <p>Visual Display Units for EPEAT products: 0.005% (50 ppm) by weight (not homogenous)</p> <p>For restrictions in battery applications see Table 7</p> <p>GENERAL LEAD: 100ppm</p> <p>LEAD PAINT: 90ppm</p> | <p>Annex N 1, 12</p> <p>JIG 101 Ed. 4.1</p> <p>California Health and Safety Code sections 25214.9-25214.1 0.2</p> <p>EPEAT 4.1.4.1(IEEE STD 1680-2006)</p> <p>Public Law 110-314 (Consumer Product Safety Improvement Act of 2008)</p> |
| Lead sulfochromate yellow (Color Index Pigment Yellow 34) (CAS 1344-37-2, see Annex II for deleted CAS numbers.) (Please note hexavalent chromium and lead are prohibited for use in Deliverables. | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Mercury/Mercury Compounds (Annex O) | <p>Must not be present; except in lamps.</p> <p>RoHS Substance: allowance made for RoHS exemptions</p> | Annex O 1, 2, 8, 9, 12, 13, 17, 18, 39 |



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| | In exempt applications, labeling requirements and maximum content limits apply (see Section 2.6); when present in an approved application, Lenovo must be supplied with a data sheet on mercury content. For mercury restrictions in batteries, see Table 7 | JIG 101 Ed. 4.1 |
| 2-(2-methoxyethoxy)ethanol (DEGME) (CAS 111-77-3) | Prohibited in paints, paint strippers, cleaning agents, and self-shining emulsions in concentrations equal to or greater than 0.1% by weight. | 1 |
| Monomethyl- dibromodiphenyl methane bromobenzylbromo-toluene, mixture of isomers (Trade name DBBT) (CAS 99688-47-8) | Prohibited in Substances, Mixtures, and Articles. | 26 |
| Monomethyl-dichloro- diphenyl methane (Trade names Ugilec 121 and Ugilec 21) (CAS 81161-70-8) | Prohibited in Substances, Mixtures, and Articles. | 26 |
| Monomethyltetrachlorodiphenyl Methane (Trade name Ugilec 141) (CAS 76253-60-6) | Prohibited in Substances, Mixtures, and Articles. | 26 |
| Nanomaterials. Substance produced in nanoparticulate state: a substance containing particles, in an unbound state or as an aggregate or as an agglomerate and where, for 50 % or more of the particles in the number size distribution, one or more external dimensions is in the size range of 1 nanometer (nm) to 100 nm, with the exception of natural, nonchemically modified substances and the substances of which the fraction between one nanometer and one hundred nanometers is a by-product of human activity. Fullerenes, graphene flakes and single and multi-walled carbon nanotubes with one or more external dimensions below 1 nm shall be deemed to be substances produced in nanoparticulate state. Other common nanomaterials include silver nanoparticles, iron nanoparticles, titanium dioxide, aluminum oxide, cerium oxide, zinc oxide, silicon dioxide and dendrimers with external dimensions listed above. | Prohibited in Articles and complex objects (an object consisting of a set of Articles) where a fraction of at least one of the substances produced in nanoparticulate state exceeding 0.1 % of the mass originally contained in the Article or complex object is released. | 40 |
| Nickel (Annex E) | Nickel finishes are prohibited on Product surfaces that are designed to be in prolonged contact with skin | 1 |
| Nitrogen trifluoride (CAS 7783-54-2) | Prohibited in Preparations and Articles. | 2 |
| Nonylphenol ethoxylates (Annex LL) | Prohibited in textile articles in concentrations equal to or greater than 0.01% by weight of the textile article or of each part of the textile article. Prohibited in Substances, Mixtures, and Preparations. | 1 37 |
| Ozone-Depleting Substances (CFCs, HCFCs, HBFCs, carbon tetrachloride, etc.) (Annex F) | Prohibited for Products to contain or be manufactured with these substances | 2, 5, 6, 7, 12 JIG 101 Ed. 4.1 |
| Pentachlorophenol (CAS No 87-86-5) and | Prohibited in the treatment of wood. | 1 |

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| its salts and esters | Prohibited in wood based materials in excess of 3 milligrams per kilogram of dry matter. Prohibited in textiles and leather articles | 2 |
| Perfluorinated compounds (Annex TT has a complete list of regulated substances) | Prohibited | 30 |
| Perfluorocarbons (PFC) (Annex G) | Must not be contained in Products; not prohibited from use in production of Products in which the gas is not present in the final Product | 4, 27, 30 |
| Perfluorooctane sulfonates (PFOS) and salts, C8F17SO2X (X=OH, metal salt, halide, amide and other derivatives including polymers), or Compounds that contain C8F17SO2, C8F17SO3 or C8F17SO2N, (for a list of PFOS CAS numbers see OECD ENV/JM/MONO(2006) 15 at http://appli1.oecd.org/olis/2006doc.nsf/li nkto/env-jm-mono(2006)15 | Prohibited as a Substance or as a constituent of Preparations. Prohibited in products or parts. The above shall not apply to the following applications: photoresists or anti reflective coatings for photolithography processes, and photographic coatings applied to films, papers or printing plates. Refer to the EU Directive and the Canada Regulations referenced for more details on these requirements and exemptions. | 1, 2, 10, 15, 33, 36 |
| Perfluorooctanoic acid (PFOA CAS 335-67-1) including its salts and any other substance having linear or branched perfluoroheptyl derivatives with the formula C7F15- as a structural element, including its salts except those derivatives with the formula C7F15-X, where X= F, Cl, Br and any other substance having linear or branched perfluorooctyl derivatives with the formula C8F17- as a structural element, including its salts, except those derivatives with the formula C8F17-X, where X= F, Cl, Br or, C8F17-SO2X', C8F17-C(=O)OH or C8F17-CF2-X' (where X'=any group, including salts) (Annex Z and for a more extensive list of PFOA CAS numbers see OECD Annex 3 at http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=env/jm/mono%282006%2915&doclang | Prohibited as a Substance, as constituents of other substances in concentrations equal or above 2 ppb of a single substance, in a mixture in concentrations equal or above 2 ppb of a single substance. Prohibited in Articles or any parts thereof containing one of the substances in concentrations equal to or greater than 2 ppb of a single substance. | 1 |
| Phenol, 2- (2H - benzotriazol -2-yl) - 4,6-bis (1,1-dimethylethyl)- (CAS No 3846-71-7) | Prohibited in decorative laminate, adhesives, paints, printing inks, inked ribbon, and molded plastic products. | 10 |
| Phthalates: Benzyl butyl phthalate (BBP) (CAS 85-68-7), Bis (2-ethylhexyl) phthalate (DEHP) (CAS 117-81-7), Dibutyl phthalate (DBP) (CAS 84-74-2), | Prohibited, when summed together, at or above 1000ppm in a homogeneous material. (Please note these substances are prohibited elsewhere in this specification. The more restrictive level applies, which will generally be this entry.) | 1 |



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| Diisobutyl phthalate (DIBP) (CAS 84-69-5) | | |
| Polybrominated Biphenyls (PBBs) (Annex P) | Prohibited | 1, 2, 12, 10, 15 33, 37 JIG 101 Ed. 4.1 |
| Polychlorinated naphthalenes (more than 3 chlorine atoms) (Annex I) | Prohibited | 10 JIG 101 Ed. 4.1 |
| Polychlorinated biphenyls (PCBs) (Annex H) | Prohibited. (Please note PCBs are prohibited by other regulations; see halogenated aromatic substances in Table 1 and Annex O.) | 1, 10, 12, 33, 34 |
| Polychlorinated terphenyls (PCTs) | Prohibited | 1, 12, 15 |
| <p>Polycyclic Aromatic Hydrocarbons (PAH)</p> <p>Benzo[a]pyrene Benzo[e]pyrene Benzo[a]anthracene Benzo[b]fluoranthene Benzo[j]fluoranthene Benzo[k]fluoranthene Chrysene Dibenzo[a,h]anthracene Benzo[g,h,i]perylene Indeno[1,2,3-c,d]pyrene</p> <p>Acenaphthylene, Acenaphthene, Fluorene, Phenanthrene, Pyrene, Anthracene, Fluoranthene Naphthalene</p> <p>Sum of 18 PAHs***</p> | <p>Category 1 (<0.2mg/kg) Materials intended to be put in the mouth, or materials of toys with intended longterm skin contact (longer than 30 s)</p> <p>Category 2 (<0.5mg/kg) Materials not covered by category 1, with foreseeable skin contact for longer than 30 seconds (long-term skin contact) or repeated short-term skin contact1)</p> <p>Category 3 (<1mg/kg) Materials not covered by category 1 or 2 with foreseeable skin contact up to 30 seconds (short term skin contact)</p> <p>Category 1 (<1mg/kg sum) Materials intended to be put in the mouth, or materials of toys with intended longterm skin contact (longer than 30 s)</p> <p>Category 2 (<10mg/kg sum) Materials not covered by category 1, with foreseeable skin contact for longer than 30 seconds (long-term skin contact) or repeated short-term skin contact1)</p> <p>Category 3 (<50mg/kg sum) Materials not covered by category 1 or 2 with foreseeable skin contact up to 30 seconds (short term skin contact)</p> <p>Category 1 (<1mg/kg) Materials intended to be put in the mouth, or materials of toys with intended longterm skin contact (longer than 30 s)</p> <p>Category 2 (<10mg/kg) Materials not covered by category 1, with foreseeable skin contact for longer than 30 seconds (long-term skin contact) or repeated short-term skin contact1)</p> <p>Category 3 (<50mg/kg) Materials not covered by category 1 or 2 with foreseeable skin contact up to 30 seconds (short term skin contact)</p> | <p>Annex CC.</p> <p>German AfPS GS 2014:01 PAK for GS certification</p> |
| Potassium chromate (CAS 7789-00-6) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |



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| Potassium dichromate (CAS 7778-50-9) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Polyvinyl chloride (PVC) (Annex Y) | External covers for Lenovo products must not contain Polyvinyl Chloride (PVC). Sheathing for wires and cables, connectors, and electronic components are exempt from this requirement. | Lenovo Commitment |
| Radioactive Substances (Annex Z) | Intentionally addition is prohibited | JIG 101 Ed. 4.1 |
| Red Phosphorous (Red-P) flame retardants in: Printed circuit boards, printed circuit assemblies, electrical and electronic components, packaging materials such as encapsulates, die attach materials, underfill epoxies and substrates | Intentionally addition is prohibited | Lenovo Requirement |
| Sodium chromate (CAS 7775-11-3) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Sodium dichromate (CAS 7789-12-0 and 10588-01-9) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Shortchain Chlorinated Paraffins (Annex J) | Prohibited at or above 0.1% weight by weight of the Article. If present below 0.1% by weight of the product, it must be only incidentally present. | 1, 2, 12, 36 JIG 101 Ed. 4.1 15 |
| Substances subject to REACH Authorization found in Annex XIV of REACH regulation and amendments (Annex OO in this specification lists current authorized substances as of date of this specification) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Sulphur hexafluoride (CAS 2551-62-4) | Prohibited in Preparations and Articles. Prohibited in foams and nonrefillable containers. | 2, 27, 30 |
| Tetrachlorobenzenes (CAS numbers included in Annex C) | Prohibited | 15 |
| Trichloroethylene (CAS 79-01-6) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |
| Toluene (CAS 108-88-3) | Prohibited as a Substance or constituent of Preparations in concentrations equal to or greater than 0.1% by mass in adhesives and spray paints. | 1 |
| Tributyl Tin (TBT) and Triphenyl Tin (TPT) | Prohibited in Articles, or part thereof, where the concentration in the article is greater than the equivalent of 0.1% by weight of tin. | 1, 15 JIG 101 Ed. 4.1 |
| Tributyl Tin Oxide (TBTO) (Annex K) | Intentional Addition is prohibited in chemical products | 10 JIG 101 Ed. 4.1 |
| Tris (2,3 dibromopropyl) phosphate CAS No 126-72-7 and Tris-(aziridiny) - phosphineoxide CAS No 545-55-1 | Prohibited from use in textile articles intended to come into contact with skin, e.g. Wrist straps and headphones. Prohibited in Substances and Preparations | 1, 12, 35 37 |
| Tris(2-chloroethyl) phosphate (CAS 115-96-8) | Prohibited at or above 0.1% weight by weight of the Article. | 1 |

Regulatory references for Table 1

1. EU Regulation (EC) No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) will replace the above Directive.



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2. Switzerland Ordinance on Risk Reduction related to the Use of certain particularly dangerous Substances, Preparations and Articles (Ordinance on Risk Reduction related to Chemical Products (ORRChem) of 18 May 2005.
3. United States Toxic Substances Control Act; Occupational Safety and Health Act (29 CFR 1910.1001-1051).
4. Statutory Order no. 552 of 2 July 2002 Regulating Certain Industrial Greenhouse Gasses (Denmark).
5. EU Regulation (EC) No. 2037/2000.
6. Section 611 of the 1990 amendments of the Clean Air Act (United States); 40 CFR Part 82.
7. Law Concerning the Protection of the Ozone Layer through the Control of Specified Substances and Other Measures (Law No. 53 of May 20, 1988) (Japan).
8. No. 553 Decree of 9 September 1998, comprising regulations regarding products containing mercury (Decree on Product Containing Mercury, 1998 Environmentally Hazardous Substances Act) Netherlands.
9. The Mercury-containing Products (Certain) Ordinance (SFS 1991:1290) Sweden.
10. Japan's Act on the Evaluation of chemical substances and Regulation of Their Manufacture, etc. (Act No. 117 of October 16, 1973, last revised April 27, 2005).
11. The Netherlands 178 Besluit van 22 maart 2001, houdende vaststelling van het Warenwetbesluit formaldehyde in textiel.
12. Norway Product Control Regulation Chapter 2. Restricted Substances and Preparations.
13. Connecticut Public Law 02-90, The Mercury Education and Reduction Act.
14. California Safe Drinking Water and Toxic Enforcement Act of 1986.
15. Canada Environmental Protection Act, 1999. Prohibition of Certain Toxic Substances Regulations, 2005. Updated 2008-05-29.
16. State of Washington Title 70 RCW An act relating to phasing out the use of polybrominated diphenyl ethers.
17. Louisiana Mercury Risk Reduction Act of 2006.
18. Rhode Island Mercury Education and Reduction Act.
19. Maine Public Law Chapter 296 Section 1. 38 MRSA 1609.
20. Austria - BGB I 1990/194: Formaldehydverordnung, 2, 12/2/1990.
21. Germany: LMBG B 82.02-1 Untersuchungen von Bedarfsgegenständen; Bestimmung der Formaldehydabgabe aus textilen Bedarfsgegenständen; Ausgabe: 1985-06.
22. Norway Regulation amending regulation of 1 June 2004 No 922 relating to restrictions on the use of chemicals dangerous to health and environment and other products.
23. Minnesota 325E.387 Ban on deca-BDE in computer enclosures.
24. California Regulation 93120 Airborne Toxic Control Measure to Reduce Formaldehyde Emissions from Composite Wood Products.
25. USA 29 CFR 1910.1048 Toxic and Hazardous Substances - Formaldehyde.
26. EU Regulation (EC) No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) Annex XVII.
27. Austria Ordinance on bans and restrictions of partly fluorinated and fully fluorinated hydrocarbons and of sulfur hexafluoride 447/2002, with amendments 246/2005, 86/2006 and 139/2007.
28. Sweden. The Chemical Products Ordinance 1998:944 to 2009:14.
29. Public Law 110-314 (Consumer Product Safety Improvement Act of 2008): <http://www.cpsc.gov/cpsia.Pdf>
30. EU Regulation (EC) No 842/2006 on certain fluorinated greenhouse gases.
31. EU Commission Decision 2009/251/EC Products containing the biocide dimethylfumarate.
32. Lithuanian Hygiene Norm HN 96:2000.
33. EU Commission Regulation 757/2010 of 24 August 2010 amending Regulation No 850/2004 of the European Parliament and of the Council on persistent organic pollutants as regards Annexes I and III.
34. EU Regulation No 850/2004 of the European Parliament and of the Council of 29 April 2004 on persistent organic pollutants and amending Directive 79/117/EEC.
35. Canada Hazardous Products Act.
36. Stockholm Convention on Persistent Organic Pollutants (UNEP/POPS/POPRC.2/INF/6).
37. EU Regulation No 649/2012 of 4 July 2012 concerning the export and import of hazardous chemicals.
- 38) USA 40 CFR Part 721.1660 Benzidine-based chemical substances.
- 39) Environment Canada Canadian Environmental Protection Act, 1999 "Products Containing Mercury Regulations"
- 40) Belgium Royal Decree concerning the placing on the market of substances produced in nanoparticulate state.
- 41) EU Regulation No 528/2012 of the European Parliament and of the Council of 22 May 2012 concerning the making available on the market and use of biocidal products.



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2.1.2 EU RoHS

The DIRECTIVE 2011/65/EU OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 8 June 2011 bans the use of the following in new electrical and electronic products put on the market after July 1, 2006:

- **Lead (Pb),**
- **Mercury (Hg),**
- **Cadmium (Cd),**
- **Hexavalent chromium (Cr₊₆),**
- **Polybrominated biphenyl (PBB) flame retardants and**
- **Polybrominated diphenyl ether (PBDE) flame retardants.**
- **Bis (2-ethylhexyl) phthalate (DEHP)**
- **Butyl benzyl phthalate (BBP)**
- **Dibutyl phthalate (DBP)**
- **Diisobutyl phthalate (DIBP)**

Lenovo **Engineering Specification 41A7733** provides the detailed requirements.

Certain substances affected by the European Commission's Directive 2011/65/EU on the Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment (RoHS) are already restricted by other regulations at concentration levels that are more stringent than those associated with RoHS compliance. **Table 1** presents the requirements for these substances as defined by certain existing legislation and/or Lenovo internal standards.

2.1.2.1 Other Jurisdictions

There are other jurisdictions, other than the EU Member States, with RoHS types of requirements. The list below is not complete and is provided for information only. In some jurisdictions the product scope and requirements are different from the scope and requirements of the EU Directive. Deliverables to Lenovo which cite this specification must meet the requirements of this specification irrespective of the jurisdiction where the Deliverable is transferred to Lenovo.

- Multiple US States
- New York City
- People's Republic of China
- European Free Trade Association Countries
- EU Candidate and Potential Candidate Countries – Croatia, Serbia, and Turkey
- Brazil
- Korea
- Ukraine
- Vietnam
- India (effective 01 May 2014)



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2.1.3 Additional Requirements for “Low Halogen” Products

Lenovo's plans require the elimination of brominated and chlorinated flame retardants (BRFs, CFR) and polyvinyl chloride (PVC) in new products starting in 2010. These plans are dependent upon the identification and availability of safe, environmentally proven alternative materials that do not compromise product safety, reliability or performance. The alternative materials identified must also be:

- Equal to or better than existing materials in quality, reliability, performance
- Cost competitive
- Available in high volume
- Continue to meet applicable regulatory requirements, international fire safety standards and agency certification requirements.

Lenovo "Low Halogen" materials, parts and products must meet all of the following requirements:

| Table 2. “Low Halogen” Substance Requirements | | |
|---|---|---|
| Substance | Threshold Level | Reference |
| All Printed Circuit Board (PCB) and substrate laminates materials must meet Bromine (Br) and Chlorine (Cl) requirements for low halogen as defined in IEC 61249-2-21. Printed Circuit Board (PCB) and substrate laminates do not include the solder mask. Solder mask is considered a separate homogenous plastic material. | | IEC 61249-2-21 JEDEC - JEP709 |
| Bromine (Br) | Maximum concentration of 900 ppm (0.09%) by weight | |
| Chlorine (Cl) | Maximum concentration of 900 ppm (0.09%) by weight | |
| | Note: Combined total concentration of bromine and chlorine must not exceed 0.15 % (1500ppm) by weight | |
| All other materials and components, with the exception of Printed Circuit Board (PCB) and substrate laminates, must meet the following requirements: | | IEC 61249-2-21 JEDEC - JEP709 JIG 101 Ed. 4.1 |
| Bromine (Br) in Brominated Flame Retardants (BFRs) | Less than 1000 ppm (0.1%) by weight in homogenous materials | |
| Chlorine (Cl) in Chlorinated Flame Retardants (CFRs) or Polyvinyl Chloride (PVC) | Less than 1000 ppm (0.1%) by weight in homogenous materials | |

Note:

1. Halogens fluorine (F), iodine (I), and astatine (At) are not restricted
2. Any materials and components other than PCB laminates and prepreg materials fall under item 2 “All other.” in Table 2 above.



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3. A listing of BFR compounds may be found in the Joint Industry Guide (JIG) 101-A Annex (www.eia.org)
4. Low Halogen materials, parts and products require a unique part number to differentiate them from "non-low-halogen" versions
5. Suppliers must submit a Part Change Notice (PCN) identifying alternate materials
6. Supplier must complete and provide a Lenovo Supplier Material Declaration showing Br and Cl < 900 ppm by weight in any Printed Circuit Board laminates; Br in BFRs < 1000 ppm, Cl in CFRs < 1000 ppm, Cl in PVC < 1000 ppm in homogenous material for other components and materials.
7. Supplier shall provide Lenovo a **Test Report** from a qualified, independent laboratory upon request
8. Reactive TBBPA for printed circuit boards is exempt, until acceptable alternative materials are identified

Exemptions:

Reactive TBBPA for printed circuit boards until acceptable alternative materials are identified

Server and Third-party option products

Parts for standard, "non-low-halogen" products are exempt

2.1.4 Additional Requirements for “Children’s” Products

Any product that will be marketed to K-12 schools or other education customers, or which otherwise might be used by children, must meet children's product requirements for the geographies in which it will be sold. These include, but are not limited to, the following substance restrictions which are in addition to the other restrictions and limits outlined in this specification.

Children's Product Requirements:

- Ensure CPSIA testing conducted by approved third party lab and include test report in PCRB.
 - Testing/analysis must include leads and phthalates.
 - Power cords and AC adapters sourced from all suppliers must also be tested.
- Paint must be under CPSIA limits (see Table 1)
- Cables and other accessible parts must have less than 90 ppm lead
- Must not contain Bisphenol A (BPA) (see Table 3) nor p-nonylphenol
- No mercury lighting or other use of mercury

2.2 Supplier Full Material Disclosure Declarations

Suppliers must declare the presence of certain of substances in its Lenovo hardware Products to meet regulatory reporting requirements and customer requirements for Product content disclosures.. Lenovo’s Supplier Material Declaration process and template is available on-line at www.lenovo.com – About Lenovo – Global Procurement – Product Content Restrictions.



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Lenovo's Requirements for Suppliers:

All materials, parts and products incorporated into Lenovo products or bundled with Lenovo products as part of a delivered solution are required to meet the requirements of applicable laws and regulations, Lenovo's Specification 41A7731 Baseline Environmental Requirements for Materials, Parts and Products and Lenovo RoHS Specification 41A7733.

Suppliers are expected to complete and return the an IPC 1752A XML Full Material Disclosure (FMD) via the Green Data Exchange (GDX), refer to [Lenovo Guide to Full Material Disclosures \(Version 1\)](#).

At Lenovo's request, the supplier must be able to provide technical documentation in the form of internal design controls, supplier declarations, or analytical test data.

Additional requirements for EPEAT products:

Desktop, notebook, workstation and computer monitor products designated to be registered under the Electronic Products Environmental Assessment Tool (EPEAT) require additional Supplier Verification information shown in the Lenovo Supplier Declaration. EPEAT is a procurement tool designed to help large volume purchasers evaluate, compare and select desktop computers, laptops and monitors based upon their environmental attributes as specified in the IEEE Standard for the Assessment of Personal Computer Products (1680). The registration criteria and list of registered products are provided at www.epeat.net.

Substances in **Table 3** are included in industry standardized product content declarations for electronic products, or other regulatory or Lenovo requirements. Lenovo requires that these substances be quantified and reported by suppliers if they are present in a supplier's product at concentrations greater than the specified thresholds per **Table 3** in any individual Part in the item supplied to Lenovo. For example, if the item supplied to Lenovo is a power supply, then the substances in **Table 3** should be reported to Lenovo if they occur above the specified thresholds in any of the individual Parts (e.g., fan, circuit board, fasteners, connectors) of the power supply.

If the supplier determines that substances in **Table 3** are present in any Parts of the Product above their respective specified thresholds, **then the absolute weight in grams of the substance present in the Part supplied to Lenovo shall be reported to Lenovo**. Absolute weights, rather than weight percentages or ppm, shall be reported to allow aggregation of the data with that from other Parts that comprise the final Lenovo Product.



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| Table 3. Reportable Substances | | |
|--|---|---|
| Reportable Substance | Threshold for reporting in non-restricted applications* | Examples of Industry Uses / Comments |
| Antimony/Antimony Compounds (Annex R) | 1000 ppm (0.1%) | <ul style="list-style-type: none"> Solder alloy CRT glass |
| Antimony trioxide (CAS 1309-64-4) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant, e.g., in plastic housings and chip encapsulant. Often used in combination with brominated flame retardants. Opacifying agent for glass, ceramics and enamels Pigments Catalyst for polyethylene terephthalate and vulcanization of rubber |
| Arsenic/Arsenic Compounds (Annex S) | 1000 ppm (0.1%) | <ul style="list-style-type: none"> Dopant in semiconductor manufacture Gallium arsenide is used as semiconductor substrate |
| Arsenic pentoxide (CAS 1303-28-2) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Solution in the manufacturing of metal adhesives, wood preservatives, and in printing and dyeing. |
| Beryllium metal (CAS 7440-41-7) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Heat transport and heat sinking applications, gears, and cogs |
| Beryllium/Beryllium Compounds (Annex T) | 200 ppm (0.02%) | <ul style="list-style-type: none"> Substrate for integrated circuits Lightweight housings |
| Beryllium copper alloys | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Connectors Electrical contacts and springs |
| Beryllium oxide (CAS 1304-56-9) | 1000 ppm (0.1%) | <ul style="list-style-type: none"> Insulator Structural ceramic |
| Bis(2-ethylhexyl)tetrabromophthalate (TBPH or BEHTBP) (CAS 26040-51-7) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant in polyurethane foam Plasticizer for PVC Adhesives |
| Bismuth/Bismuth Compounds (also alloys) (Annex U) | 1000 ppm (0.1%) | <ul style="list-style-type: none"> Solder alloy |
| Bisphenol A (CAS 80-05-7) | 1000 ppm (0.1%) in a homogeneous Material Bisphenol A (BPA) must not be used in thermal paper. | <ul style="list-style-type: none"> Used in synthesis of epoxy and plastic resins, e.g., polycarbonate, polyesters Antioxidant in some plasticizers Polymerization inhibitor in PVC Precursor for the flame retardant tetrabromobisphenol A Carbonless paper |
| Brominated Flame Retardants: • Polybrominated biphenyl (PBBs) | Prohibited RoHS Substances: no exemptions | <ul style="list-style-type: none"> Flame retardant |



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| <ul style="list-style-type: none"> Polybrominated diphenyl ether (PBDEs) including Decabromobiphenyl Ether (DecaBDE) | Any content must be reported | |
| Brominated / Chlorinated Flame Retardants (other than PBBs or PBDEs). Note: suppliers must report use of brominated flame retardants and provide CAS number or ISO 1043-4 code (Annex V) | 1000 ppm (0.1%) by weight in homogenous material See Table 2 in 2.1.3 Additional Requirements for "Low Halogen" Products. | <ul style="list-style-type: none"> Flame retardant Exception: Servers and reactive TBBPA for printed circuit boards are exempt from the Low Halogen requirements. |
| 2-Butanone oxime (CAS 96-29-7) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Paints, varnishes, stains and coatings Wood preservatives Adhesives, silicone sealants and printing inks Corrosion inhibitors Urethane polymers |
| n-Butyl glycidyl ether (CAS 2426-08-6) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Epoxy resin formulations for coatings, adhesives, binders, sealants, fillers and resins |
| Cadmium | EPEAT Products: 50 ppm in homogenous material For restrictions in battery applications see Table 7 | <ul style="list-style-type: none"> All applications; e.g. plating and surface coating applications. EPEAT 4.1.2.1 - IEEE STD 1680-2006) |
| Cobalt dichloride (CAS 7646-79-9) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Cobalt plating and cobalt based pigments and drier compounds (desiccants). Pneumatic panels for indicating water contamination. |
| Cobalt metal (CAS 7440-48-4) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Electroplating |
| Cobalt sulfate (CAS 10124-43-3; 13455-64-0 monohydrate; 10026-24-1 heptahydrate) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Preparation of pigments for glass and porcelain Used in storage batteries Electroplating baths Use in sympathetic ink |
| 4, 4'-Diaminodiphenylmethane (MDA) (CAS 101-77-9) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Epoxy hardening agent Production of high performance polymers Curative for neoprene Hardener in adhesives Preparation of isocyanates and polyisocyanates |
| 2,3-Dibromo-1-propanol (CAS 96-13-9) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant |
| Dibromoneopentyl-glycol (CAS 3296-90-0) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant in unsaturated polyester resins, in molded products, and in rigid polyurethane foam. |
| P-Dichlorobenzene (CAS 106-46-7) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Precursor to the high performance polymer poly (p-phenylene sulfide) Disinfectant |



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| Diethyl phthalate (CAS 84-66-2) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Plasticizer |
| Diisodecyl phthalate (DIDP) (CAS 26761-40-0 and 68515-49-1) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Plasticizer (e.g., for PVC) Paints, sealing compounds, and textile inks |
| Diisononyl phthalate (DINP) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Plasticizer (e.g., for PVC) |
| Di-n-hexyl phthalate (DNHP) (CAS 84-75-3) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Plasticizer |
| 2,4-Dinitrotoluene (CAS 121-14-2) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Production of flexible polyurethane foam Plasticizer |
| Di-n-octyl phthalate (DNOP) (CAS 117-84-0) | Reportable in Articles where the concentration in the Article, or a part thereof, is greater than 0.1% by weight of tin. | <ul style="list-style-type: none"> Constituent of phthalate mixtures |
| Di-n-pentyl phthalate (DNPP) (CAS131-18-0) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Plasticizer |
| Diocetyl tin (DOT) compounds (e.g., dioctyl tin oxide CAS 870-08-6 and dioctyltin dilaurate CAS 3648-18-8) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Textiles Vulcanization molding kits |
| 2-Ethylhexyl-2,3,4,5-tetrabromobenzoate (TBB) (CAS 183658-27-7) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant in polyurethane foam |
| Formaldehyde (CAS 50-00-0) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Wood Textiles |
| Hexabromocyclododecane (HBCDD), (e.g., CAS 25637-99-4, 3194-55-6, 134237-50-6, 134237-51-7, 134237-52-8.) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant in extruded and expanded polystyrene and flexible polyurethane foam |
| n-Hexane (CAS 110-54-3) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Used as solvents in cleaning agents in the printing and textile industry. Used in glues for the leather industry. Used in quick-drying glues and rubber cement. |
| Hexavalent chromium (Cr+6) | EPEAT Products: 500 ppm in any Homogenous Material | <ul style="list-style-type: none"> EPEAT 4.1.5.1 - IEEE STD 1680-2006) |
| Hydrazine (CAS 302-01-2) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Nickel plating Polymerization of urethane Corrosion inhibitor |
| Hydrofluorocarbons (HFCs) having up to 6 carbons | Any Intentional Addition and any presence as a gas. | <ul style="list-style-type: none"> Refrigerant |
| Indium phosphide (CAS 22398-80-7) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Semiconductor |
| Lead | 300 ppm or Intentionally Added in homogenous Material Paint: Intentionally Added Visual Display Units for EPEAT products: 0.005% (50 ppm) by weight (not homogenous) For restrictions in battery applications | External PVC cables, wire coatings EPEAT 4.1.4.1(IEEE STD 1680-2006) |



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| | see Table 7 | |
| Long chain chlorinated paraffins (LCCP; generally C 18-28) (also referred to as Long-chain chlorinated alkanes) (e.g., CAS 85535-86-0) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> • Metal working applications • Plasticizer • Leather • Paints and coatings • Sealants • Rubber applications |
| Magnesium/Magnesium Alloys (Annex W) | 1000 ppm (0.1%) | <ul style="list-style-type: none"> • Surface coating • Computer casings |
| Mercury ** | <p>Must not be present; except in lamps. RoHS Substance: allowance made for RoHS exemptions</p> <p>In exempt applications, labeling requirements and maximum content limits apply (see Section 2.6); when present in an approved application, Lenovo must be supplied with a data sheet on mercury content.</p> <p>For mercury restrictions in batteries, see Table 7</p> | <p>Annex O 2, 8, 9, 13,14, 39</p> <p>JIG 101 Ed. 4.1</p> |
| Nanomaterials intended to be released under normal or reasonably foreseeable conditions of use. Nanomaterials are defined as natural, incidental or manufactured material containing particles, in an unbound state or as an aggregate or as an agglomerate and where, for 50% or more of the particles in the number size distribution, one or more external dimensions is in the size range 1 nm – 100 nm. In addition, fullerenes, graphene flakes and single wall carbon nanotubes with one or more external dimensions below 1 nm are considered nanomaterials. | Any amount intended to be released under normal or reasonably foreseeable conditions of use. | <ul style="list-style-type: none"> • Carbon black in hoses, tubes, vibration mounts, pigments, inks, paints, and rubber based adhesives and sealants. • Nanosilver for antimicrobial properties. • Synthetic amorphous silica as a filling agent. • Aluminum oxide in rubber, paints, varnishes, catalysts, and plastics. • Cerium dioxide in catalysts, paints, coated steel, and coating agents. • Carbon nanotubes in paints and coating agents. • Titanium dioxide in plastics, coated electronic components, catalysts, paints, and inks. • Zinc oxide in ceramics, adhesive tapes, paints, inks, and plastics. |
| Nickel sulfamate (CAS 13770-89-3) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> • Nickel plating |
| Nickel sulphate CAS 7786-81-4 (anhydrous), 10101-97-0 (hexahydrate), 10101-98-1 (heptahydrate) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> • Nickel plating |
| Nonylphenols (Annex GG) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> • Lubrication oil additive • Emulsifier • Wetting and dispersing agent • Antistatic agent |



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| Perchlorates (Annex HH) | 6ppb in a material | <ul style="list-style-type: none"> Demulsifier and solubiliser Coin cell batteries Acoustic foam |
| Perfluoro carboxylic acid and related compounds (PFCAs) for a list of PFCA CAS numbers see OECD Annex 4 at http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=env/jm/mono%282006%2915&doclanguage=en | 1000 ppm (0.1% by mass) in Deliverables | <ul style="list-style-type: none"> Water, oil and grease repellent Surfactant Spreading/ wetting agent. |
| Perfluoroalkyl sulfonates (PFASs) (for a list of PFAS CAS numbers see OECD at http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=env/jm/mono%282006%2915&doclanguage=en | 1000 ppm (0.1% by mass) in Deliverables | <ul style="list-style-type: none"> Semiconductor applications Flame retardant in resins |
| Perfluorooctanoic acid (PFOA) and its salts (Annex Z and for a more extensive list of PFOA CAS numbers see OECD at http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=env/jm/mono%282006%2915&doclanguage=en) | 1000 ppm (0.1% by mass) in Deliverables | <ul style="list-style-type: none"> Semiconductor applications |
| Phthalates (Annex X) | 1000 ppm (0.1%) | <ul style="list-style-type: none"> Plasticizer in plastics (e.g., PVC) PVC electrical cables Solder paste Sealants, varnishes, paper coating, inks, resins and adhesives. |
| Plant based materials | Includes wood and paper based materials excluding paper used in publications and packaging and corn/soy based plastics and rubbers. Import of plant based materials into US requires special declaration. Notify Lenovo if and wood or paper materials are used (except in publications and packaging). | US Lacey Act |
| Polycyclic aromatic hydrocarbons (PAHs) (e.g., phenanthrene CAS 85-01-8) (Annex LL) Please note this substance grouping has prohibited applications listed in Table 1. This entry is for reporting of all other non-restricted applications or levels below the restricted amount | 1000 ppm (0.1%) in a homogeneous material | Dyes, plastics, coal tars, and creosote. |
| Polyvinyl chloride (PVC) (Annex Y) | 1000 ppm by weight in homogenous material See Table 2 in 2.1.3 Additional Requirements for “Low Halogen” Products. | <ul style="list-style-type: none"> Plastic Insulator Windows on cell phones Housings for IT equipment Electrical cables Flexible CD jackets |
| Radioactive Substances (Annex Z) | Any Intentional Addition | <ul style="list-style-type: none"> Promethium 147 as an over-voltage device Measuring devices Gauges Detectors Optical properties (e.g., thorium) |

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| Refractory Ceramic Fibres; Special Purpose Fibres, [Man-made vitreous (silicate) fibres with random orientation with alkaline oxide and alkali earth oxide (Na ₂ O+K ₂ O+CaO+MgO+ BaO) | Content less or equal to 18 % by weight | <ul style="list-style-type: none"> Insulation material in high temperature applications |
| Selenium/Selenium Compounds (Annex AA) | 1000 ppm (0.1%) | <ul style="list-style-type: none"> diodes and light detectors (lead selenide) Historically used as photoelectric coating |
| Tetrabromobisphenol A (CAS 79-94-7) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant Epoxy resins in printed circuit boards |
| Tetrabutyltin (TTBT) (CAS 1461-25-2) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Stabilizer for PVC |
| Toluene (CAS 108-88-3) | 1000 ppm (Please note that in Table 1 toluene is prohibited as a Substance or constituent of Preparations in concentrations equal to or greater than 0.1% by mass in adhesives and spray paints.) | <ul style="list-style-type: none"> Adhesive Paints/varnishes Coatings Silicon sealants |
| Toluene Diisocyanates (see Annex UU for all inclusive list of CAS numbers) | 1000 ppm (0.1%) in a homogeneous material | Chemical intermediate in the production of polyurethane |
| Tributyltin (TBT) and tributyltin compounds (Annex BB) | Any Intentional Addition in chemical products | <ul style="list-style-type: none"> Antibacterial and antifungal agents, antifoulant Paint, pigment, and stabilizer |
| 1, 2, 3-Trichlorobenzene (CAS 87-61-6) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Solvent Dye carrier Heat transfer medium |
| 1, 2, 4-Trichlorobenzene (CAS 120-82-1) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Solvent Dielectric fluid Dye carrier Synthetic transformer oil Lubricant Heat transfer medium Wood preservatives |
| Triphenyltin (TPT) and triphenyltin compounds (Annex BB) | Any Intentional Addition in chemical products | <ul style="list-style-type: none"> Antiseptic and antifungal agent Paint, pigment, and stabilizer |
| Tris (2-chloroethyl) phosphate (TCEP) (CAS 115-96-8) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant, plasticizer, and viscosity regulator in polyurethane, polyester resins, polyacrylates, polyvinyl chloride, cellulose derivatives, and thermoplastic resins. Also in adhesives, paints, varnishes, and epoxy. |
| Tris (2-chloro-1-methylethyl) phosphate (TCPP) (CAS 13674-84-5) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant, e.g., for polyurethane |
| Tris (2, 3-dibromopropyl) phosphate (CAS 126-72-7) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant, e.g., synthetic textiles and plastics Phenolic resins Paints, paper coatings, and rubber |
| Tris (1,3-dichloro-2-propyl) phosphate (TDCPP) (CAS 13674-87-8) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Flame retardant, e.g., in textiles and polyurethane foam. |



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| Vinyl chloride (CAS 75-01-4) | 1000 ppm (0.1%) in a homogeneous material | <ul style="list-style-type: none"> Chemical intermediate used in production of polyvinyl chloride |
|------------------------------|---|--|

* Restricted applications are defined in **Table 1**.

** Mercury is only permitted in lamps. The threshold for mercury reporting reflects regulations cited in **Table 1** which are currently more stringent than RoHS maximum concentration limits.

2.2.1. Substances of Very High Concern (SVHC) in Articles - Reporting Requirements

Lenovo requires suppliers to identify if any Substances of Very High Concern (SVHC) present in an Article (Deliverable) at or above the 0.1% weight by weight (w/w) concentration and report the name and CAS number of the SVHC candidate and the quantity on the Supplier Material Declaration (IPC-1752A form) for the Deliverable. See **Table 4** in this section for a list of SVHC which must be reported on the Supplier Material Declaration. The table in this section has a list of SVHC Candidate substances which may potentially be present in Information Technology (IT) equipment. Some of the SVHC substances are not included on this table for various reasons such as they are already restricted by other laws or are unlikely to be present in IT equipment.

The current candidate list of REACH SVHC as published by the European Chemicals Agency is located at:

[Http://echa.europa.eu/chem_data/candidate_list_table_en.asp](http://echa.europa.eu/chem_data/candidate_list_table_en.asp).

Annex DD in this specification also has the list of SVHC Candidate Substances as of the date of this document. Please check the web site for updates since this list is subject to change. Annex EE is the list of substances subject to REACH Authorization (current as of the date of this specification) and which are prohibited at or above 0.1% weight by weight of a Deliverable.

If an SVHC is present in a Article at or above the reporting concentrations, the Supplier must provide a customer communication to Lenovo meeting the requirements of Article 33 of the EU REACH Regulation.

| Table 4. Substances of Very High Concern (SVHC) | | | |
|--|-------------------------|--|---|
| SVHC (from proposed Candidate List) | CAS Number (EC#) | Reporting Concentration | Examples of industry uses |
| Acrylamide | 79-06-1 | At or above 0.1% weight by weight of the Deliverable | Synthesis of polyacrylamides. Polyacrylamides can be used in various applications, e.g., paper processing, gels, and grouting agent. |
| Aluminosilicate, Refractory Ceramic Fibers * | Not available | At or above 0.1% weight by weight of the Deliverable | High temperature insulating fiber for industrial furnaces, pipes, ducts, and cables. Fire protection equipment, e.g., heat shields. Brake pads, air bags, catalytic converters, and metal |



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| | | | reinforcements. |
| Ammonium pentadecafluorooctanoate (APFO) | 3825-26-1 | At or above 0.1% weight by weight of the Deliverable | Processing aid in the production of fluoropolymers and fluoroelastomers and other surfactant uses. |
| Anthracene | 120-12-7 (204-371-1) | At or above 0.1% weight by weight of the Deliverable | Scintillator for radiation detection. Radiation therapy dosimetry. |
| Arsenic acid | 7778-39-4 | At or above 0.1% weight by weight of the Deliverable | Wood preservative, finishing agent for glass and metal, production of copper foil for printed circuit boards. |
| Benzyl butyl phthalate (BBP) | 85-68-7 (201-622-7) | At or above 0.1% weight by weight of the Deliverable | Plasticiser in plastics(e.g., polyvinyl chloride). Used in sealants, varnishes, paper coatings, inks, resins and adhesives. |
| 1,2-Benzenedicarboxylic acid, di-C 6-8- branched alkyl esters, C7-rich (Diisooheptyl phthalate) (DIHP) | 71888-89-6 | At or above 0.1% weight by weight of the Deliverable | Plasticizer in PVC, sealants, and printing inks. |
| 1,2-Benzenedicarboxylic acid, di-C 7-11- branched and linear alkyl esters (Di(heptyl, nonyl, undecyl) phthalate – DHNUP | 68515-42-4 | At or above 0.1% weight by weight of the Deliverable | Plasticizer |
| 1,2-benzenedicarboxylic acid, di-C6-10-alkyl esters; 1,2-benzenedicarboxylic acid, mixed decyl and hexyl and octyl diesters with s. Brake pads, air bags, ca | 68515-51-5 68648-93-1 | At or above 0.1% weight by weight of the Deliverable | Used in polymer preparations and compounds and in plastic articles for building materials. - Additive in lubricants and adhesives. - Additive in coatings, paints, thinners, paint removes fillers, putties, plasters, modeling clay, finger paints, ink and toners, rubber and plastic articles for artist supply. |
| 1,2-Benzenedicarboxylic acid, dipentylester, branched and linear | 84777-06-0 | At or above 0.1% weight by weight of the Deliverable | In adhesive, paint and plastics |
| 1,2-Benzenedicarboxylic acid, dihexyl ester, branched and linear | 68515-50-4 | At or above 0.1% weight by weight of the Deliverable | Plasticizer |
| 2-benzotriazol-2-yl-4,6-di-tert-butylphenol (UV-320) | 3846-71-7 | At or above 0.1% weight by weight of the Deliverable | Light stablizer for a variety of plastics and other organic substrates. |
| 2-(2H-benzotriazol-2-yl)-4,6-ditertpentylphenol (UV-328) | 25973-55-1 | At or above 0.1% weight by weight of the Deliverable | Light stablizer for a variety of plastics and other organic substrates. |
| 2-ethylhexyl 10-ethyl-4,4-dioctyl-7-oxo-8-oxa-3,5-dithia-4-stannatetradecanoate (DOTE) | 15571-58-1 | At or above 0.1% weight by weight of the Deliverable | Used as heat stabilizer in plastic (mainly PVC processing). |
| reaction mass of 2-ethylhexyl 10-ethyl-4,4-dioctyl-7-oxo-8-oxa-3,5-dithia-4-stannatetradecanoate and 2-ethylhexyl 10-ethyl-4-[[2-[(2-ethylhexyl oxy)-2-oxoethyl]thio]-4-octyl-7-oxo-8-oxa-3,5-dithia-4-stannatetradecanoate | | At or above 0.1% weight by weight of the Deliverable | Used as heat stabilizer in plastic (mainly PVC processing). |



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| (reaction mass of DOTE and MOTE) | | | |
| Bis (2-ethyl(hexyl)phthalate) (DEHP) | 117-81-7 (204-211-0) | At or above 0.1% weight by weight of the Deliverable | Plasticiser in plastics(e.g., polyvinyl chloride). Used in sealants, varnishes, paper coatings, inks, resins and adhesives. |
| Bis(2-methoxyethyl)ether | 111-96-6 | At or above 0.1% weight by weight of the Deliverable | Solvent for battery electrolytes, sealants, adhesives, paints and coatings. |
| Bis(2-methoxyethyl) phthalate | 117-82-8 | At or above 0.1% weight by weight of the Deliverable | Plasticizer for nitrocellulose, acetyl cellulose, polyvinyl acetate, polyvinyl chloride and polyvinylidene chloride. Enameled wire, film, high-strength varnish and adhesive. |
| Bis(tributyltin)oxide (TBTO) * | 56-35-9 | At or above 0.1% weight by weight of the Deliverable | Antiseptic, antifungal agent, paint, pigment, antistaining, refrigerant, foaming agent, and extinguishant. |
| Boric acid | 10043-35-3, 11113-50-1 | At or above 0.1% weight by weight of the Deliverable | Applications include electrolytic capacitors, glass, ceramics, rubber, flame retardants, paints, industrial fluids, soldering products, wood veneers, pressed wood panels, and film developers. |
| Cadmium | 7440-43-9 | At or above 0.1% weight by weight of the Deliverable | Applications include batteries, electroplating baths, electrical connectors and connector inserts, cadmium plated fasteners and bearing components, as an alloying element in copper, tin, and zinc alloys, electrical conductors, electrical contact materials in line starters and solenoid relays, and other devices subject to high surge current, pigment in plastic, inks, and dispersant in plastic. |
| Cadmium chloride | 10108-64-2 | At or above 0.1% weight by weight of the Deliverable. | Manufacture of fungicides, dyeing and printing textiles, in metal finishing baths. |
| Cadmium fluoride | 7790-79-6 | At or above 0.1% weight by weight of the Deliverable. | Used to alloy metals and for optical deposition. |
| Cadmium oxide | 1306-19-0 | At or above 0.1% weight by weight of the Deliverable. | Applications include batteries, electroplating baths, electrical connectors and connector inserts, cadmium plated fasteners and bearing components, as an alloying element in copper, tin, and zinc alloys, electrical conductors, electrical contact materials in line starters and solenoid relays, and other devices subject to high surge current, pigment in plastic, inks, and dispersant in plastic. |
| Cadmium sulphate | 10124-36-4 31119-53-6 | At or above 0.1% weight by weight of the Deliverable. | Used for the electroplating of cadmium in electronic circuits. |
| Cadmium sulphide | 1306-23-6 | At or above 0.1% weight by weight of the Deliverable. | Used as a pigment. Used in manufacturing of photoresistors. Used for thin-film transistors. As a thin film can be used in |



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| | | | piezoelectric and as transducers. |
| Cobalt (II) carbonate | 513-79-1 | At or above 0.1% weight by weight of the Deliverable. | Used as an intermediate in the hydrometallurgical purification of cobalt from its ores, as an inorganic pigment, and as a precursor to catalysts. |
| Cobalt (II) diacetate | 71-48-7 | At or above 0.1% weight by weight of the Deliverable. | Used in production of intermediate chemicals, surface treatments, and adhesion improvement between rubber and steel. |
| Cobalt dichloride | 7646-79-9 (231-589-4) | At or above 0.1% weight by weight of the Deliverable | Cobalt plating and cobalt based pigments and drier compounds (desiccants). |
| Cobalt (II) dinitrate | 10141-05-6 | At or above 0.1% weight by weight of the Deliverable. | Used in production of intermediate chemicals, surface treatment and batteries. |
| Cobalt (II) sulphate | 10124-43-3 | At or above 0.1% weight by weight of the Deliverable. | Used in production of intermediate chemicals, surface treatment, corrosion prevention, batteries, preparation of pigments, manufacture of drier in lithographic inks. |
| Diboron trioxide | 1303-86-2 | At or above 0.1% weight by weight of the Deliverable. | Glass |
| 2,2'-dichloro-4,4'-Methylenedianiline | 101-14-4 | At or above 0.1% weight by weight of the Deliverable. | Production of polyurethane articles. |
| 2,4-di-tert-butyl-6-(5-chlorobenzotriazol-2-yl)phenol (UV-327) | 3864-99-1 | At or above 0.1% weight by weight of the Deliverable. | UV-protection agents in coatings, plastics, rubber and cosmetics |
| 2-(2H-benzotriazol-2-yl)-4-(tert-butyl)-6-(sec-butyl)phenol (UV-350) | 36437-37-3 | At or above 0.1% weight by weight of the Deliverable. | UV-protection agents in coatings, plastics, rubber and cosmetics |
| Diisopentylphthalate | 605-50-5 | At or above 0.1% weight by weight of the Deliverable. | Plasticizer. Used in manufacture of propellants. |
| Di-n-hexyl phthalate (DNHP) (synonym - dihexyl phthalate) | 84-75-3 | At or above 0.1% weight by weight of the Deliverable. | Plasticizer |
| N,N-dimethylacetamide | 127-19-5 | At or above 0.1% weight by weight of the Deliverable. | Solvent for production of fibers for polymers, e.g., acrylic, polyurethanepolyurea copolymer |
| 1,2-dimethoxyethane; ethylene glycol dimethyl ether (EGDME) | 110-71-4 | At or above 0.1% weight by weight of the Deliverable. | Possible use in batteries. |
| Dipentyl phthalate (DPP) | 131-18-0 | At or above 0.1% weight by weight of the Deliverable. | Plasticizer in polyvinyl chloride. |
| Disodium tetraborate, Anhydrous | 1330-43-4 (anhydrous), 12179-04-3 (pentahydrate), 1303-96-4 (decahydrate) | At or above 0.1% weight by weight of the Deliverable | Wood preservative. Biocide. Electrolytic capacitors. |
| 2-Ethoxyethanol | 110-80-5 | At or above 0.1% weight by weight of the Deliverable | Solvent for commercial and industrial applications. Multipurpose cleaner in such products as varnish remover and degreasers. |
| 2-Ethoxyethyl acetate | 111-15-9 | At or above 0.1% weight by | Solvent. Used in formulations of |



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| | | weight of the Deliverable | paints, lacquers and varnishes for industrial uses. |
| Fatty acids, C16-18, lead salts | 91031-62-8 | At or above 0.1% weight by weight of the Deliverable. | Potential use in PVC processing for cables and power cords. |
| Formaldehyde, oligomeric reaction products with aniline | 25214-70-4 | At or above 0.1% weight by weight of the Deliverable | Hardener for epoxy resins in adhesives, used in the production of high performance polymers. |
| Hexabromocyclododecane (HBCDD) and all major diastereoisomers identified (α – HBCDD, β -HBCDD, γ -HBCDD) | 25637-99-4; 134237-50-6; 134237-51-7; 134237-52-8 (247-148-4; 221-695-9) | At or above 0.1% weight by weight of the Deliverable | Flame retardant in extruded and expanded polystyrene and flexible polyurethane foam. |
| Hydrazine | 7803-57-8; 302-01-2 | At or above 0.1% weight by weight of the Deliverable | Blowing agent for thermoplastic and Elastomers. Organic dyes for textiles. Precursor to polymerization catalysts. Metallization of glass, plastics and metals. Nickel and palladium electroless deposition. Making PCB holes conductive. |
| Lead hydrogen arsenate | 7784-40-9 | At or above 0.1% weight by weight of the Deliverable | Biocide for wood. |
| Lead monoxide (lead oxide) trioxide | 1317-36-8 | At or above 0.1% weight by weight of the Deliverable. | Potential use in lead acid batteries Glass |
| Lead oxide sulphate | 12036-76-9 | At or above 0.1% weight by weight of the Deliverable. | Potential use in lead acid batteries |
| Lead titanium trioxide | 12060-00-3 | At or above 0.1% weight by weight of the Deliverable. | Ceramics |
| 2-Methoxyethanol | 109-86-4 | At or above 0.1% weight by weight of the Deliverable | Manufacture of rubber and plastic products. Multipurpose solvent, for example, in varnishes, dyes, and resins. |
| 1-Methyl-2-pyrrolidone | 872-50-4 | At or above 0.1% weight by weight of the Deliverable. | High temperature coating, urethane dispersions, acrylic and styrene latexes. Paint remover, industrial degreaser, and injection head and cast-molding equipment cleaner. Cleaning, de-fluxing, edge bead removal and photoresist stripping. |
| Nitrobenzene | 98-95-3 | At or above 0.1% weight by weight of the Deliverable. | Used in the production of aniline, which is a precursor to rubber chemicals, pesticides, dyes (particularly azo dyes), explosives, and pharmaceuticals. |
| Orange lead (lead tetroxide) | 1314-41-6 | At or above 0.1% weight by weight of the Deliverable. | Potential use in lead acid batteries. |
| Pentadecafluorooctanoic acid (PFOA) | 335-67-1 | At or above 0.1% weight by weight of the Deliverable. | Surfactant in emulsion polymerization of fluoropolymers |
| Perfluorononan-1-oic-acid and its sodium | 375-95-1 | At or above 0.1% weight by | Processing aid for fluoropolymer |



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| and ammonium salts | 21049-39-8 4149-60-4 | weight of the Deliverable | manufacture/lubricating oil additive/surfactant for fire extinguishers/cleaning agent/textile antifouling finishing agent/polishing surfactant/waterproofing agents and in liquid crystal display panels |
| [Phthalate (2-)]dioxotrilead | 69011-06-9 | At or above 0.1% weight by weight of the Deliverable | Potential plasticizer in cable jacketing |
| Potassium chromate | 7789-00-6 | At or above 0.1% weight by weight of the Deliverable | Treatment and coating of metals. Manufacture of reagents and chemicals. Manufacture of textiles. Coloring agent in ceramics. Tanning and dressing of leather. Manufacture of pigments and inks. |
| Potassium dichromate | 7778-50-9 | At or above 0.1% weight by weight of the Deliverable | Chrome metal manufacturing. Treatment and coating of metals. Manufacture of chemicals. Tanning of leather. Textile manufacturing. Photolithography. Wood treatment. Corrosion inhibitor in cooling systems. |
| 1,3-propanesultone | 1120-71-4 | At or above 0.1% weight by weight of the Deliverable | Chemical intermediate in the production of fungicides, insecticides, cationexchange resins, dyes, vulcanisation accelerators, detergents, lathering agents, bacteriostats, and a variety of other chemicals and as corrosion inhibitor for mild (untempered) steel and electrolyte fluid of lithium ion batteries. |
| Pyrochlore, antimony lead yellow | 8012-00-8 | At or above 0.1% weight by weight of the Deliverable | Potential pigment in paints and inks |
| 5-sec-butyl-2-(2,4-dimethylcyclohex-3-en-1-yl)-5-methyl-1,3-dioxane [1], 5-sec-butyl-2-(4,6-dimethylcyclohex-3-en-1-yl)-5-methyl-1,3-dioxane [2] [covering any of the individual stereoisomers of [1] and [2] or any combination thereof] | | At or above 0.1% weight by weight of the Deliverable | Fragrance ingredient |
| Sodium chromate | 7775-11-3 | At or above 0.1% weight by weight of the Deliverable | Manufacture of chromium compounds. |
| Sodium dichromate, dihydrate | 7789-12-0 10588-01-9 (234-190-3) | At or above 0.1% weight by weight of the Deliverable | Metal finishing, passivation and metal plating. Pigments in paints, plastics, and glass. |
| Sodium perborate; perboric acid, sodium salt | Not available | At or above 0.1% weight by weight of the Deliverable | Bleaching agent |
| Sodium peroxometaborate | 7632-04-4 | At or above 0.1% weight by weight of the Deliverable | Bleaching agent |
| Strontium chromate | 7789-06-2 | At or above 0.1% weight by weight of the Deliverable | Paints, varnishes, sealants. Coatings in steel and aluminum coils. |
| Tetraboron disodium heptaoxide, hydrate | 12267-73-1 | At or above 0.1% weight by weight of the Deliverable | Applications include electrolytic capacitors, glass and glass fibers, |



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| | | | ceramics, cleaners, industrial fluids, metallurgy, adhesives, wood applications, and flame retardants. |
| Tetralead trioxide sulphate | 12202-17-4 | At or above 0.1% weight by weight of the Deliverable | Potential stabilizer in PVC |
| Trichloroethylene | 79-01-6 | At or above 0.1% weight by weight of the Deliverable | Industrial solvent. Solvents for adhesives. Degreaser for metal parts. Intermediate in manufacture of chlorinated and fluorinated organic compounds. |
| 1,2,3-Trichloropropane | 96-18-4 | At or above 0.1% weight by weight of the Deliverable | Paint and varnish remover. Solvent for oils, fats, waxes, rubber, and resins. Degreasing agent. |
| Triethyl arsenate | 15606-95-8 (427-700-2) | At or above 0.1% weight by weight of the Deliverable | Biocide for wood. |
| Zirconia Aluminosilicate, Refractory Ceramic Fibers | Not available | At or above 0.1% weight by weight of the Deliverable | High temperature insulating fiber for industrial furnaces, pipes, ducts, cables, and high-temp test equipment. Fire protection equipment such as heat shields. Also used for brake pads, catalytic converters, metal reinforcement, and air bags |

EC# - found in EINECS (European Inventory of Existing Commercial chemical Substances).

EU REACH Regulation Number 1907/2006 can be found at http://reach.jrc.it/legislation_en.htm

The EU provides guidance documents for REACH, specifically guidance documents for Substances in Articles as well as the candidate list for SVHC at http://reach.jrc.it/guidance_en.htm

Additional information about REACH can be found at the European Chemicals Agency web site at <http://echa.europa.eu/>

2.3 Marking of Hardware Plastic Parts

Hardware plastic Parts molded and/or fabricated from thermoplastic materials and weighing 25 grams or more must be marked in accordance with the International Organization for Standardization's international standard ISO 11469, 2000-05 "Plastics- Generic identification and marking of plastics products." The marking convention of ISO 11469 is outlined in the following sections. Marking is optional for Parts weighing less than 25 grams, however, all Parts having adequate surface area for coding should be marked. **Marking requirements do not apply to cable and cable assemblies or experimental tooling.** The marking of protective packaging materials is not in the scope of this specification.

2.3.1 Coding Method

The marking shall be made by injection molding, stamping, or other means of permanently affixing the information in a readily visible area on non-decorative or nonfunctional surfaces.

Marking in a readily visible area means that the marking can be seen on the disassembled plastic Parts. Use of labels with adhesives for coding Parts is not allowed.



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Notes:

1. When two or more resins may be used for production of a Part, identification of the actual resin used for fabrication is required.
2. If the Parts must be plated or painted on the internal surface, it may not be possible to have a readily visible injection molded-in marking. In such cases, it may be necessary to code the Parts with a stamp or other means of permanently affixing the information. If the Parts must be painted with a decorative paint, it must be indicated on the internal surface with an appropriate means (for example, stamp) that the Part has been painted.

2.3.2 Symbol to Signify Recyclability

To indicate that the plastic Material used for the fabrication of the Part is recyclable, the two symbols “>” and “<” (normally used to indicate *greater than* and *less than*) will be used. These symbols are shown in **Section 2.3.3**. Marking with these symbols indicates that the Part Material is recyclable. **Note:** The size of the symbol is optional as long as it is clearly legible.

2.3.3 Resin Generic Identification

Resin identification will be marked on Parts using the symbol for polymer type in between the symbols > and < as shown in the example of polycarbonate/ABS blend below.

> **PC+ABS** <

The symbols for the plastic Materials shall be selected from Part 1 of international standard ISO 1043, *Plastics-Symbols and abbreviated terms*. Symbols of plastics not appearing in ISO 1043-1 shall be selected from ASTM D 4000, *Classification System for Specifying Plastic Materials*; and ASTM D 1600, *Terminology Relating to Abbreviations, Acronyms and Codes for Terms Relating to Plastics*. See **Table 5** “Commonly Used Resins” for typical examples.

| Table 5. Commonly Used Resins | |
|---|-----------------------|
| Generic Family Name | Polymer Symbol |
| Polyamide | PA |
| Polycarbonate | PC |
| Poly(phenylene ether) | PPE |
| Polymethylmethacrylate | PMMA |
| Polystyrene | PS |
| Polyvinyl chloride | PVC |
| Acrylonitrile/Butadiene/Styrene | ABS |
| Polycarbonate + Acrylonitrile/Butadiene/Styrene | PC +ABS |
| Polycarbonate with 10% glass fiber | PC - GF10 |

When two or more resins may be used for production of a Part, identification of the actual resin used for fabrication can be displayed by arrows. See **Table 6** for examples.



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| Table 6. Examples of Completed Plastic Part Markings | |
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| Example | Marking |
| Single material used in production of Part | > ABS-FR(17) < |
| Two or more generically different materials allowed for production of Part | Arrow points to actual material used in production. > ABS-FR(17) < → > PC + ABS – FR(40) < |

2.3.4 Additives Generic Identification

Additives identification shall be marked on Parts using the generic symbols from the series of international standards ISO 1043-2, 1043-3 and 1043-4. For example, a blend of polycarbonate/ABS with halogen-free organic phosphate flame retardant compounds is marked with the following code:

> PC+ABS-FR(40) <

2.4 Additional Requirements for Batteries

2.4.1 Battery Content Restrictions

Table 6 “Restrictions on Content of Batteries” lists restrictions on content of batteries sold by Lenovo. Also, all batteries contained in Parts or Products covered by this specification shall meet the requirements of **Table 7**.

| Table 7. Restrictions on Content of Batteries | |
|---|---|
| Battery Type | Restrictions |
| All Battery Types | <ul style="list-style-type: none"> No intentionally-introduced mercury ≤ 0.0005% mercury by weight.^{1, 6, 11} 0.001% cadmium by weight (Note the lower cadmium restrictions for some battery types below)¹ Only battery types which are exempted from all hazardous materials transport regulations (surface and air), i.e., not classified as a hazardous material (for purposes of transport) or dangerous good, can be used. |
| Nonremovable batteries or accumulators, unless the battery is nonremovable due to user safety or other principal purpose. | <ul style="list-style-type: none"> ≤ 0.0005% cadmium by weight⁵ ≤ 0.1% lead by weight⁵ ≤ 0.0005% mercury by weight⁵ |
| Alkaline zinc manganese dioxide | <ul style="list-style-type: none"> ≤ 0.001% cadmium by weight^{4, 6} 0.004% lead by weight^{2, 6, 9} 0.0001% mercury by weight^{2, 6, 9} |
| Alkaline manganese button cell battery with mercury added | Prohibited ⁷ |
| Button Cell Batteries | <ul style="list-style-type: none"> ≤ 0.0005% cadmium by weight must not exceed 25 mg mercury per battery (until December 31, 2015) ≤ 0.0005% mercury by weight in homogeneous materials (beginning January 1, 2016) |
| Lead Acid (Pb), Sealed | Must be classified as non-spillable and meet the requirements of US Code of Federal Regulation, 49 CFR 173.159a and IATA Special Provision A67. |
| Mercuric oxide button cell battery | Prohibited ⁷ |



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| Nickel Cadmium (Ni-Cd) | Restricted to applications where no technically feasible alternative exists. Use requires written approval of a Lenovo procurement representative unless battery(ies) have been specified by an Lenovo print or specification.* |
| Nickel Metal Hydride (Ni-MH) | ≤ 0.025% cadmium by weight |
| Silver oxide mercury added button cell batteries, including silver oxide button cell batteries designated SR357, SR364, R371, SR377 and SR395 | Prohibited ⁷ |
| Zinc-air button cell battery with mercury added | Prohibited ⁷ |
| Zinc Carbon Batteries sizes R6, R14, R20 | <ul style="list-style-type: none">• ≤ 0.200% lead by weight ²• ≤ 0.001% cadmium by weight ⁴ (R6, R14 and R20)• ≤ 0.0001% mercury by weight ³ |
| Zinc silver oxide, zinc air and zinc manganese dioxide button batteries | <ul style="list-style-type: none">• Prohibited ¹²• ≤ 0.005% mg/g mercury ¹⁰ |
| Non-alkaline zinc manganese dioxide | <ul style="list-style-type: none">• ≤ 0.001% cadmium by weight ^{4, 6, 9}• ≤ 0.100% lead by weight ^{2, 6}• ≤ 0.0005% mercury by weight ^{2, 6} |

Note - the regulations cited below are only a sample of the regulations pertaining to batteries. They are provided for example purposes only.

- 1) EU Directive 2006/66/EC of the European Parliament and of the Council of 6 September 2006 on batteries and accumulators and waste batteries and accumulators.
- 2) Argentina National Legislature Act 26.184 on the manufacturing, assembly and importing of batteries.
- 3) New York Battery Reduction and Elimination. New York State Consolidated Laws. Environmental Conservation
- 4) Austrian Battery Ordinances 514/1990, as amended by BGB1 No. 3/1991(4 January, 1991) and BGB1.II Nol. 495/1999 (28 December 1999) of the Ordinance of Federal Ministry for Environment, Youth and Family.
- 5) Switzerland Ordinance on Risk Reduction related to the Use of certain particularly dangerous Substances, Preparations and Articles.
- 6) Brazil Resolution Number 401 of November 4, 2008 Batteries.
- 7) Maine Act Concerning Mercury-added Button Cell Batteries.
- 8) 2011 Wisconsin Act 201 relating to zinc air button cell batteries
- 9) GB 24427-2009 Limitation of mercury, cadmium and lead contents for alkaline and non-alkaline zinc manganese dioxide batteries. National Standards of the People's Republic of China
- 10) GB 24428-2009 Limitation of mercury contents for zinc silver oxide, zinc air and zinc manganese dioxide button batteries. National Standards of the People's Republic of China
- 11) Canada Products Containing Mercury Regulations SOR/2014-254
- 12) Japan Act on Preventing Environmental Pollution of Mercury

2.4.2 Product Design and Labeling Requirements for Batteries

All batteries contained in Parts and Products covered by this specification shall be designed for easy identification and removal. Batteries must be classified as nonhazardous (for purposes of transport) by all modes of transport as required and data (safety data sheets for all batteries, plus UN38.3 test reports for lithium batteries) used to classify batteries as nonhazardous in transport must be supplied to Lenovo upon request. For further information on this, please contact the Lenovo Hazardous Materials Transportation Coordinator.

Suppliers of Deliverables with lead acid batteries must provide Lenovo with a Material Safety Data Sheet (MSDS) which is current, e.g., less than 3 years old, and conforms to US Occupational Safety and Health Administration requirements in 29 Code of Federal Register 1910. A copy of this MSDS



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must accompany lead acid batteries which ship to a Lenovo customer, including end use customers, Business Partners, and OEM customers. The MSDS must also be available upon request in Spanish.

All Non-Spillable Wet Batteries purchased for use in Lenovo or non-Lenovo equipment must be non-regulated for shipment per IATA Special Provision A67; 49 CFR 173.159 (d); and all other application transportation regulations. The battery and external package must be marked "NONSPILLABLE" or "NONSPILLABLE BATTERY" according to 49 CFR 173.159 (d) (2). All Lithium Batteries purchased for use in Lenovo or non-Lenovo equipment must be non-regulated for shipment per IATA Special Provision A45; 49 CFR 173.185 (b) or (c); and all other applicable transportation regulations. Bulk shipments of Lithium cells or batteries must be in quantities of 12 or less and have a gross package weight of 5 kg or less for all field use shipments. This requirement does not apply to Lithium battery or cell shipments used to support manufacturing operations as long as all transportation regulations are met.

IMPORTANT: The U.S. Department of Transportation (USDOT) prohibits the shipment of primary (non-rechargeable) lithium batteries and cells on passenger aircraft for both foreign and domestic passenger-carrying aircraft entering, leaving, or operating in the United States according to 49 CFR 172.102 Special Provision A100. In addition, the package must also be marked "PRIMARY LITHIUM BATTERIES - FORBIDDEN FOR TRANSPORT ABOARD PASSENGER AIRCRAFT" when transported by highway, rail, vessel and cargo aircraft in the U.S. Per 49 CFR 173.185 (b)(5) or (c)(4). Secondary (rechargeable) lithium batteries and cells are only permitted on passenger aircraft for both foreign and domestic passenger-carrying aircraft entering, leaving, or operating in the United States with a gross package weight not exceeding 5 kg according to 49 CFR 172.102 Special Provision A100.

Documentation from the manufacturer/supplier of the battery must be provided to Lenovo clearly stating that the part number being purchased by Lenovo meets all the requirements which make the battery non-hazardous for shipment by IATA, 49 CFR, and any other applicable regulation such as ADR, IMDG, TDG, etc. Data (industry test reports) used to classify batteries as non-hazardous in transport must be supplied upon request.

All rechargeable primary batteries must be labeled with a reference to Lenovo's website for further information on recycling. For example, the label may read: "Please see www.lenovo.com/lenovo/environment for more information about recycling options in your area." Variations on this label require Lenovo GEA approval.

Batteries shall have appropriate labels affixed, including but not limited to

1. Battery type and chemistry (IEC standard name is acceptable for button cells, e.g., CR2032, BR1225, see IEC 60086-2),
2. Manufacturer name,
3. Capacity rating on all batteries with the exception of coin cell
 - a. All batteries must, at a minimum, have the capacity displayed in Ah on a label with a minimum size of 1 mm x 5 mm,
 - b. Lithium ion batteries also require the specific marking format of Wh, and



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4. Other markings, hazard warnings, and information as required by applicable laws and regulations.

Battery labels or markings must be printed visibly, legibly and indelibly. The battery marking shall be located on or adjacent to each battery unless otherwise noted in this Section. Deliverables containing batteries that are not readily identifiable must be clearly labeled on the exterior to indicate the presence of a battery inside. In battery packs, individual cells may be labeled (in cases where multiple manufacturers or chemistries cannot clearly be identified using a single label for the pack) or one label may be used for the pack.

If a label design is specified by Lenovo in a Part print, drawing or assembly specification, the specified label on the print or assembly specification must be affixed. In the absence of a battery label specified by Lenovo in a Part print, the supplier shall refer to country requirements. For reference, the following **Sections 2.4.2.1 - 2.4.2.5** summarize battery marking requirements for selected geographies.

2.4.2.1 Marking Requirements for the EU, Brazil, Turkey, and other jurisdictions

Instructions must be provided in the Product or Part hardware publications, showing how batteries can be removed safely and informing the customer of the type of battery in the Deliverable.

In the European Union, a mark indicating separate collection must be printed on all batteries or accumulators. See **Figure 1**. The mark must (1) consist of a crossed-out wheeled bin container; (2) cover 3% of battery or accumulator's largest side area, and be of a maximum size of 5 cm x 5 cm; (3) for cylindrical cells, cover 3% of half the surface area of battery or accumulator, and maximum size of 5 cm x 5 cm; and (4) where the mark would be smaller than 0.5 cm x 0.5 cm, a separate mark measuring at least 1 cm x 1 cm must be printed on the package.

In addition, batteries and accumulators containing heavy metals must be marked with specific symbols for heavy metal content: Hg for mercury content greater than 0.0005% mercury; Cd for cadmium content greater than 0.002% cadmium; Pb for lead content greater than 0.004% lead. These symbols must be printed beneath the separate collection mark and must be at least 1/4 of the size of the separate collection mark.

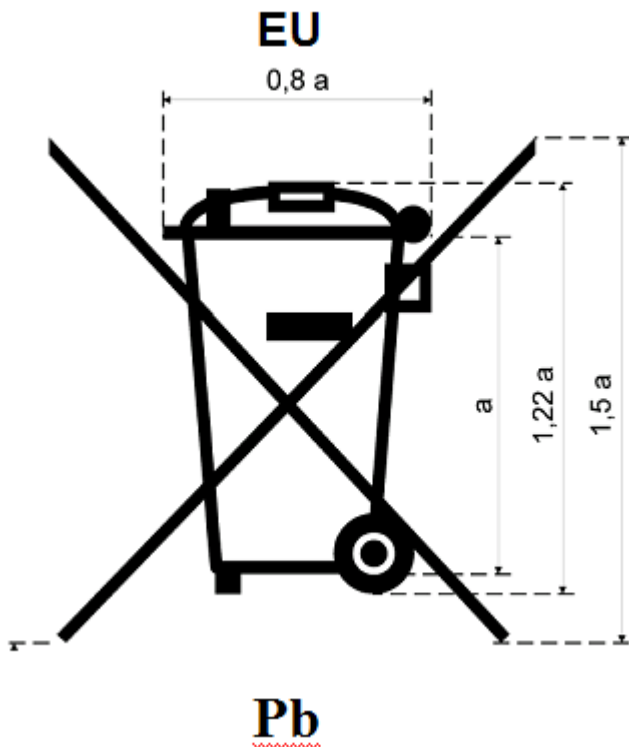


Figure 1. Collection mark and heavy metal content marking for a battery containing lead.

The capacity rating must be labeled on all portable batteries. Portable battery is defined by the EU Directive 2006/66/EC on batteries and accumulators to mean any battery, button cell, battery pack or accumulator that is sealed, can be hand carried, and is neither an industrial battery or accumulator nor an automotive battery or accumulator. Capacity rating (e.g., Watt-hour, Wh) for button or coin cell batteries may be located on the packaging if space is not available on the battery.

2.4.2.2 Labeling Requirements for the United States

2.4.2.2.1 Requirements for Rechargeable Ni-Cd and Small Sealed Lead Acid Batteries

In the United States, the Mercury-Containing and Rechargeable Battery Management Act (Public Law 104-142) establishes national, uniform labeling requirements for rechargeable Ni-Cd, small sealed lead acid batteries, and products containing these regulated batteries as a primary energy supply. Products that include an internal uninterrupted power supply (UPS) device are exempt. Regulated batteries must display three chasing arrows or a comparable recycling symbol and the text indicated in **Table 8** for the respective regulated items. No size or color requirements for the recycling symbol are specified in the regulation. EPA publication EPA530-K-97-009, “Implementation of the Mercury-Containing and Rechargeable Battery Management Act” depicts the three chasing arrows symbol shown in **Figure 2**.



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Figure 2. Three chasing arrows symbol as cited by the U.S. EPA for the U.S. Battery Act

The required labeling must appear on the packaging of the Products containing regulated batteries that are not easily removable, and on the packaging of regulated batteries that are sold separately from such Products, if the labeling on the Product or battery is not visible through the packaging.

| Table 8. Texts for Battery Marking for the U.S. Battery Act | |
|--|--|
| Regulated Item | Text |
| Nickel-cadmium batteries* | Nickel-cadmium or Ni-Cd with the phrase BATTERY MUST BE RECYCLED OR DISPOSED OF PROPERLY |
| Lead acid batteries | Pb or the words “LEAD,” “RETURN,” and “RECYCLE”, and if the batteries are sealed, the phrase “BATTERY MUST BE RECYCLED.” |
| Products containing regulated lead-acid batteries that are not easily removable | “CONTAINS SEALED LEAD BATTERY. BATTERY MUST BE RECYCLED.” |
| Product containing Ni-Cd batteries that are not easily removable | “CONTAINS NICKEL-CADMIUM BATTERY. BATTERY MUST BE RECYCLED OR DISPOSED OF PROPERLY.” |

* Unless specifically called out on an Lenovo print or specification, nickel cadmium batteries may not be used in Parts and Products covered by this specification.

2.4.2.2.2 Requirements for Rechargeable Lithium Ion Batteries Sold in US and Canada

Lenovo is a licensee of the Rechargeable Battery Recycling Corporation (RBRC) for rechargeable lithium ion batteries sold in the US and Canada. As part of this program, all Lenovo rechargeable lithium ion batteries offered for sale in the US and Canada must bear the Rechargeable Battery Recycling Corporation (RBRC) seal (**Figure 3**). This requirement applies to rechargeable lithium ion batteries that will be included in products as well as batteries that will be sold as stand alone parts or replacements.

The seal must be placed on the battery itself. It should also be placed on battery packaging, in user manuals, and in informational materials wherever possible. The seal must be at least 13mm in diameter and must have white space around the seal at least equal to the width of the outer ring of the seal. The seal must contain the RBRC toll free number (1-800-822-8837), the word “RECYCLE,” and the battery chemistry as illustrated in **Figure 3** below.



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US & Canada Only US & Canada Only

Figure 3. RBRC seals for rechargeable lithium ion batteries (color or black and white).

Lenovo requires the additional text “**US & Canada Only**” to appear below the seal when Products are sold outside the US or Canada. The size of the lettering “**US & Canada Only**” shall have a minimum text height of 2mm. Helvetica font, 8 or 10 points is recommended. Text is to be centered under the seal but must not touch the seal.

2.4.2.2.3 Requirements for Batteries Containing Perchlorate

Many manganese dioxide lithium coin cell batteries used in Lenovo applications such as desktop and laptop computers contain perchlorate. The use of any material, part, or product containing perchlorate triggers specific labeling and notification requirements in the US. California’s Perchlorate Contamination Prevention Act requires that all perchlorate containing materials and products containing perchlorate be labeled with or accompanied by the following statement:

Perchlorate Material - special handling may apply, See www.dtsc.ca.gov/hazardouswaste/perchlorate

The statement must appear on either (1) a label conspicuously applied to the exterior of all outer shipping packages and on consumer packages or (2) the statement may be included on a document included with the shipment such as an owners manual or package insert. The above statement must be used verbatim and must accompany every Lenovo part or product containing perchlorate that will be shipped in the US.

2.4.2.3 Requirements for Batteries Sold in China

The Regulation on Mercury Content Limitation for Batteries requires all domestically manufactured and imported alkaline batteries sold in China to be marked to indicate mercury content using Chinese characters equivalent to “low mercury” (if the mercury content is less than 0.025% of the weight of the battery) or “mercury free” (if the mercury content is less than 0.0001% of the weight of the battery).

2.4.2.4 Additional Requirements for Taiwan

All batteries sold in Taiwan are required to have the “Four-in-One” recycling symbol. See **Figure 4**. The “Four-in-One” recycling symbol must be printed in any solid color (monotone), must be square in shape with each side not smaller than 0.5 cm in packaging and 1.5 cm in user manuals and product literature. The recycle symbol should be placed on the battery.



Figure 4. Four-in-One recycling symbol for Taiwan

Electronic products with embedded dry cells must be affixed with Four-in-One Recycling Symbol on the product packaging, product labels or instruction books. Nearby the Four-in-One Recycling Symbol, the Chinese characters for “Please Recycle Batteries” must be indicated. See Figure 4.1.



Figure 4.1 Four-in-One recycling symbol and words for Taiwan

2.4.2.5 Requirements for Rechargeable Batteries Sold in Japan

Rechargeable sealed lead acid, nickel cadmium, nickel metal hydride, and lithium ion batteries sold inside Japan shall be labeled according to the Ordinance No. 95 of Ministry of Economy, Trade, and Industry under the Law for the Promotion of the Effective Utilization of Resources (Law No. 48, 1993 as amended, 2001). These requirements are summarized in the Tables and Forms below.

Sealed lead acid batteries with greater than 234,000 coulombs charge and small coin type rechargeable batteries that are contained inside Products are exempted from the special Japanese labeling requirements of this section. Recommended background color of label is silver (PANTONE877C) or gray (PANTONE421C) for sealed lead acid, yellowish green (PANTONE389C) for sealed nickel-cadmium, orange (PANTONE1375C) for sealed nickel-hydrogen, and blue (PANTONE312C) for sealed lithium storage battery.

In case of Li-ion battery, it is recommended the following two digits be added.

Indication of Li-ion battery : Li-ion XY Near the three arrow mark, indicating "X: the maximum amount of metal contained in the positive electrode" and "Y: the metals which disturb the recycling of main metals" with two numbers.

1st Number(X): Max. amount of metal contained in the positive electrode

0: Cobalt

1: Manganese

2: Nickel



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2nd Number(Y): metals which disturb recycling main metals

0: None

1: In case the total "Tin(Sn)" content in the cell(s) is more than 1.0 wt% per battery pack weight

2: In case the total "Phosphorous(P)" content in the cell(s) is more than 0.5 wt% per battery pack weight

See **Figure 5** for detail specifications for symbol and battery type.

| Table 9. Battery Label Requirements for Japan | |
|---|---------------------------------|
| <i>Class of the Specified Labeled Product</i> | |
| Storage batteries not covered by using plastic or other materials and storage batteries covered by using plastic or other materials with height of less than 10mm | Battery type |
| Sealed lead storage batteries covered by using plastic or other materials with height of 10mm or more | Symbol with Battery type |
| Sealed nickel-cadmium storage batteries covered by using plastic or other materials with height of 10mm or more | |
| Sealed nickel-hydrogen storage batteries covered by using plastic or other materials with height of 10mm or more | |
| Sealed lithium storage batteries covered by using plastic or other materials with height of 10mm or more | |



Figure 5. Chasing Arrows recycling symbol and Battery type for Japan

2.4.2.6 Requirements for Alkaline Batteries Sold in Argentina, Colombia, Paraguay

Alkaline batteries are banned in Argentina, Colombia and Paraguay and should not be distributed there directly (factory) or indirectly (distribution center). For use of Alkaline batteries in other Latin America countries please check with Lenovo LA Global Environmental Affairs.

2.4.2.7 Requirements for Brazil

Lead acid, nickel cadmium, mercury oxide, alkaline manganese, and zinc manganese batteries or accumulators shall be labeled in accordance to the requirements for the European Union, see previous section for the EU. In addition, these batteries must be clearly and indelibly labeled in Brazilian Portuguese with the following information:

- Identification of the importer and manufacturer,



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- Warning about risks to human health and the environment, and
- Requirement to return the battery, after use, to the reseller, manufacturer, or importer.

If there is insufficient space on the batteries to put the above information then this information must be on the packaging and in the product manual shipped with products.

The manufacturer of these batteries must:

- Register in the Brazil Federal Technical Register of Activities that are Potentially Contaminating or that Use Environmental Resources.
- Test the batteries in accordance to Chapter 1, Section 3 of Brazil Resolution Number 401 of November 4, 2008 (and Article 3 of Brazil Normative Instruction (NI) No. 8) at an in-country (Brazil) INMETRO accredited laboratory. The testing results must be submitted annually to Lenovo, the Brazil National Institute of Metrology and Standards (INMETRO), and the Brazil Institute of the Environment and Renewable Natural Resources (IBAMS). Please note this testing requirement applies only to lead acid, zinc manganese and alkaline manganese batteries and accumulators only. (This testing also applies to nickel cadmium and mercury oxide batteries which are not allowed in Lenovo products.)
- Submit a battery management plan to the required Brazil environmental agency (IBAMA).
- Include in the packaging, in Brazilian Portuguese, information about the symbols, warnings on the risks to human health and the environment, and the necessity to return the battery after use to the reseller, manufacturer or importer.

2.5 Requirement for Decorative Metal Finishing

Powder coating is the preferred material for decorative metal finishing of Lenovo hardware products. This includes the finishing of decorative metal parts and OEM products. Exceptions to this requirement are applications where production volumes don't justify using the powder coating process; a unique color, texture, or "feel" (e.g., soft-touch) is specified; or conductive (e.g., electrostatic discharge (ESD), electromagnetic compatibility (EMC)) functional coatings are required. Powder coatings are not applicable, at this time, for the finishing of plastic parts). Contact your Lenovo procurement representative to identify the Lenovo approved powder coating supplier and qualified color matched materials for decorative metal finishing of Lenovo hardware products.

2.6 Requirements for Parts and Products Containing Mercury

While most mercury-containing components are prohibited from Lenovo hardware Products (see Table 1), mercury is allowed in energy efficient lamps. The use of a mercury-containing component must be reported to your Lenovo procurement representative to ensure that the applicable legal requirements are met for Products containing mercury. All Parts or Products containing mercury must be labeled in English and French per the requirements of Table 10 for certain U.S. State and Canadian laws. Appropriate text as defined in Table 10 must be added to user and service manuals (or instructions for projector replacement lamps) for mercury-added Products indicating which Product components contain mercury, directing the Product owner to dispose of the Product per




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local regulations, proving safe handling procedures and measures to be taken in case of accidental breakage of the lamp; and a link to options available for recycling. Information must be in English and French in at least 10 point font or 3 mm in height or greater, and must be enclosed by a border. Product packaging for products with mercury added lamps that are easily removable by the consumer (such as projectors and projector replacement lamps) and packaging for all mercury containing replacement parts must also be labeled per the requirements of Table 10.

Please contact Lenovo Global Environmental Affairs for approval prior to releasing any products with mercury containing lamps other than notebook computers, all-in-one desktops, and displays as prior permit applications and notifications may need to be filed.

Table 10 provides a list of those Lenovo Product categories that are known to contain mercury and provides exact requirements for label wording, label font size, and user manual text. Labels and manual text for Product categories not listed in **Table 10** must be reviewed and approved by your Lenovo procurement representative.

| Table 10. Mercury Added Product Labeling Information for the United States and Canada | | | | | |
|--|------------------------------------|---|---|---|---|
| Product Type | Mercury Location | Mercury Amount | Product Label Requirements | Package Label Requirements | User / Service Manual Requirements |
| Laptop / Notebook Computer* | Fluorescent lamp in Display module | 0-5 mg per lamp; 1-3 lamps per product Eco Labels such as “EU Flower” and Nordic Swan” requires that each bulb has <3.0 mg of Hg. | <ul style="list-style-type: none"> * Label Wording- “This product contains a lamp(s) which contains mercury; dispose according to local, state, or federal laws.” * The symbol Hg must be readily visible on the product in a font size of at least 10 points with characters that are at least 3 mm in height or withing a pictogram of at least 7 mm in height.  <ul style="list-style-type: none"> * Label Location - Bottom of product; must be clearly visible. * Label Construction – Per requirements | <ul style="list-style-type: none"> * Statement Wording - "The fluorescent lamp in the liquid crystal display contains mercury; dispose according to local, state or federal laws." Contains mercury / Contient du mercure." •Statements must be 10 point font and 3mm in height or greater. •Statements must be in English and French and enclosed by a border. | <ul style="list-style-type: none"> * Statement Wording - "The fluorescent lamp in the liquid crystal display contains mercury; dispose according to local, state or federal laws." * Contains mercury / Contient du mercure. La lampe fluorescente de l'écran à cristaux liquides contient du mercure; disposer selon les lois locales, étatiques ou fédérales." * Instructions on safe handling procedures and measures to be taken in case of accidental breakage of mercury lamp, including the address of a website where that |

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| | | | | | |
|-------------------------------|------------------------------------|--|--|--|--|
| | | | <p>of UL 969 Standard, "Marking and Labeling Systems "</p> <p>* Label font size must be 10 point and 3 mm in height or greater.</p> | | <p>information is available.</p> <p>* Recycling instructions and reference to www.lenovo.com/recycling</p> <p>* Statement must be 10 point font and 3 mm in height or greater.</p> |
| Flat Panel LCD Display | Fluorescent lamp in Display module | 0-5 mg per lamp; 2-12 lamps per products | <p>* Label Wording- "This product contains a lamp(s) which contains mercury; dispose according to local, state, or federal laws."</p> <p>* The symbol Hg must be readily visible on the product in a font size of at least 10 points with characters that are at least 3 mm in height or withing a pictogram of at least 7 mm in height.</p>  <p>* Label Location - Bottom of product; must be clearly visible.</p> <p>* Label Construction – Per requirements of UL 969 Standard, "Marking and Labeling Systems "</p> <p>* Label font size must be 10 point and 3 mm in height or greater.</p> | <p>* Statement Wording - "The fluorescent lamp in the liquid crystal display contains mercury; dispose according to local, state or federal laws."</p> <p>Contains mercury / Contient du mercure."</p> <p>•Statements must be 10 point font and 3mm in height or greater.</p> <p>* •Statements must be in English and French and enclosed by a border.</p> | <p>* Statement Wording - "The fluorescent lamp in the liquid crystal display contains mercury; dispose according to local, state or federal laws."</p> <p>* Contains mercury / Contient du mercure. La lampe fluorescente de l'écran à cristaux liquides contient du mercure; disposer selon les lois locales, étatiques ou fédérales."</p> <p>* Instructions on safe handling procedures and measures to be taken in case of accidental breakage of mercury lamp, including the address of a website where that information is available.</p> <p>* Recycling instructions and reference to www.lenovo.com/recycling</p> |



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| | | | | | |
|--|------------------|-----------------|------------------------------|---|--|
| | | | | | Statement must be 10 point font and 3 mm in height or greater. |
| Mercury containing replacement parts for notebooks and flat panel LCD monitors | Fluorescent lamp | 0-5 mg per lamp | * None for replacement parts | * Replacement part package must be labeled with "This part contains a lamp which contains mercury; dispose according to local, state, or federal laws." | * None for replacement parts |
| Digital Projectors (LCD Data and Video), Video Projector Replacement lamp assembly, and Video Projector Replacement Lamp, or any other type of mercury containing device Please notify Lenovo Global Environmental Affairs prior to use of any digital projector metal arc lamps. Prior approval must be granted by Global Environmental Affairs to release products using these lamps. | | | | Lenovo currently does not manufacture and sell Digital Projectors or their replacement parts or other mercury containing devices. Prior to releasing and/or selling any Lenovo logoed mercury containing product or part, please contact Lenovo Global Environmental Affairs to confirm proper legal notifications, labels, and other requirements have been met. | |

* **US Sales Webpage Requirements:** The US sales webpage information for this product must include the statement "The fluorescent lamp in the liquid crystal display contains intentionally added mercury; dispose according to local, state or federal laws. Contains mercury / Contient du mercure."

For Canada, the following information is required in a readily visible location on the product and package:

- The statement "Contains mercury / Contient du mercure"
- Safe handling procedures and the measures to be taken in case of accidental breakage, the address of a website where that information is available, or contact information for a person who can provide that information;
- The options available for the disposal and recycling of the product in accordance with the laws of the jurisdiction where the disposal or recycling is to take place, the address of a website where that information is available, or contact information for a person who can provide that information;
- A statement that the product should be disposed of or recycled in accordance with the applicable laws; and
- Symbol "Hg" in a font size of at least 10 points with characters that are at least 3 mm in height or within a pictogram of a least 7 mm in height.

The above information for Canada, must be in both English and French Canadian; in a font size of at least 10 points with characters that are at least 3mm in height, that are legible and indelible and that are impressed, embossed or in a color that contrasts with the label's background or the color of the product; be enclosed by a border, and be easily distinguishable from other graphic material on the product or its package. See the Canada regulation for further details if the product or package is too small, or there is no package to accommodate the information. Annual reporting and a permit is required to import mercury containing products into Canada after November 7, 2015.



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In some jurisdictions, at the point of sale of a Product containing mercury, notification must be given to the customer that the product contains mercury. Contact your Lenovo representative for more details or requirements.

2.7 Requirements for Chemicals

The following requirements apply to any

- Chemical used to maintain or service hardware Products. Examples include adhesives, cleaning solvents or solutions, lubricants, and paint
- Chemical contained in a Product or assembly which is not normally consumed but may require replacement of the chemical to maintain operation of Product or assembly. Examples include silicone grease for heat radiation sealing, refrigerants, lubricants, biocides, or corrosion inhibitors in a closed looped system.

The chemical's individual container or individual protective packaging must be labeled with:

- The chemical name as it appears on the associated Material Safety Data Sheet(s)
- The name and address of the appropriate chemical manufacturer, supplier or other responsible party, (in some cases, Lenovo may designate the responsible party) and
- Appropriate hazard warnings as applicable.

The label must be provided in English at a minimum. The label may also be required to have text in other languages and format as required by law or regulation in countries outside the U.S.

The Supplier shall work with the Lenovo chemical representative through the Lenovo procurement representative to ensure proper labeling. In some cases, Lenovo may specify the label and its contents.

A Material Safety Data Sheet (MSDS) for the chemical must be supplied to the Lenovo procurement representative or other Lenovo designated representative. The MSDS must be provided in English at a minimum and comply with legal requirements for information content and format. The MSDS may be required in other languages and formats as required by law or regulation in countries outside the U.S. The supplier shall work with the appropriate Lenovo chemical representative through the Lenovo procurement representative to ensure proper format, information content, and translation requirements. In some cases, Lenovo may specify the language and format of an MSDS.

Chemical FUMs are materials stocked by Lenovo to support customers. Some examples include cleaners, adhesives, glues, paint, oils, alcohol, and chemicals in kits. Chemical FUMs must be packaged according to this specification including the following:

- a. They must be packaged in field use units (usually a unit of one) so that Lenovo can reship them in the same package.
- b. Under special circumstances, Lenovo Purchasing may permit the use of a single combination package that consolidates **multiple** inner containers inside a **single** outer container. Such



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configurations may be acceptable due to the small physical package size, shipping quantity or other factors as defined by Lenovo. In this instance, only government approved third-party test laboratories are permitted to authorize and certify the UN specification package. Authorization to use a combination package, which consists of multiple inner packages in a single outer package, must be provided by Lenovo Purchasing in writing.

c. A FUM containing liquids must use combination packagings, as single packaging is restricted by some airlines.

d. Packaging, labeling and marking must be compliant with all transportation regulations where materials will be shipped (ie IATA / 49 CFR / ADR....). All FUM packaging, labeling and marking must be compliant with IATA regulations as purchased from the supplier no matter where it is intended to be shipped.

e. The net quantity per package shall not exceed the standard maximum net quantity per package as allowed on “Passenger and Cargo Aircraft”, as defined by IATA regulations. The net quantity per package is not required to meet IATA Limited Quantity requirements.

2.8 Product Chemical Emissions

Chemical emissions analyses shall be performed on Products and supplies (e.g. toner), but are not necessary for Parts or subassemblies of Lenovo hardware Products. Products covered by this specification shall not emit chemicals during normal use conditions which exceed the threshold values or requirements listed in U.S. 29 CFR 1910 (tables Z 1-3) (see <http://www.gpoaccess.gov/cfr/index.html>) or the California Safe Drinking Water and Toxic Enforcement Act of 1986 (Proposition 65) (see <http://www.calepa.ca.gov/>). Product chemical emissions requirements are delineated in ECMA 328: Detection and Measurement of Chemical Emissions From Electronic Equipment (see <http://www.ecma-international.org/>).

2.9 WEEE Marking

2.9.1 Affected Products and Jurisdictions

Electrical and electronic equipment (EEE) that is put on the market in the European Union after August 13, 2005, and that is listed in the category of IT and telecommunications products in Annex 1B3 of the EU Directive 2012/19/EU on Waste Electrical and Electronic Equipment (WEEE) is subject to the requirements for product markings in accordance with the Directive. In addition to the products specified in Annex 1B3 of the WEEE Directive, stand alone options that operate external to the products listed in Annex 1B3 (e.g., keyboards, monitors, mice, external drives) should also be marked. Components and internal parts of the stand alone equipment listed in Annex 1B3 do not need to be marked.

Lenovo requires the use of the WEEE markings on Products sold in non-EU countries to be qualified by adding the text “EU Only” below the solid bar as shown in **Figure 6**. Contact your Lenovo procurement representative to confirm the latest requirements for WEEE marking implementation for affected Products.

2.9.2 WEEE Marking Elements



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The marking of EEE to comply with the WEEE Directive requires all three of the following:

- 1) the crossed-out wheeled bin symbol in accordance with Annex IV of the WEEE Directive per Article 10(3). The symbol of the crossed-out wheeled bin is the same as required for the battery collection mark in the EU (see **Figure 1**).
- 2) a unique identification of the producer such as a brand name, trademark, company registration number or other suitable means recorded in EU member state's register of producers per Article 12(1) of the Directive and
- 3) the date of manufacture/put on the market.

European Standard EN 50419:2005 identifies three options for the indication of the date of manufacture/put on the market:

- A) Indicate the date of manufacture or date put on the market in un-coded text in accordance with EN 28601 (This European Standard is equivalent to ISO 8601) or other coded text, for which the code shall be made available for treatment facilities; or
- B) Use the solid bar symbol as shown in **Figure 6** below in conjunction with the crossed out wheeled bin symbol. The height (h) of the solid bar shall be the greater of 0.3a or 1 mm. The bar must only be used in conjunction with the crossed out wheeled bin to indicate that the product is put on the market after August 13, 2005.
- C) Use both options A) and B).

All three marking elements (the crossed-out wheeled bin, the producer identification, and the date of manufacture/put on the market or the solid bar under the crossed out wheeled bin) must be present on the Product; however, the specific placement of these markings is not prescribed other than for the relationship of the solid bar to the crossed-out wheeled bin if the bar symbol is used.

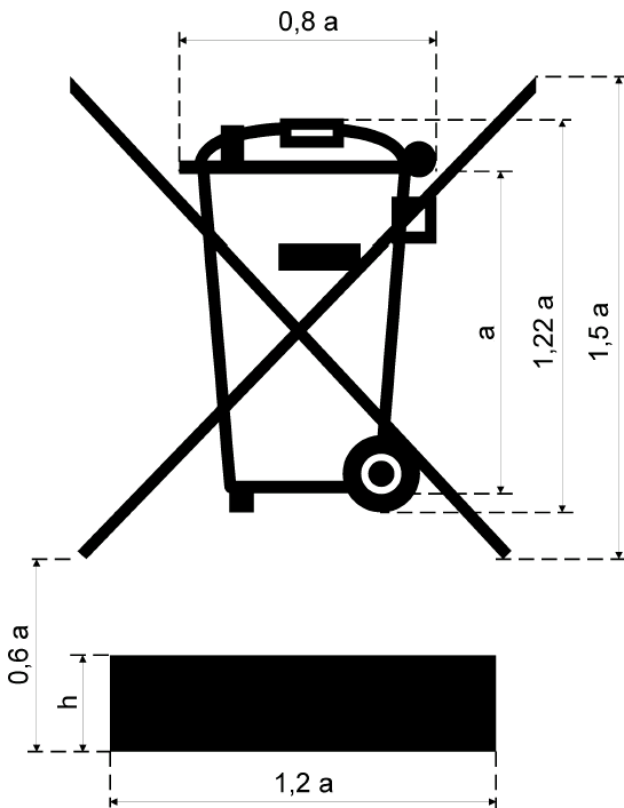


Figure 6. The marking and dimensional relationship of the solid bar symbol for indication of products manufactured or put on the EU market after August 13, 2005.

The markings must be visible, durable, legible, and indelible; that is, each marking element must be located on a permanent portion of the Product such as a frame member or chassis that cannot be removed or exchanged. Markings can be located behind a door or cover, but must be viewable without the use of a tool by a customer or operator.

European Standard 50419:2005 also prescribes that the marking must meet minimum marking durability requirements. The marking must remain legible after rubbing by hand for 15 seconds with a piece of cloth soaked with water and again for 15 seconds with a piece of cloth soaked with aliphatic solvent hexane. If marking plates or labels are used, after this test they shall not show curling.

2.9.2.1 China WEEE

The Regulations for the Administration of the Recovery and Disposal of Waste Electric and Electronic Products (hereinafter the “Regulations”) have been adopted at the Executive Meeting of the State on August 20th, 2008. The Regulations was effective as of January 1st, 2011. (No.551 Order of the State Council of the People’s Republic of China).



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The State implements a system of recovery by multiple channels and centralized disposal with respect to waste electric and electronic products. And the State will establish a fund for the disposal of waste electric and electronic products to be used as allowance for the recovery and disposal of electric and electronic products. The manufacturers of electric and electronic products, consignees of import electric and electronic products or their agents shall, as required, perform their obligations of contributing to the fund or waste electric and electronic products disposal.

Affected products

The recovery and disposal of and any activities in relation to the waste electric and electronic products listed in the Catalogue of Waste Electric and Electronic Products for Disposal shall be governed by the Regulations. Scope of products: Microcomputer, including:

- 1) Monitor for desktop microcomputer
- 2) Host-display integrated desktop microcomputer
- 3) Laptop microcomputer (including PDA)
- 4) Other devices for processing of information

Marking

Add the descriptive text for China WEEE in the SWG and UG of products to declare that Lenovo provide the service for the recycle. If any update in the regulations, follow the latest requirements.

2.10 Electronic Product Environmental Assessment Tool (EPEAT) Marking

Products which meet the requirements of the EPEAT program may exhibit the appropriate certification mark on the product, product manual, product promotional materials or packaging. Lenovo Global Environmental Affairs must be contacted to determine the level of EPEAT compliance (if at all) and ensure product is registered in EPEAT database prior to the use of any EPEAT certification mark. Only one form of the mark should be used in any one publication (either preferred mark or optional mark).

2.10.1 EPEAT Mark Specifications

- The green EPEAT logo is the primary identifier, and should be used when representing the EPEAT brand holistically.
- Three logo variations distinguish the tiers of the EPEAT rating system: EPEAT Bronze, EPEAT Silver and EPEAT Gold. It's critical that the correct logo variation be associated with each product, and that the tier identifications for all products are kept current.
- Downloadable files of all logos are available to licensees online and by contacting Lenovo Global Environmental Affairs..
- Artwork should never be altered or redrawn.

Logo Colors

- The logos should be reproduced in full color in all materials, electronic or printed, where full color is available.
- The CMYK (for print) and RGB (for screen) values provided must be used when the logos



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appear in color.

- If your printing method requires the use of singlecolor artwork, the logo must be printed using black ink only. Files for black-only EPEAT logos are available for download.

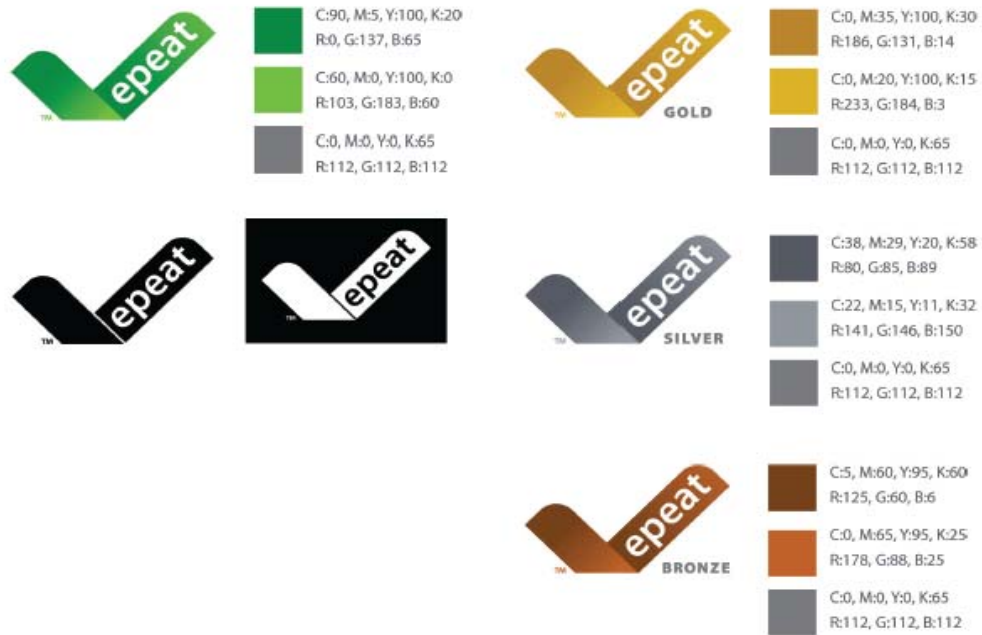
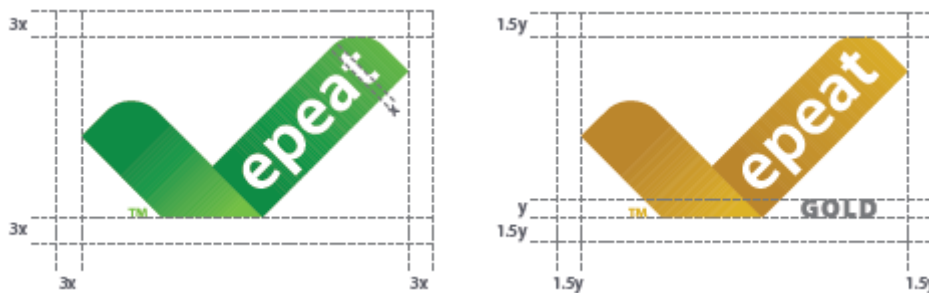


Figure 7. EPEAT markings.

Logo Guidelines

Clear Space

- Always maintain clear space around the EPEAT logo to protect it from distracting graphics or typography.
- For the green primary identifier, measure clear space by using the width of the ascender in “t” as a unit.
- For the Gold, Silver and Bronze logos, measure clear space using the height of the text label (the word GOLD, in the example to the right) as a unit.

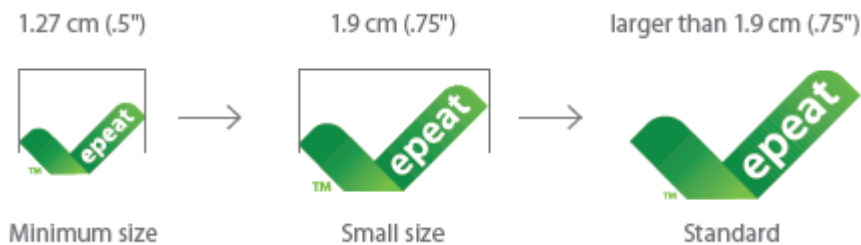




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Minimum Size

- Use the adjusted “small size” artwork when the logo is between 1.27 cm (.5") and 1.9 cm (.75") in width, measured from end to end of the check mark.
- For use on a physical label (for applying to a product or product packaging, for example) never reproduce the logo smaller than 1.27 cm (.5") in width. When using the logo on a website, we also recommend retaining a minimum width of 1.27 cm (.5").



2.11 Product Energy Requirements

The following sections summarize requirements for selected geographies.

2.11.1 System Requirements for China

2.11.1.1 Monitors

Computer monitors shall meet the energy efficiency requirements of Sections 4.2 and Section 4.4 in the National Standard of the People's Republic of China GB 21520-2008. The scope of this standard includes computer monitors with general purpose use, both cathode ray tubes and liquid crystal displays used for computers using normal electrical network voltages and to display equipment with modulator/receivers mainly used for computers.

Definition

Off-mode: The mode which cannot be switched off (influenced) by the user and that may persist for an indefinite time when the appliance is connected to the main electricity supply and used in accordance with the manufacturer's instructions.

Calculation

Energy consumption per unit time will be determined by using the formula below:

$$P_i = \frac{E_i}{t}$$

P_i - energy consumption per unit time (W);

E_i - energy consumptions (Wh);

t - time consuming (h)

Energy Efficiency will be determined by using the formula below:



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$$E_{ff} = \frac{S \times L}{P_w}$$

Eff- energy efficiency (cd/W);

S- display screen area (m²);

L- display screen brightness (cd/m²);

P_w- energy consumption per unit time of working mode (W)

Requirements

Monitors manufactured after November 1st, 2011 must meet Grade 2's requirements of the National Standard of the People's Republic of China GB 21520-2008. See Table 11 in this section about Grade 2's requirements.

| Table 11. Minimum Energy Efficiency Requirements for Monitors | | | | |
|---|---------------------------|------------------------------------|---------------------------|------------------------------------|
| Monitor Type | Energy Efficiency Grade | | | |
| | Grade 1 | | Grade 2 | |
| | Energy efficiency /(cd/W) | Energy consumption in off mode / W | Energy efficiency /(cd/W) | Energy consumption in off mode / W |
| CRT | 0.18 | 1 | 0.16 | 3 |
| LCD | 1.05 | 0.5 | 0.85 | 1 |

Label Requirement

Monitors manufactured after March 1st, 2009 shall be tested, reported, registered, and labeled with China Energy Label in accordance with GB21520-2008 and the requirement of the Implementation on China Energy Label for Computer Monitor. See Figure 8 below for an example label.

Figure 8. Example of China Energy Label





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Note: The label pattern takes Grade 2 as an example and actual energy efficiency grade shall be depended on product energy efficiency information.

- 1) The label shall be colorful with blue and white background, with the dimension of $80 \times 54\text{mm}$
- 2) The label name: China Energy Label
- 3) The label must include below contents
 - a. the name of the manufacturer
 - b. product model
 - c. energy efficiency grade
 - d. energy efficiency number (cd/W)
 - e. energy consumption of off mode (W)
 - f. code number of National Standard applied
- 4) The label can be on the product, or on the packaging, or displayed at least two seconds on the monitor when turning on.
- 5) The label shall be made by copper plate paper with weight of 80g or heavier.
- 6) The label shall be stuck with self-adhesive glue.
- 7) The label or the information in the label shall also be included in the product instructions if product has, otherwise are not.

Every monitor shipped out of the factory or imported shall be stuck with label, and be explained in product User Guide. The label can be printed by manufacturer or importer. And the manufacturer or importer shall be responsible for the quality of the label. If used in product description, package and brochure, the label can be enlarged and reduced in proportion, and can be printed in monochrome color. However, the characters in label shall be legible and identifiable.

2.11.1.2 Desktops (Including AIO Desktops) and Laptops Computers

Desktop computers including AIOs and laptop computers shall meet the energy efficiency requirements of Section 3.3 in the National Standard of the People's Republic of China GB 28380-2012. The scope of this standard includes desktop computers for common purpose, all-in-one microcomputers ("AIO") with display function, and laptop computers.

This standard is not applicable to Work Station, to Industrial Personal Computer (IPC), to microcomputers with two or above discrete GPUs as well as microcomputers with rated power greater than 750 W. This standard is also not applicable to portable computers and AIOs with screen size of less than 0.2946m (11.6 inch).

Definition

Off-mode: The power consumption level in the lowest power mode which cannot be switched off (influenced) by the user and that may persist for an indefinite time when the appliance is connected to the main electricity supply and used in accordance with the manufacturer's instructions. For systems where ACPI standards are applicable, Off Mode correlates to ACPI System Level S5 state.



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Sleep Mode: A low power state that the computer is capable of entering automatically after a period of inactivity or by manual selection. A computer with sleep capability can quickly “wake” in response to network connections or user interface devices with a latency of < 5 seconds from initiation of wake event to system becoming fully usable including rendering of display. For systems where ACPI standards are applicable. Sleep mode most commonly correlates to ACPI System Level S3 (suspend to RAM) state.

Idle Mode: The mode in which the operating system and other software have completed loading, a user profile has been created, the machine is not asleep, and activity is limited to those basic applications that the system starts by default.

Desktop Computer: A computer where the main unit is intended to be located in a permanent location, often on a desk or on the floor. Desktops are not designed for portability and utilize an external computer display, keyboard, and mouse. Desktops are designed for a broad range of home and office applications.

All-In-One Computer (“AIO”): A desktop system in which the computer and computer display function as a single unit which receives its ac power through a single cable. This kind of desktop computers come in one of two possible forms: (1) a system where the computer display and computer are physically combined into a single unit; or (2) a system packaged as a single system where the computer display is separate but is connected to the main chassis by a dc power cord and both the computer and computer display are powered from a single power supply. As a subset of desktop computers, All-In-One computers are typically designed to provide similar functionality as desktop systems.

Laptop Computer: A computer designed specifically for portability and to be operated for extended periods of time either with or without a direct connection to an ac power source. Laptop computers must utilize an integrated display and be capable of operation off of an integrated battery or other portable power source. In addition, most laptop computers use an external power supply and have an integrated keyboard and pointing device. Laptop computers are typically designed to provide similar functionality to desktops, including operation of software similar in functionality as that used in desktops. Tablet PCs, which may use touch-sensitive screens along with or instead of other input devices, are considered Laptop Computers in this specification.

Typical Energy Consumption (TEC): A method of testing and comparing the energy performance of computers, which focuses on the typical electricity consumed by a product while in normal operation during a representative period of time. For Desktops and Laptops, the key criterion of the TEC approach is a value for typical annual electricity use, measured in kilowatt-hours (kWh), using measurements of average operational mode power levels scaled by an assumed typical usage model (duty cycle).

Category

See Table 12 in this section about product category.



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Table 12 Product Category

| Category | Definitions | |
|----------|---|---|
| | Desktops and AIO Desktop Computers | Laptop Computers |
| A | All desktops don't meet the definition of Category B, Category C, and Category D will be considered as Category A. | All laptops don't meet the definition of Category B and Category C will be considered as Category A. |
| B | Equal to 2 Physical Cores; and Greater than or equal to 2 gigabytes (GB) of System Memory. | A Discrete GPU |
| C | Greater than 2 Physical Cores. In addition to the requirement above, models qualifying under Category C must be configured with a minimum of 1 of the following 2 characteristics: 1) Greater than or equal to 2 gigabytes (GB) of System Memory; and/or 2) A Discrete GPU. | Greater than or equal to 2 Physical Cores; Greater than or equal to 2 gigabytes (GB) of System Memory; and A Discrete GPU with a Frame Buffer Width equal to or greater than 128-bit. |
| D | Greater than or equal to 4 Physical Cores. In addition to the requirement above, models qualifying under Category D must be configured with a minimum of 1 of the following 2 characteristics: 1) Greater than or equal to 4 gigabytes (GB) of System Memory; and/or 2) A Discrete GPU with a Frame Buffer Width equal to or greater than 128-bit. | |

TEC Requirements

Desktops and Laptops manufactured after September 1st, 2012 must meet Grade 3's requirements of the National Standard of the People's Republic of China GB28380-2012. See Table 13 in this section about Grade 3's requirements.

Table 13. Minimum Efficiency Standards for Desktops and Laptops

| Category | | TEC | | |
|--|---|------------|------------|------------|
| | | Grade 1 | Grade 2 | Grade 3 |
| Desktops and AIO Desktop Computers (kWh) | A | 98.0+ΣEfa | 148.0+ΣEfa | 198.0+ΣEfa |
| | B | 125.0+ΣEfa | 175.0+ΣEfa | 225.0+ΣEfa |
| | C | 159.0+ΣEfa | 209.0+ΣEfa | 259.0+ΣEfa |
| | D | 184.0+ΣEfa | 234.0+ΣEfa | 284.0+ΣEfa |
| Laptop Computers (kWh) | A | 20.0+ΣEfa | 35.0+ΣEfa | 45.0+ΣEfa |
| | B | 26.0+ΣEfa | 45.0+ΣEfa | 65.0+ΣEfa |
| | C | 54.5+ΣEfa | 75.0+ΣEfa | 123.5+ΣEfa |
| ΣEfa: Sum of power factors with additional functions | | | | |



Engineering Specification

Calculation

TEC will be determined by using the formula below:

$$E_{TEC} = \left(\frac{8760}{1000} \right) \times (P_{off} \times T_{off} + P_{sleep} \times T_{sleep} + P_{idle} \times T_{idle})$$

where all Px are power values in watts, all Tx are time values in % of year, and the TEC E_{TEC} is in units of kWh and represents annual energy consumption based on mode weightings in Table 14.

Table 14 Percentage for Each Mode

| T_x | Desktops | Laptops |
|----------------------|-----------------|----------------|
| T _{off} | 55% | 60% |
| T _{sleep} | 5% | 10% |
| T _{idle} | 40% | 30% |

Power factors with additional functions will be determined using the Table 15 below:

Table 15 Power factors with Additional Functions

| Function | Desktops | Laptops | | | Instruction |
|-----------------|---------------------------------------|---------------------------------------|----------|----------|---|
| | | A | B | C | |
| Memory | 1.0/(GB)×(system memory-basic memory) | 0.4/(GB)×(system memory-basic memory) | | | It is applicable when system memory greater than basic memory. 1) The basic memory of Category A, B and C of desktops is 2GB. 2) The basic memory of Category D of desktops is 4GB 3) The basic memory for laptops is 4GB. |
| Discrete GPU | 46 | - | 4 | - | G1 |
| | 70 | - | 12 | - | G2 |
| | 95 | - | 24 | 37 | G3 |
| | 140 | - | 36 | 49 | G4 |
| | 394 | - | 146 | 159 | G5 |
| Storage | 25*number of HDD | 3*number of HDD | | | additional HDD=number of HDD-1 |

Discrete GPU will be determined by using the Table 16 below:

Table 16 Discrete GPU Category

| Category | FBBW |
|-----------------|-----------------|
| G1 | FBBW ≤ 16 |
| G2 | 16 < FBBW ≤ 32 |
| G3 | 32 < FBBW ≤ 64 |
| G4 | 64 < FBBW ≤ 128 |



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| | |
|----|----------|
| G5 | FBBW>128 |
|----|----------|

FBBW will be determined by using the formula below:

$$FBBW = (DR \times DW) \div (8 \times 1000)$$

FBBW- Memory Bandwidth (GB/s) ;

DR- VRAM equivalent frequency (MHz);

DW- Bus Width (Bit)

Label Requirement

Microcomputers shall be tested, reported, registered, and labeled with China Energy Label in accordance with GB28380-2012 and the requirement of the Implementation on China Energy Label for Microcomputer when become effective.

2.11.1.3 Printers and Fax Machines

Printers and Fax machines shall meet the energy efficiency requirements of section 4.2 in the National Standard of the People's Republic of China GB 25956-2010.

This standard is applicable to the product working in 220V/50Hz with the printing speed slower than 70/ min. This standard is not applicable to the product supplied by the battery or network interface (such as USB, IEEE1394 interface).

Definition

Off-mode: The mode which cannot be switched off (influenced) by the user and that may persist for an indefinite time when the appliance is connected to the main electricity supply and used in accordance with the manufacturer's instructions.

Active mode: The mode in which the product is carrying out useful work in response to a) prior or concurrent user input or b) prior or concurrent instruction over the network.

Ready mode: The mode in which the operating system turning from active mode after working is done.

Sleep mode: A low power state that the product is capable of entering automatically after a period of inactivity or by manual selection.

Standby mode: A power state with the energy consumption no higher than sleep mode. This state can be the off-mode or the ready mode or sleep mode.

Printing/fax speed: The number of A4 paper was printed per minute

Calculation

1) TEC will be determined by using the formula below:



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$$TEC = [(E_d \times 5) + (P_s \times 48)] / 1000$$

TEC- typical energy consumption (kW.h);

E_d - energy consumption in one day (W.h);

P_s - the average power in one hour after entering sleep mode (W)

2) E_d will be determined by using the formula below:

$$E_d = E_{dj} + 2E_f + E_s$$

E_{dj} - energy consumption by completing total working tasks in one day (Wh);

E_f - energy consumption of the period from completing last working task to entering in sleep mode (Wh);

E_s - energy consumption of sleep mode in one day (Wh)

3) E_{dj} will be determined by using the formula below:

$$E_{dj} = E_{jl} \times 2 + [(M_{jd} - 2) \times E_j]$$

E_{jl} - energy consumption by completing the first working tasks (Wh);

M_{jd} - the number of typical working task completed in one day;

E_j - average energy consumption (Wh)

4) E_s will be determined by using the formula below:

$$E_s = \{24 - [(M_{jd} / 4) + (t_i \times 2)]\} \times P_s$$

t_i - the time of the period from completing fourth working task to entering in sleep mode (h)

5) E_j will be determined by using the formula below:

$$E_j = (E_{j2} + E_{j3} + E_{j4}) / 3$$

E_{j2} - energy consumption by completing the second working tasks (Wh);

E_{j3} - energy consumption by completing the third working tasks (Wh);

E_{j4} - energy consumption by completing the fourth working tasks (Wh);

6) M_{jd} will be determined by using the Table 17 below:

Table 17 M_{jd} Category

| Print speed (p) (paper/min) | M_{jd} |
|-----------------------------|----------|
| $0 < p \leq 8$ | 8 |



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| | |
|-----------------|----|
| $8 < p \leq 32$ | P |
| $p > 32$ | 32 |

7) P_{OM} will be determined by using the formula below:

$$P_{OM} = \frac{E_s}{t_s}$$

E_s - energy consumption of sleep mode (W.h);

t_s - time used for testing E_s (h)

8) $\sum P_{fa}$ will be determined by using the Table 18 below:

Power factor with additional function ($\sum P_{fa}$) can only be selected no more than three basic value, others will be considered as additional value.

Table 18. Power Factor with Additional Function Determination

| Additional function | P_{fa} (W) | | Instruction for additional function |
|--|--------------|------------|--|
| | Basic | Additional | |
| the transmission rate of wired interface < 20Mbps | 0.3 | 0.2 | data or network interface, including USB1.*, IEEE488 and IEEE1284 interface |
| 20Mbps =< the transmission rate of wired interface < 500Mbps | 0.5 | 0.2 | data or network interface, including USB2.*, IEEE1394 and 100Mb Ethernet interface |
| the transmission rate of wired interface >= 500Mbps | 1.5 | 0.5 | including 1Gb Ethernet interface |
| no data interface | 3.0 | 0.7 | including Bluetooth and 802.11 interface |
| wired interface connected with storage media (memory card, digital camera) | 0.5 | 0.1 | including flash memory card, smart card reader and digital camera interface |
| infra-red data interface | 0.2 | 0.2 | |



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| | | | |
|---------------------------------------|---|--|--|
| data storage function | - | 0.2 | products containing internal storage medium like disk drives, DVD drive, ZIP drive |
| scanning by using CCFL techniques | - | 2.0 | This factor can be used once if power and volume of CCFL were not considered |
| scanning by using non-CCFL techniques | - | 0.5 | This factor can be used once if power and volume of non-CCFL were not considered |
| drived by the external computer | - | -0.5 | Product only with the external computer memory and data processing and other basic resources to complete the common product can independently complete the function, such as page rendering function |
| wirless phone | - | 0.8 | this factor can only be used once when the wireless microphone volume product can be connected were not considered |
| every 1GB memory | - | 1.0 | determined by the total memory capacity |
| power supply with DC output function | - | PSOR > 10W: 0.05*(PSOR-10); 0 < PSOR <= 10W: 0 | Rated output power (PSOR), sum of internal or external power nominal rated DC output power. |

9) P_{off} will be determined by using the formula below:

$$P_{off} = E_{off} / t_{off}$$

E_{off} - energy consumption of off mode (W.h);



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T_{off} ~ the time used for testing E_{off} (h)

TEC Requirements

1) Product using thermo-sensitive, thermo-sublimation, electronic image, solid wax spray, thermal transfer technology and high performance ink jet technology manufactured after July 1st, 2011 must meet Grade 3's requirements of the National Standard of the People's Republic of China GB 25956-2010. See Table 19 in this section about Grade 3's requirements.

Table 19. Minimum Energy Efficiency Requirements for Printers by using TEC method.

| Product | Print/Fax Speed (p, paper/min) | TEC kW.h | | |
|--|--------------------------------------|-------------------|-------------------|-------------------|
| | | Grade 1 | Grade 2 | Grade 3 |
| Monochrome printer, Monochrome fax machine | $p \leq 15$ | 0.6 | 1.0 | 1.5 |
| | $15 < p \leq 40$ | $0.06 * p - 0.3$ | $0.10 * p - 0.5$ | $0.20 * p - 0.9$ |
| | $p > 40$ | $0.21 * p - 6.2$ | $0.35 * p - 10.3$ | $0.61 * p - 17.8$ |
| Multicolor printer, Multicolor fax machine | $p \leq 32$ | $0.06 * p + 2.0$ | $0.10 * p + 2.8$ | $0.14 * p + 4.0$ |
| | $32 < p \leq 58$ | $0.30 * p - 5.8$ | $0.35 * p - 5.2$ | $0.39 * p - 4.2$ |
| | $p > 58$ | $0.60 * p - 23.5$ | $0.70 * p - 26.0$ | $0.80 * p - 28.0$ |
| Monochrome multi-function equipment | $p \leq 10$ | 1.0 | 1.5 | 4.0 |
| | $10 < p \leq 26$ | $0.06 * p + 0.4$ | $0.10 * p + 0.5$ | $0.30 * p + 0.9$ |
| | $p > 26$ | $0.31 * p - 6.3$ | $0.35 * p - 6.0$ | $0.44 * p - 2.8$ |
| Multicolor multi-function equipment | $p \leq 26$ | $0.06 * p + 1.9$ | $0.10 * p + 3.5$ | $0.20 * p + 5.0$ |
| | $26 < p \leq 62$ | $0.33 * p - 5.0$ | $0.35 * p - 3.0$ | $0.41 * p - 0.7$ |
| | $p > 62$ | $0.60 * p - 22.0$ | $0.70 * p - 25.0$ | $0.85 * p - 28.0$ |

Note1: The difference between high performance ink jet technology and conventional ink jet technology is whether to have a nozzle array across the width of the page or drying medium ink by using increase medium heating technology

2) Product using ink jet or stylus beating technology manufactured after July 1st, 2011 must meet Grade 3's requirements of the National Standard of the People's Republic of China GB 25956-2010. See Table 20 in this section about Grade 3's requirements.

Table 20. Minimum Energy Efficiency Requirements by using OM method.



Engineering Specification

| Technology | Energy Efficiency Grade | | | | | |
|--|-------------------------|-----------------|---------------------|-----------------|---------------------|-----------------|
| | Grade 1 | | Grade 2 | | Grade 3 | |
| | P _{OM} | P _{SM} | P _{OM} | P _{SM} | P _{OM} | P _{SM} |
| ink jet | $1.0 + \sum P_{fa}$ | 1.0 | $1.4 + \sum P_{fa}$ | 1.0 | $3.0 + \sum P_{fa}$ | 2.0 |
| stylus beating | $3.6 + \sum P_{fa}$ | 1.0 | $4.6 + \sum P_{fa}$ | 1.0 | $6.0 + \sum P_{fa}$ | 2.0 |
| $\sum P_{fa}$ sum of power factor with additional function | | | | | | |

Label Requirements

Printers manufactured after January 1st, 2012 shall be tested, reported, registered, and labeled with China Energy Label in accordance with the GB25956-2010 and the requirement of the Implementation on China Energy Label for Printers. See Figure 9 and Figure 10 below for an example label.

Figure 9. Example of China Energy Label for High Performance Ink Jet Technology*



*Note: The label is applicable to product using thermo-sensitive, thermo-sublimation, electronic image, solid wax spray, thermal transfer technology and high performance ink jet technology

Figure 10. Example of China Energy Label for Stylus Beating Technology*





Engineering Specification

*Note: The label is applicable to product using ink jet or stylus beating technology

Product using thermo-sensitive, thermo-sublimation, electronic image, solid wax spray, thermal transfer technology and high performance ink jet technology should meet following requirements:

- 1) The label shall be colorful with blue and white background, with the dimension of 45× 30mm.
- 2) The label name: China Energy Label
- 3) The label must include below contents
 - a. the name of the manufacturer
 - b. product model
 - c. energy efficiency grade
 - d. TEC
 - e. code number of National Standard applied
- 4) The label can be on the product, or on the minimum packaging.
- 5) The label shall be made by copper plate paper of 80g or heavier.
- 6) The label shall be stuck with self-adhesive glue.
- 7) The label or the information in the label shall also be included in the product instructions if product has, otherwise are not.

Product using ink jet or stylus beating technology should meet following requirements:

- 1) The label shall be colorful with blue and white background, with the dimension: 45× 30mm
- 2) The label name: China Energy Label
- 3) The label must include below contents
 - a) the name of the manufacturer
 - b) product model
 - c) energy efficiency grade
 - d) P_{OM}
 - e) P_{SM}
 - f) default delay time
 - g) sum of power factor with additional function
 - h) Code number of National Standard of Energy Efficiency applied
- 4) The label can be on the product, or on the minimum packaging.
- 5) The label shall be made by copper plate paper of 80g or heavier.
- 6) The label shall be stuck with self-adhesive glue.
- 7) The label or the information in the label shall also be included in the product instructions if product has, otherwise are not.

Every printer shipped out of the factory or imported shall be stuck with label, and be explained in product User Guide. The label can be printed by manufacturer or importer. And the manufacturer or importer shall be responsible for the quality of the label. If used in product description, package and brochure, the label can be enlarged and reduced in proportion, and be printed in monochrome color. However, the characters in label shall be legible and identifiable.

2.11.1.4 Flat Panel TVs



Engineering Specification

Flat Panel TVs shall meet the energy efficiency requirements of Sections 4.2 and section 4.4 in the National Standard of the People's Republic of China GB 24850-2013. This standard is applicable to LCD TV and plasma TV with common using purpose. This standard is also applicable to the LCD or plasma display device without tuner which has TV function.

Definition

On mode: The mode in which the operating system and other software have completed loading, a user profile has been created, the machine is not asleep, and activity is limited to those basic applications that the system starts by default.

Passive standby mode: A power state without sound and image. This state can be switched to the off-mode or on mode by using RC or other external signals.

Passive standby power: The active power of passive standby mode, by using the testing method defined by this standard.

On mode static power (P_j): the active power of playing static image testing in the state of on mode, by using the testing method defined by this standard.

On mode dynamic power (P_d): the active power of playing dynamic sequence testing in the state of on mode, by using the testing method defined by this standard.

Fluctuation values of power (ΔP): $(P_j - P_d) / P_j \times 100\%$

Calculation

Fluctuation values of power (ΔP) will be determined by using the formula below:

$$\Delta P = \frac{|P_j - P_d|}{P_j} \times 100\%$$

P_j - on mode static power (W);

P_d - on mode dynamic power (W)

ΔP - fluctuation values of power

On mode power (P_k) will be determined by using the **Table 21** below.

Table 21 On Mode Power

| $\Delta P / 30\%$ | ≤ 30 | > 30 |
|-------------------|-----------|--|
| P_k / W | P_d | $P_k = P_d$, if $P_d > P_j$; Otherwise, $P_k = P_j$ |

Energy Efficiency will be determined by using the formula below:



Engineering Specification

$$Eff = \frac{L \times S}{P_k - P_s}$$

Eff- energy efficiency (cd/W);

S- display screen area (m2);

L- display screen brightness (cd/m2);

P_k- energy consumption of on mode (W)

P_s- energy consumption of signal processing (W)

Note: P_s will be 4 when input is using through simulative RF port; P_s will be 8 when input is using through digital RF port; for others P_s will be 0.

Energy Efficiency Index for LCD TV will be determined by using the formula below:

$$EEI_{LCD} = \frac{Eff}{Eff_{LCD,ref}}$$

EEI_{LCD}- energy efficiency index for LCD TV, 1;

Eff_{LCD, ref}- energy efficiency baseline index, 1.1cd/W

Energy Efficiency Index for plasma TV will be determined by using the formula below:

$$EEI_{PDP} = \frac{Eff}{Eff_{PDP,ref}}$$

EEI_{PDP}- energy efficiency index for plasma TV, 1;

Eff_{PDP, ref}- energy efficiency baseline index, refer to below **Table 22**

Table 22 Energy Efficiency Baseline Index

| | | |
|--------------------------------|--------------|--------|
| inherent resolution | >= 1920*1080 | others |
| Eff _{PDP, ref} (cd/W) | 0.320 | 0.450 |

Energy Efficiency Requirements

Product manufactured after Oct. 1st, 2013 must meet Grade 3's requirements of the National Standard of the People's Republic of China GB 24850-2013. See Table 23 in this section about Grade 3's requirements.

Table 23. Minimum Energy Efficiency Requirements for LCD TV and plasma TV

| EEI | Energy Efficiency | | |
|-------------------------------|-------------------------|---------|---------|
| | Grade 1 | Grade 2 | Grade 3 |
| EEI _{LCD} | 2.7 | 2.0 | 1.3 |
| EEI _{PDP} | 2.0 | 1.6 | 1.2 |
| | | | |
| Effective date | Power Consumption Limit | | |
| Power of passive standby mode | <=0.50 | | |



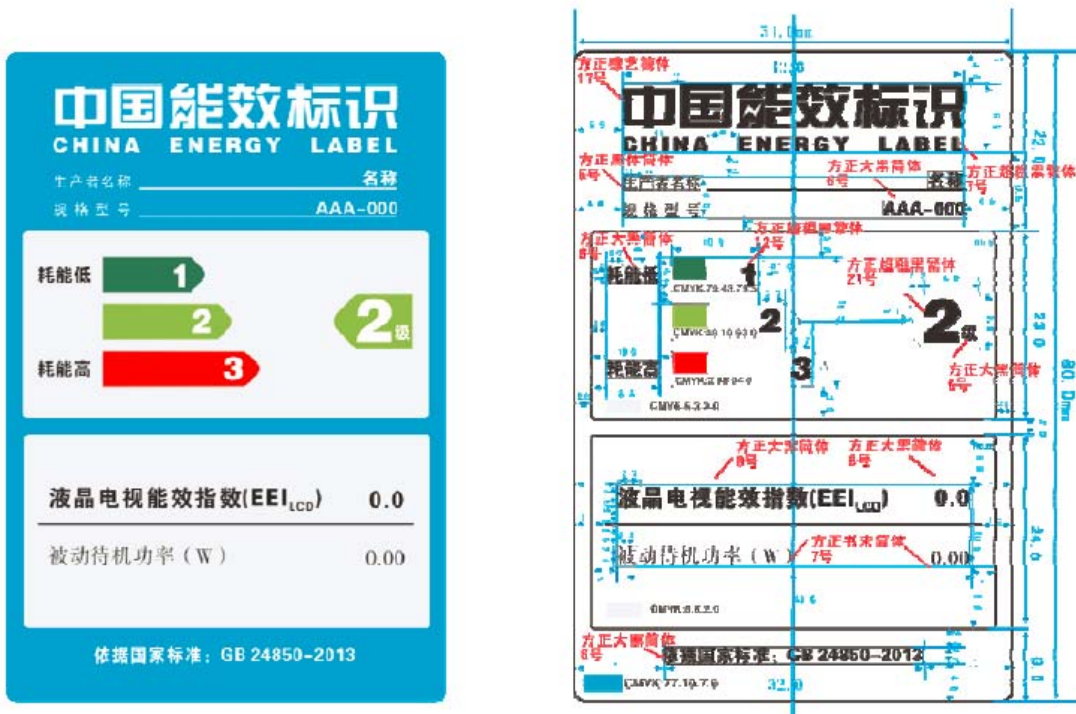
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Note: the EEI value should keep the two significant figures

Label Requirements

Flat Panel TVs manufactured after Oct. 1st, 2013 shall be tested, reported, registered, and labeled with China Energy Label in accordance with the GB24850-2013 and the requirement of the Implementation on China Energy Label for Flat Panel TVs. See Figure 11a and Figure 11b below for an example label.

Figure 11a. Example of China Energy Label



中国能效标识

CHINA ENERGY LABEL

生产者名称

名称

规格型号

AAA-000

耗能低

1

2

2级

耗能高

3

等

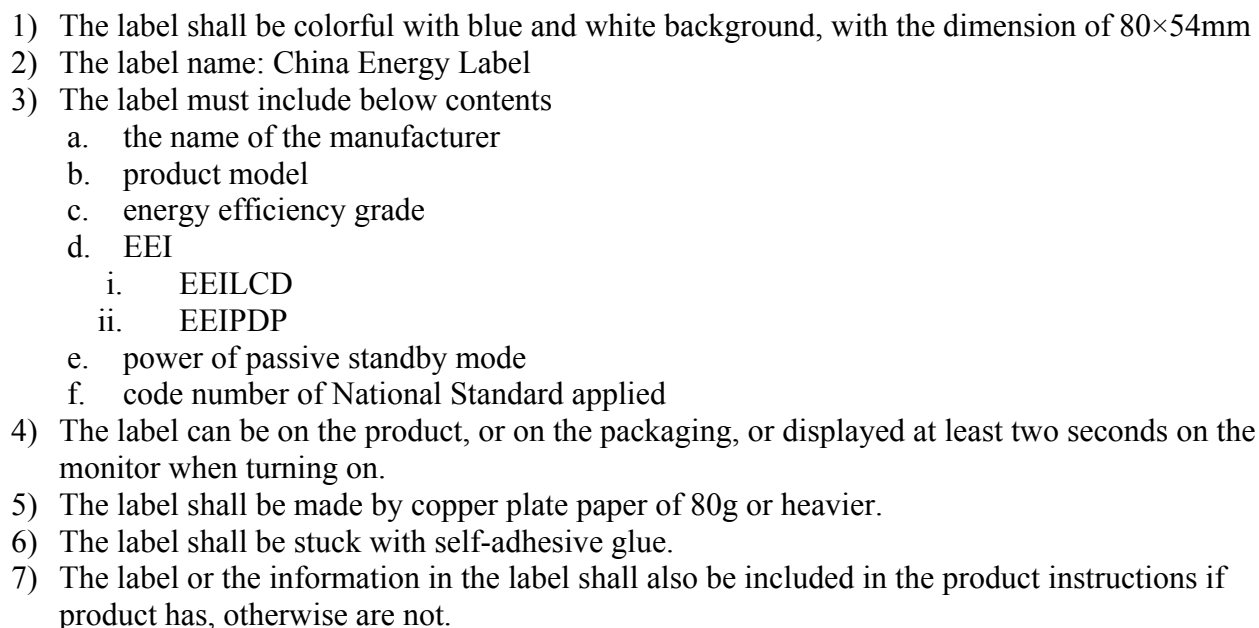
离子电视能效指数(EEL_{ppp})

0.0

被动待机功率(W)

0.00

依据国家标准: GB 24850-2013



Version 6.7



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brochure, the label can be enlarged and reduced in proportion, and be printed in monochrome color. However, the characters in label shall be legible and identifiable.

2.11.2 Requirements for Korea

Standby Product meeting standby power criteria : e-Standby Warning Label Products (Mandatory)

In 2008, certain products were designated as requiring mandatory energy efficiency labeling. Therefore, besides the best e-standby product labeling program (voluntary), manufacturers or importers of certain MKE and KEMCO designated electric appliances (“e-Standby Warning Label Products”) must test covered products by a designated testing institution and report the testing result to KEMCO. If the standby power falls short of the e-standby power reduction criteria the manufacturer or importer is legally obliged to attach an e-Standby Warning Label.

MKE and KEMCO designated the following 7 products as e-Standby Warning Label Products: computers, monitors, printers, multifunction devices, televisions, set top boxes, and microwave ovens. However, this mandatory labeling system became effective only with respect to televisions as of August 28, 2008; it will further become effective with respect to the remaining six products as of July 1, 2009. In addition, beginning January 1, 2010, almost all target products of the e-Standby Program will become the target products of the e-Standby Warning Label system.

Definitions

Computer - Computers with nameplate output power of power supply less than equal to 1,000W. Covers mainly computers sold commercially or for household use in the market, including personal computers, notebook computers, and including integrated computer systems. Computers for network servers, workstations and computers in standby mode awaiting instructions remotely are excluded

Monitor - Electrical appliance with rated power consumption of 1000W or less, consisting of a display screen (CRT, LCD, PDP, etc.) to display the output information from the computer via one or more input terminals such as VGA or DVI terminal, and its associated electronic equipment. This includes those with both computer monitor and TV functions, either with a focus on computer monitor as the primary function or with equal dual function. Integrated computer systems (where computer and monitor combined into a single unit), network monitor and monitors embedded with special functions including VoIP are excluded.

Requirements

Computers and Monitors must be labeled according to Annex VII of the Korean e-Standby Program Application Regulation, August 28, 2008 with a warning logo if the monitors do not meet the requirements in the following tables:

| Table 24. Low Power Performance Requirements | | |
|--|---------------------|-------------------|
| Category | Watts in Sleep Mode | Watts in Off mode |
| Monitor | <2.0W | <1.0W |

| Category | Sleep mode | | Watts in off mode |
|-----------------------------|--------------|-------------------------|-------------------|
| | Default time | Watts in low power mode | |
| Personal Computers(Laptop) | ≤30 min | ≤1.7W | ≤1.0W |
| Personal Computers(Desktop) | ≤30 min | ≤4.0W | ≤2.0W |
| Integrated Computer System | ≤30 min | ≤4.0W | ≤2.0W |

Note: When applying the standards listed in table above to computers shipped to the market, additional allowable tolerance of +0.7W is given at sleep and off modes for computers with WOL (Wake on Lan) function.

The figure below has an example warning logo. The minimum diameter of the logo is 2.5cm. The logo is to be labeled on the front or top side of the product. The logo may be monochrome, the predominant color of the product's surface, or in the colors suggested by the Korean e-Standby Regulation.



Figure 12. Example of a Warning Logo for e-Standby Power Program Target Products.

The manufacturer of the monitor shall submit the appropriate reporting forms as required to the Korea Energy Management Corporation (KEMCO).

2.11.3 Requirements for the EU, Switzerland, Norway, Turkey, Israel, and other jurisdictions

This section applies to Energy Using Products (EUP) including information technology equipment intended primarily for use in the domestic environment (see Annex I of EU Commission Regulation No 1275/2008.)

Definitions

Electrical and electronic household and office equipment - means any energy-using product which:

- (a) is made commercially available as a single functional unit and is intended for the end-user;
- (b) falls under the list of energy-using products of Annex I (in EU Regulation (EC) No 1275/2008);
- (c) is dependent on energy input from the mains power source in order to work as intended; and
- (d) is designed for use with a nominal voltage rating of 250 V or below.

This definition is from EU Commission Regulation (EC) No 1275/2008. Energy-using products in Annex I include information technology equipment intended primarily for use in the domestic environment which means products classified as Class B per EN55022 in EU Directive 89/336/EEC



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for Electromagnetic Compatibility (EMC). Examples of products which may be classified as Class B include monitors, workstations and laptops. EU Regulation 1275/2008 for ecodesign requirements for standby and off mode electric power consumption of electrical and electronic household and office equipment can be found at:

[Http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:339:0045:0052:EN:PDF](http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:339:0045:0052:EN:PDF)

Requirements

Electronic equipment, such as monitors, workstations and laptops which are EMC Class B Information Technology equipment as defined in EN 55022:2006+A1:2007 or EN 55022:2010 and newly releasing must meet the following requirements:

1. Power consumption in off-mode shall not exceed 0.50 W,
2. Power consumption in standby mode with a reactivation function shall not exceed 0.50 W,
3. Power consumption in standby mode providing only information or status display shall not exceed 1.00 W, and
4. When equipment is not providing the main function, or when other energy-using product(s) are not dependent on its functions, equipment shall, unless inappropriate for the intended use, offer a power management function that switches equipment after the shortest possible period of time into standby mode, or off mode, or another condition which does not exceed the applicable power consumption requirements for off mode and/or standby mode when the equipment is connected to the mains power supply.

Electronic equipment, such as monitors, workstations and laptops which are EMC Class B Information Technology equipment as defined in EN 55022:2006 or EN 55022:2010 and currently shipping as of September 1, 2009 must meet the following requirements:

1. Power consumption in off-mode shall not exceed 1.0 W,
2. Power consumption in standby mode with a reactivation function shall not exceed 1.0 W,
3. Power consumption in standby mode providing only information or status display shall not exceed 2.00 W, and

The product must be marked with the CE conformity marking. See the following Figure. The CE mark must have a height of at least 5 mm. The CE marking must be affixed to the EuP. Where this is not possible, it must be affixed to the packaging and to the accompanying documents.



Figure 13. Example of CE Conformity Marking.

The following technical documents must be provided to Lenovo:



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A. Declaration of Conformity (DoC) to EU Regulation 1275/2008 as required by EU Directive 2009/125/EC. The DoC must include:

- i. Name and address of the manufacturer or of its authorized representative;
- ii. A description of the model sufficient for unambiguous identification;
- iii. Where appropriate, the references of the harmonized standards applied;
- iv. Where appropriate, the other technical standards and specifications used;
- v. Where appropriate, the reference to other EU Community legislation providing for the affixing of the CE mark that is applied;
- vi. Identification and signature of the person empowered to bind the manufacturer or its authorized representative.

B. Statement indicating which energy efficiency tier (or both) the DoC applies to (see the first two paragraphs of this section for energy efficiency tier information), and

C. The technical documentation showing efficiency data must be provided. The technical documentation must meet the requirements of Annex IV of EU Commission Regulation No 1275/2008. For Israel, testing must be conducted at an approved Standards Institute of Israel (SII) testing facility in Israel and the results provided to Lenovo.

2.11.4 External Power Supplies, Adapters and Chargers

2.11.4.1 Requirements for USA/ Australia/ New Zealand

Definitions

External Power Supply (EPS) – A single voltage external AC to DC or AC to AC power supply is a device designed to convert line voltage AC input to a lower AC or DC voltage, converting to only 1 AC or DC output at a time, is sold with or intended to be used with a separate end-use product that constitutes the primary load, is contained in a separate physical enclosure from the end use product, is connected to the end-use product via removable or hard wired male/female electrical connection, cable, cord or other wiring, and has a nameplate output power less than or equal to 250 watts. Please note, for Australia and New Zealand only, these countries have an additional phrase for the definition of an EPS. The EPS must have an input from a mains supply. The EPS must have an integral mains plug to be within scope of the Australia/NZ requirements.

Requirements

External power supplies manufactured after February 10, 2016 must meet the requirements of the Department of Energy - 10 CFR Part 430, RIN: 1904-AB57, Docket ID, ERE-2008-BT-STD-0005. The efficiency standards are listed below.



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| Table 25. Direct Operation External Power Supply Efficiency Standards (Beginning February 10, 2016) | | |
|--|---|--|
| Single-voltage External AC-DC Power Supply, Basic Voltage | | |
| Nameplate Output Power (P_{out}) | Minimum Average Efficiency in Active Mode (expressed as a decimal) | Maximum Power in No-Load Mode [W] |
| P _{out} ≤ 1 W | $\geq 0.5 \times P_{out} + 0.16$ | ≤ 0.100 |
| 1 W < P _{out} ≤ 49 W | $\geq 0.071 \times \ln(P_{out}) - 0.0014 \times P_{out} + 0.67$ | ≤ 0.100 |
| 49 W < P _{out} ≤ 250 W | ≥ 0.880 | ≤ 0.210 |
| P _{out} > 250 W | ≥ 0.875 | ≤ 0.500 |
| Single-Voltage External AC-DC Power Supply, Low-Voltage | | |
| Nameplate Output Power (P_{out}) | Minimum Average Efficiency in Active Mode (expressed as a decimal) | Maximum Power in No-Load Mode [W] |
| P _{out} ≤ 1 W | $\geq 0.517 \times P_{out} + 0.087$ | ≤ 0.100 |
| 1 W < P _{out} ≤ 49 W | $\geq 0.0834 \times \ln(P_{out}) - 0.0014 \times P_{out} + 0.609$ | ≤ 0.100 |
| 49 W < P _{out} ≤ 250 W | ≥ 0.870 | ≤ 0.210 |
| P _{out} > 250 W | ≥ 0.875 | ≤ 0.500 |
| Single-voltage External AC-AC Power Supply, Basic Voltage | | |
| Nameplate Output Power (P_{out}) | Minimum Average Efficiency in Active Mode (expressed as a decimal) | Maximum Power in No-Load Mode [W] |
| P _{out} ≤ 1 W | $\geq 0.5 \times P_{out} + 0.16$ | ≤ 0.100 |
| 1 W < P _{out} ≤ 49 W | $\geq 0.071 \times \ln(P_{out}) - 0.0014 \times P_{out} + 0.67$ | ≤ 0.100 |
| 49 W < P _{out} ≤ 250 W | ≥ 0.880 | ≤ 0.210 |
| P _{out} > 250 W | ≥ 0.875 | ≤ 0.500 |
| Single-Voltage External AC-AC Power Supply, Low-Voltage | | |
| Nameplate Output Power (P_{out}) | Minimum Average Efficiency in Active Mode (expressed as a decimal) | Maximum Power in No-Load Mode [W] |
| P _{out} ≤ 1 W | $\geq 0.517 \times P_{out} + 0.087$ | ≤ 0.100 |
| 1 W < P _{out} ≤ 49 W | $\geq 0.0834 \times \ln(P_{out}) - 0.0014 \times P_{out} + 0.609$ | ≤ 0.100 |
| 49 W < P _{out} ≤ 250 W | ≥ 0.870 | ≤ 0.210 |
| P _{out} > 250 W | ≥ 0.875 | ≤ 0.500 |
| Multiple Voltage External Power Supply | | |
| Nameplate Output Power (P_{out}) | Minimum Average Efficiency in Active Mode (expressed as a decimal) | Maximum Power in No-Load Mode [W] |
| P _{out} ≤ 1 W | $\geq 0.497 \times P_{out} + 0.067$ | ≤ 0.300 |
| 1 W < P _{out} ≤ 49 W | $\geq 0.075 \times \ln(P_{out}) + 0.561$ | ≤ 0.300 |
| P _{out} ≥ 49 W | ≥ 0.860 | ≤ 0.300 |

The power supply and packaging must be labeled according to the International Efficiency Marking Protocol. In keeping with the above efficiency standards, the power supply must have a marking of IV or higher. The marking is determined by comparing the unit's active and no load



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test data with the performance requirements of the International Efficiency Marking Protocol scale. The marking shall be permanently shown on the nameplate of the power supply. The font should be a plain serif font such as Times Roman. The size must be legible and indelible in a color that contrasts with the nameplate background. The label must include the manufacturer's name, model number, and Date of Manufacture. Further information about the International Efficiency Marking Protocol can be found at:

http://www.energystar.gov/ia/partners/prod_development/revisions/downloads/International_Efficiency_Marking_Protocol.pdf

The manufacturer must register each model or family of models in Australia, New Zealand and other jurisdictions as required (e.g., Arizona, New York, and Oregon.) The state of Oregon requires the manufacturer of a single voltage AC to DC power supply to certify with a letter that the product is compliant and has been tested. The supplier must provide Lenovo with a copy the Energy Efficiency test results, used to verify the supply meets the IV mark criteria. See the following web site for more details for registration in Australia: <http://www.energystar.gov.au/regulations/>

In addition to the above, a Class A EPS must meet the US Department of Energy rule for Certification, Compliance and Enforcement Requirements for Certain Consumer Products and Commercial and Industrial Equipment, 75 Federal Register Regulation 652.

A Class A EPS is defined as an EPS which meets the following criteria:

- Designed to convert line voltage AC input into lower voltage AC or DC output;
- Sold with or intended to be used with , a separate end-use product that constitutes the primary load;
- Contained in a separate physical enclosure from the end-use product;
- Connected to the end-use product via a removable or hard-wired male/female electrical connection, cable, cord, or other wiring;
- Nameplate output power 250 watts or less; and
- Able to convert to only one AC or DC output voltage at a time

Class A EPS does not include any device that –

- Requires Federal Food and Drug Administration listing and approval as a medical device in accordance with section 513 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 360c); or
- Powers the charge of a detachable battery pack or charges the battery or a product that is fully or primarily motor operated.

Class A EPSs must meet the energy requirements in Table 25 above. Exceptions to this include EPSs which were:

- Manufactured during the period beginning on July 1, 2008, and ending on June 30, 2015; and
- Made available by the manufacturer as a service part or a spare part for an end-use product –
 - That constitutes the primary load; and



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- Was manufactured before July 1, 2008.

Class A EPSs which are in scope of this requirement as cited above must be certified by the manufacturer to the US Department of Energy with test results and a compliance statement. See US 10 CFR Part 429 Subpart B Certification, 429.10 through 429.71 for more information at <http://ecfr.gpoaccess.gov/cgi/t/text/textidx?c=ecfr;sid=11d3a1f4f775aa25c0e125fe9dab140d;rgn=div5;view=text;node=10%3A3.0.1.4.17;idno=10;cc=ecfr>

Test procedures for energy efficiency measurements as cited in US 10 CFR Part 430, must be followed for External Power Supplies and Battery Chargers. Please refer to the following web site for more details:

http://www1.eere.energy.gov/buildings/appliance_standards/residential/battery_external.html

2.11.4.2 Requirements for Canada

Definitions

External Power Supply (EPS) means a power supply device that

- a) is designed to convert line voltage AC input to a lower voltage DC or AC output,
- b) is able to convert to only one DC or AC output voltage at a time,
- c) is designed to be used with a household or office end-use product that constitutes the primary load,
- d) is encased in an enclosure separated from that end-use product and is connected to that product by an electrical connection, and
- e) has a nominal output power of 250 W or less.

An EPS does not include a device

- a) that powers the charger of a detachable battery pack of an end-use product,
- b) that charges the battery of an end-use product that is fully or primarily motor operated,
- c) that is an accessory to a medical device as defined in section 1 of Canada Medical Devices Regulations, or
- d) that is a power sourcing equipment as defined in IEEE 802.3-2008 Standard for Information Technology – Telecommunications and Information Exchange Between Systems.

The scope is limited to EPSs designed for household and office end-use products.

Replacement External Power Supply means an external power supply that

- a. is marked for replacement of a specified end-use product that was manufactured before July 1, 2010, and
- b. is imported or shipped in quantities of less than fifty units.

Security External Power Supply is an EPS that is manufactured before July 1, 2017 and

- a) is designed to convert line voltage ac input into lower voltage ac output,
- b) has a nominal output power of 20 W or more, and
- c) is designed for and marketed with equipment that operates continuously in on mode to perform any of the following principal functions:



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- i. monitor, detect, record or provide notification of any intrusion on or access to real property or physical assets or notification of threats to personal safety resulting from that intrusion or access,
- ii. deter or control access to real property or physical assets or prevent the unauthorized removal of physical assets, or
- iii. monitor, detect, record or provide notification of any physical threats to real property, physical assets or personal safety, including fire, gas, smoke and flooding.

A Security EPS does not include an EPS for equipment that is designed and marketed with a built-in alarm or theft deterrent feature if the equipment's principal functions are not any of the functions mentioned above. There is an exemption for no-load power requirements for Security EPSs manufactured before July 1, 2017. See regulation for more details.

Requirements

External power supplies must meet the following requirements (not applicable to replacement EPS manufactured before July 1, 2013)

| Table 26: EPS Efficiency Requirement for Canada | | |
|--|--|---|
| External Power Supply Energy Efficiency Standard | | |
| Nameplate output (nominal power P_n) | Minimum average efficiency in active mode (decimal equivalent of a percentage) | Maximum power in no-load mode (not applicable to security EPS) |
| <1 watt | $0.5 * P_n$ (nameplate output) | 0.5 watt |
| ≥ 1 watt and ≤ 51 watts | $0.09 * P_n$ (nameplate output) + 0.5 | 0.5 watt |
| >51 watts | 0.85 | 0.5 watt |

Verification Requirements

The EPS must bear a verification mark indicating that the energy efficiency reporting requirements have been verified. The verification mark is the mark of a Standards Council of Canada (SCC) accredited certification body that administers an energy performance verification program for EPSs. The use of the Roman numeral IV is accepted as an alternative to the energy efficiency verification mark for EPSs if:

- the Roman numeral is clearly indicated on the product according to the ENERGY STAR® protocol, and
- the product performance is initially verified by an SCC accredited certification organization offering an EPS energy efficiency verification program.

Additional information about the ENERGY STAR® protocol can be found at

http://www.energystar.gov/ia/partners/prod_development/revisions/downloads/International_Efficiency_Marking_Protocol.pdf

A model number must be clearly marked on the product which can be traced to the certification body's energy performance verification. This certification must be provided to Lenovo. The



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manufacturer or the dealer of the External Power Supply must submit to Natural Resources Canada an energy efficiency report, which must include:

- a) product name,
- b) manufacturer name,
- c) brand name,
- d) model number,
- e) nominal output, in volts, at highest and lowest output setting,
- f) nominal output, in watts, at highest and lowest output setting, if applicable,
- g) whether the output is AC or DC,
- h) the average efficiency at highest and lowest output setting,
- i) no load power in watts,
- j) whether it is a replacement external power supply or a security EPS,
- k) if a replacement EPS or a security EPS, the end-use equipment and the brand and model number of that equipment,
- l) roman numeral mark, if applicable,
- m) whether the product bears a verification mark
- n) name of the certification body associated with verifying the Roman numeral mark or that authorized the verification mark that appears on the product.

A dealer who imports external power supplies into Canada must include on the customs release document:

- a) product name (i.e., EPS)
- b) model number
- c) brand name
- d) address of the dealer importing the product
- e) purpose for which the product is being imported (e.g., for sale of lease in Canada without modification)

Replacement EPSs, which meet the definition above are exempt from MEPS until July 1, 2013, however, they must be registered prior to and reported at the time of import. Initial registration does not need to include an efficiency report, or any of the electrical parameters that would be required for production hardware. See the reporting requirements above for EPSs, the required elements for Replacement EPSs would include items (a) through (d) and (i) through (k).

2.11.4.3 Requirements for Korea

Definitions

Adapter – A single voltage external power supply (AC-DC or AC-AC) under 150 W (nameplate output power) without any charging function.

Charger – Single voltage external power supply (AC-DC) with charging function to charge a lithium ion battery and has an input of 20W.

Requirements



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Adapters (external power supply without charging) shall meet the requirements found in the table below.

| Table 27. Minimum Energy Performance Standards for Adapters | |
|--|---|
| Minimum Energy Performance Standards (MEPS) | |
| Output power on name plate (P_{no}) | Running Efficiency (On mode energy efficiency) |
| $0 < P_{no} < 1W$ | $> 0.49 \times P_{no}$ |
| $1W < P_{no} < 49W$ | $> [0.09 \times \ln(P_{no})] + 0.49$ |
| $49W < P_{no} < 150W$ | > 0.84 |

| Output power on name plate (P_{no}) | Maximum Standby Power (Power consumption on No-Load Mode) |
|---|--|
| $0 < P_{no} < 10W$ | $< 0.5W$ |
| $10W < P_{no} < 150W$ | $< 0.75W$ |

Chargers (external power supply with charging function to charge Li-Ion Battery) must meet the requirements found in the table below.

| Table 28. Minimum Energy Performance Standards for Chargers | |
|--|-----------|
| Minimum Energy Performance Standards (MEPS) | |
| $0 < P_{in} < 10W$ | $< 0.5W$ |
| $10W < P_{in} < 20W$ | $< 0.75W$ |

Adapters and Chargers must be tested and labeled in accordance with the Korean Regulation on Energy Efficiency Labeling and Standards, July 31, 2008. The required label is in the Figure below. The label shall be on the front or top of the product. Please note that “ABC-12345” represents the model number of the external power supply. If the model number is already shown on the unit, then the line text with the model number can be eliminated on this label. The KC mark does not need to be right next to the Korean text but does need to be on the front or top of the unit.

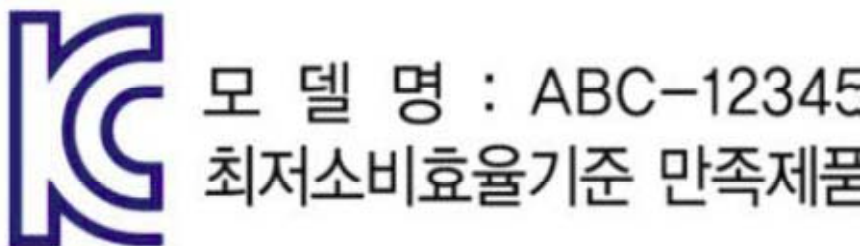


Figure 14. Korea Energy Label for Adapters and Chargers.



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2.11.4.4 Requirements for the EU and Switzerland (Lot 7)

The section applies to external power supplies (EPSs) irrespective of the EMC classification of A or B. This section does not apply to uninterruptable power supplies (UPSs).

Definitions

External power supply - device which meets all of the following criteria:

1. It is designed to convert alternating current (AC) power input from the mains power source into lower voltage direct current (DC) or AC output;
2. It is able to convert to only one DC or AC output voltage at a time;
3. It is intended to be used with a separate device that constitutes the primary load;
4. It is contained in a physical enclosure separate from the device that constitutes the primary load;
5. It is connected to the device that constitutes the primary load via a removable or hard-wired male/female electrical connection, cable, cord or other wiring;
6. It has nameplate output power not exceeding 250 Watts;
7. It is intended for use with electrical and electronic household and office equipment as referred to in EU Regulation (EC) No 1275/ 2008 Article 2(1).

Requirements

External power supplies must meet the following requirements (effective April 2010):

1. The no-load condition power consumption shall not exceed 0.50 W
2. The average active efficiency shall be not less than:
 - a. $0.500 * P_O$, for $P_O < 1.0$ W;
 - b. $0.090 * \ln(P_O) + 0.500$, for 1.0 W $< P_O < 51.0$ W;
 - c. 0.850 for $P_O > 51.0$ W.

1. The no-load condition power consumption shall not exceed the following limits (effective April 2011):

| | AC-AC EPSs, except low voltage EPSs | AC-DC EPSs except low voltage EPSs | Low voltage EPSs |
|----------------|---|--|------------------|
| $P_O < 51.0$ W | 0.50 W | 0.30 W | 0.30 W |
| $P_O > 51.0$ W | 0.50 W | 0.50 W | Not Applicable |

2. The average active efficiency shall be not less than the following limits:

| | AC-AC and AC-DC EPSs, except low voltage EPSs | Low voltage EPSs |
|--------------------------|--|----------------------------|
| $P_O < 1.0$ W | $0.480 * P_O + 0.140$ | $0.497 * P_O + 0.067$ |
| 1.0 W $< P_O < 51.0$ W | $0.0626 * \ln(P_O) + 0.622$ | $0.075 * \ln(P_O) + 0.561$ |
| $P_O > 51.0$ W | 0.870 | 0.860 |



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The product must be marked with the CE conformity marking. See Figure 13. The CE mark must have a height of at least 5 mm. The CE marking must be affixed to the EPS. Where this is not possible, it must be affixed to the packaging and to the accompanying documents.

The following technical documents must be provided to Lenovo:

1. Declaration of Conformity (DoC) to EU Regulation 1275/2008 as required by EU Directive 2005/32/EC. The DoC must include:

- i. Name and address of the manufacturer or of its authorized representative;
- ii. A description of the model sufficient for unambiguous identification;
- iii. Where appropriate, the references of the harmonized standards applied;
- iv. Where appropriate, the other technical standards and specifications used;
- v. Where appropriate, the reference to other EU Community legislation providing for the affixing of the CE mark that is applied;
- vi. Identification and signature of the person empowered to bind the manufacturer or its authorized representative.

2. The technical documentation showing efficiency data must be provided. The technical documentation must meet the requirements of Annexes I and II of EU Commission Regulation No 278/2009 and Switzerland Energy Regulation Appendix 2:11.

EPSs which are packaged as service or spare parts must clearly indicate the primary load product for which the EPS is intended to be used with.

2.11.4.5 Requirements for China

External Power Supplies shall meet the energy efficiency requirements of Sections 4.1 in the National Standard of the People's Republic of China GB 20943-2013. This standard is applicable to product with rated output power lower than or equal to 250W. This standard is NOT applicable to product used for industrial equipment, medical device and others with special functions.

Energy Efficiency Requirements

External Power Supplies manufactured after Sep. 1st, 2014 must meet table 28's and table 29's requirements of the National Standard of the People's Republic of China GB 20943-2013. See table 28 and table 29 in this section.

Table 29. Minimum Average Energy Efficiency Requirements for EPS

| Rated Power | Product Classification | Minimum Average Efficiency |
|---------------------|---|----------------------------------|
| $0 < P_O \leq 1W$ | Rated Voltage < 6V and Rated Current $\geq 550mA$ | $0.497 \times P_O + 0.067$ |
| | Others | $0.480 \times P_O + 0.140$ |
| $1W < P_O \leq 49W$ | Rated Voltage < 6V and Rated Current $\geq 550mA$ | $0.0750 \times \ln(P_O) + 0.561$ |
| | Others | $0.0626 \times \ln(P_O) + 0.622$ |



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| | | |
|----------------------|---|------|
| $49 < P_O \leq 250W$ | Rated Voltage < 6V and Rated Current $\geq 550mA$ | 0.86 |
| | Others | 0.87 |

Table 30. Minimum Average Energy Efficiency Requirements of No-Load model for EPS

| Rated Power | No load power | |
|--------------------------|---------------|-----|
| $0 < P_O < 50W$ | AC output | 0.5 |
| | DC output | 0.3 |
| $50W \leq P_O \leq 250W$ | 0.5 | |

2.11.4.6 Requirements for California

Battery Chargers

See California Energy Commission Appliance Efficiency Regulations for more details, including further definitions and effective dates. Web site is at <http://www.energy.ca.gov/appliances/>. Some effective dates are referenced later in this section.

Definitions

À la carte charger means a battery charger that is individually packaged without batteries. À la carte chargers include those with multi - voltage or multi - port capability.

Battery backup or uninterruptible power supply charger (UPS) means a small battery charger system that is voltage and frequency dependent (VFD) and designed to provide power to an end use product in the event of a power outage, and includes a UPS as defined in IEC 62040 - 3 ed.2.0. The output of the VFD upon which the UPS is dependent changes in AC input voltage and frequency and is not intended to provide additional corrective functions, such as those relating to the use of tapped transformers.

Battery charger system (BCS) means a battery charger coupled with its batteries or battery chargers coupled with their batteries, which together are referred to as battery charger systems. This term covers all rechargeable batteries or devices incorporating a rechargeable battery and the chargers used with them. Battery charger systems include, but are not limited to:

- 1) electronic devices with a battery that are normally charged from ac line voltage or dc input voltage through an internal or external power supply and a dedicated battery charger;
- 2) the battery and battery charger components of devices that are designed to run on battery power during part or all of their operations;
- 3) dedicated battery systems primarily designed for electrical or emergency backup; and
- 4) devices whose primary function is to charge batteries, along with the batteries they are designed to charge. These units include chargers for power tool batteries and chargers for automotive, AA, AAA, C, D, or 9 V rechargeable batteries, as well as chargers for batteries used in larger industrial motive equipment and à la carte chargers. The charging circuitry of battery charger systems may or may not be located within the housing of the end-use device itself. In many



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cases, the battery may be charged with a dedicated external charger and power supply combination that is separate from the device that runs on power from the battery.

Except those:

- 1) used to charge a motor vehicle that is powered by an electric motor drawing current from rechargeable storage batteries, fuel cells, or other portable sources of electrical current, and which may include a nonelectrical source of power designed to charge batteries and components thereof. This exception does not apply to autoettes, electric personal assistive mobility devices, golf carts, or low speed vehicles, as those vehicles are defined in Division 1 of the California Vehicle Code;
- 2) that are classified as Class II or Class III devices for human use under the Federal Food, Drug, and Cosmetic Act and require U.S. Food and Drug Administration listing and approval as a medical device;
- 3) used to charge a battery or batteries in an illuminated exit sign, as defined in Section 1602(l);
- 4) with input that is three phase of line - to - line 300 volts root mean square or more and is designed for a stationary power application;
- 5) that are battery analyzers; or
- 6) that are voltage independent or voltage and frequency independent uninterruptible power supplies (UPS) as defined by International Electrotechnical Commission (IEC) 62040 - 3 ed.2.0.

Inductive charger system means a small battery charger system that transfers power to the charger through magnetic or electric induction.

Large battery charger system means a battery charger system (other than a battery charger system for golf carts) with a rated input power of more than 2 kW.

Small battery charger system means a battery charger system with a rated input power of 2 kW or less, and includes golf cart battery charger systems regardless of the output power.

USB charger system means a small battery charger system that uses a Universal Serial Bus (USB) connector as the only power source to charge the battery, and is packaged with an external power supply rated with a voltage output of 5 volts and a power output of 15 watts or less.

Requirements

Large Battery Charger Systems manufactured on or after January 1, 2014 shall meet the performance values in Table W-1 of the California Energy Commission Appliance Efficiency Regulations.



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Table W - 1
Standards for Large Battery Charger Systems

| Performance Parameter | | Standard |
|--|--|---|
| Charge Return Factor (CRF) | 100 percent, 80 percent Depth of discharge | $CRF \leq 1.10$ |
| | 40 percent Depth of discharge | $CRF \leq 1.15$ |
| Power Conversion Efficiency | | Greater than or equal to: 89 percent |
| Power Factor | | Greater than or equal to: 0.90 |
| Maintenance Mode Power (E_b = battery capacity of tested battery) | | Less than or equal to: $10 + 0.0012E_b$ W |
| No Battery Mode Power | | Less than or equal to: 10 W |

The following Small Battery Charger Systems shall meet the applicable performance values in Table W-2 of the California Energy Commission Appliance Efficiency Regulations:

- consumer products that are not USB charger systems with a battery capacity of 20 watt - hours or more, and are manufactured on or after February 1, 2013;
- consumer products that are USB charger systems with a battery capacity of 20 watt - hours or more and are manufactured on or after January 1, 2014; and
- those that are not consumer products and are manufactured on or after January 1, 2017.

Exceptions to these Small Battery Charger requirements are à la carte charger that are:

- a) provided separately from and subsequent to the sale of a small battery charger system manufactured before the effective date of the applicable standard in Section 1605.3(w)(2);
- b) necessary as a replacement for, or as a replacement component of, such small battery charger system;
- c) is provided by a manufacturer directly to a consumer or to a service or repair facility; and is manufactured no more than five years after the effective date in Section 1605.3(w)(2) applicable to the particular small battery charger system for which the à la carte charger is intended as a replacement or replacement component. These chargers shall not be required to meet the applicable standard in Section 1605.3(w)(2) and Table W - 2.14 of the California regulations.



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Table W - 2
Standards for Small Battery Charger Systems

| Performance Parameter | Standard |
|---|---|
| Maximum 24 hour charge and maintenance energy (Wh) (Eb = capacity of all batteries in ports and N = number of charger ports) | For Eb of 2.5 Wh or less: $16 \times N$ |
| | For Eb greater than 2.5 Wh and less than or equal to 100 Wh: $12 \times N + 1.6Eb$ |
| | For Eb greater than 100 Wh and less than or equal to 1000 Wh: $22 \times N + 1.5Eb$ |
| | For Eb greater than 1000 Wh: $36.4 \times N + 1.486Eb$ |
| Maintenance Mode Power and No Battery Mode Power (W) (Eb = capacity of all batteries in ports and N = number of charger ports) | The sum of maintenance mode power and no battery mode power must be less than or equal to: $1 \times N + 0.0021 \times Eb$ Watts |

Inductive charger systems manufactured on or after February 1, 2013, shall meet either the applicable performance standards in Table W-2 or shall use less than 1 watt in maintenance mode, less than 1 watt in no battery mode, and an average of 1 watt or less over the duration of the charge and maintenance mode test.

Battery Backup and Uninterruptible Power Supplies manufactured on or after February 1, 2013, for consumer products and January 1, 2017, for products that are not consumer products shall consume no more than $0.8 + 0.0021 \times Eb$ watts in maintenance mode where Eb is the battery capacity in watt - hours.

The appliances must be tested in accordance with Sections 1603 and 1604 of the California Energy Commission Appliance Efficiency Regulations at an approved test laboratory or an approved industry certification program.

The manufacturer must file a statement with the California Executive Director for each appliance sold or offered for sale in California in accordance with Section 1606 of the California Energy Commission Appliance Efficiency Regulations. Certification information is pending from the State of California, but will include the following:

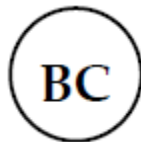


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- Enter data results from the test into an Excel file formatted for uploading into the Energy Commission's Appliance Efficiency Database.
- Fill out and sign a declaration form. This form must record the contact information for the manufacturer and test laboratory along with a statement that all of the submitted information is true, accurate, and in compliance with the law.
- E-mail data file and a scan of the signed declaration to the Energy Commission. If needed, include a test laboratory approval application for the test laboratory used.

Labeling

The labeling and marking requirements apply to all products that are within the scope of the adopted regulation. The requirements are that the marking be legible and permanently affixed. There are no specific size, font, or color requirements as long as the marking is legible. An example of an acceptable mark is shown below:



Placement of the mark should go either on the product (i.e., nameplate) or the product packaging and the front page of the publication.

Effective dates of this regulation vary, please see regulation for details. The following are some effective dates:

- Most small consumer charger systems manufactured on or after February 1, 2013;

2.11.5 Requirements for Australia and New Zealand

2.11.5.1 Minimum Energy Performance Standards: Computer and Monitors

Minimum Energy Performance Standards (MEPS) for computers and Monitors will be implemented on October 1, 2013 in Australia and New Zealand.

Computers and Monitors must be registered on www.energyrating.gov.au before they are available for sale.

2.11.5.1.1 Computers

Requirements for computers

Computers must meet the requirements of the Standard AS/NZS 5813.2 and must be tested to the Standard AS/NZS 5813.1.

Standards can be purchased from www.standards.co.nz and www.saiglobal.com.



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Non-compliant computer stock imported into, or manufactured in Australia and New Zealand before October 1, 2013 may continue to be sold. Second-hand sales are not covered by the Regulations.

The MEPS will cover all computers that are imported or manufactured in New Zealand for sale or hire, including:

- desktop computers
- notebooks
- small scale servers.

The following computers do not need to comply with MEPS:

- personal digital assistants (PDAs)
- palmtop computers and smartphones
- games consoles
- blade, slate or thin client computers
- workstations
- computers that are not connected to mains voltage or by external power supply.

Alternative requirements for small production runs

There will be "deemed-to-comply" provisions for computer models where less than 200 units are manufactured per year. The model must be registered on the energy rating website. However it will be exempt from typical energy consumption (TEC) requirements if it uses an internal power supply that meets the standard below, or an external power meeting the requirements of energy performance mark V.

AS/NZS 5814.1:2012 sets out Method of Measurement for internal power supplies.

AS/NZS 4665.1:2005 sets out the test method and energy performance mark for external power supplies.

There is no requirement to register the internal power supply, however it must qualify as an internal power supply that meets or exceeds:

85 per cent efficiency when tested at 20 per cent of rated power;
88 per cent efficiency when tested at 50 per cent of rated power;
85 per cent efficiency when tested at 100 per cent of rated power; and
power factor of 0.9 when tested at 100 per cent of rated power.

Labeling

There are no labeling requirements for computers.

How to register

All computers manufactured in or imported on or after October 1, 2013 must be registered.



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More information on registering a computer is available at:
www.energyrating.gov.au/programs/e3-program/energy-rating-labelling/submit/

A test report is not required to be submitted as part of the registration process. However, manufacturers or importers are required to provide a copy of the test report to the Regulator on request. For this reason it is recommended that an electronic copy of the test report be uploaded when making a registration application.

Instructions on the use of the on-line registration system are available at:
www.energyrating.gov.au/resources/program-publications/?viewPublicationID=2139

2.11.5.1.2 Monitors

Requirements for Monitors

Monitors must meet the requirements of the Standard AS/NZS 5815.2 and be tested to the Standard AS/NZS 5815.1.

Standards can be purchased from www.standards.co.nz or www.saiglobal.com.

The standards are intended to cover all computer monitors that are imported or manufactured in Australia and New Zealand for sale or hire, that are up to 152 cm (measured diagonally across).

Labeling

Monitors up to 76 cm across must comply with MEPS (in on-mode) and labeling.

Larger monitors (between 76 cm and 152 cm across) only need to display an energy rating label and meet standby levels (1W when off, 2W on standby).

**** Electronic labelling (where the energy rating label appears as an image on the screen or in a video loop) is allowed but must be approved by the regulator.***

The following monitors will not need to comply with MEPS and labeling:

- Monitors larger than 152 cm across.
- Products with an integrated television tuner are classified as televisions and are already subject to MEPS and labelling.
- Electronic displays used exclusively for digital signage, advertising or digital picture frames.
- High performance or specialised electronic displays.
- Displays used in public settings.

How to register

All monitors manufactured in or imported on or after October 1, 2013 must be registered and be supplied with an energy rating label.



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More information on registering a monitor is available at:
www.energyrating.gov.au/programs/e3-program/energy-rating-labelling/submit/

A test report is not required to be submitted as part of the registration process. However, manufacturers or importers are required to provide a copy of the test report to the Regulator on request. For this reason it is recommended that an electronic copy of the test report be uploaded when making a registration application.

Instructions on the use of the on-line registration system are available at:
www.energyrating.gov.au/resources/program-publications/?viewPublicationID=2139

2.11.6 Requirements for Mexico

2.11.6.1 Computers, Small Scale Servers, Servers, Storage Products, and peripherals

Requirements

Equipment and Appliances must contain, in a clear and visible manner, basic information (in Spanish) regarding:

1. The energy consumption per unit of time in operation;
2. The energy consumption in standby mode, per unit of time, if applicable; and
3. The quantity of product or service provided by the equipment or appliance, per unit of energy consumed.

Products which do not meet the definition of Specialized products must be labeled (in Spanish) with the above energy consumption and quantity of service provided per unit of energy consumed.

Definitions

Equipment and Appliances means products cited in the Mexico Catalog of Equipment and Appliances, for which Manufacturers, Importers, Distributors and Marketers, must include information regarding their energy consumption.

Specialized products means equipment, spare parts, accessories and additions, that are not sold to the general public, but to a specific client due to its level of technical specialization, and which are set up considering the requirements and specifications of the customer; in addition, the entities requiring this kind of equipment shall previously get from their supplier(s) the features of any such equipment, including energy consumption.

2.11.7 Switches

2.11.7.1 Requirements for Japan

These requirements are from Japan Ordinance No. 39 of the Ministry of Economy, Trade and Industry (METI) amending the Japan Enforcement Regulation of the Law Concerning the Rational Use of Energy. English translation is not yet available from the Japan Ministry.



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Definitions

Switch – Switching apparatus specified by a Cabinet Order set forth in Paragraph 1 of Article 78 of the Law shall be defined in Article 21 in Enforcement Ordinance of the Law Concerning the Rational Use of Energy as below:

(xxiii) Switching apparatus (referring to apparatus which transmit and receive telecommunication signals and are capable of selecting, in the transmission of telecommunication signals, such a path as is provided for in the preceding item (i) for each destination from among a plurality of paths through which the said apparatus may transmit telecommunication signals and of transmitting telecommunication signals to each destination through the said path selected (limited to such apparatus used exclusively for telecommunications via the Internet, excluding those capable of wireless communications and other matters specified by an Ordinance of the METI)).

The exclusion from application for switching apparatus prescribed by an Ordinance of the METI as set forth in Article 21, item (xxiii) of the Enforcement Order shall be as follows:

- (i) Those which do not transmit or exchange any Ethernet frames;
- (ii) Those which transmit and exchange Internet Protocol packets;
- (iii) Those with connection ports for transmitting and/or receiving telecommunications signals, half or more of which use a two-wire connection mode;
- (iv) Those designed to be capable of being incorporated into items such as a housing or computer;
- (v) Those intended to control a device that wirelessly relays telecommunication signals;
- (vi) Those intended mainly for use as a power supply, as specified by the Minister of Economy, Trade and Industry.

Requirements

Switch suppliers must provide to Lenovo the following information with respect to the energy efficiency ratio of an applicable Switch in order to meet the Japanese Energy Savings law:

- (a) Product names, including manufacturer's name,
- (b) Category letter and the Standard Energy Efficiency Ratio,
- (c) Line speed for a port during measurement and the number of ports per line speed,
- (d) Maximum effective transmission speed at a frame length of 1,518 bytes, (e) Maximum supply capability achieved by Power over Ethernet (limited to Switches with the Power over Ethernet function), and
- (f) Energy efficiency ratio.

The above information must be included in a prominent location in a product catalog where either the performance of the Switch is indicated or in a document used for the selection of a Switch.

Requirements for the EU and other CE Marking jurisdictions

References

EU Commission Regulation No 801/2013 of 22 August 2013 amending Regulation (EC) No 1275/2008 with regard to ecodesign requirements for standby, off mode electric power consumption of electrical and electronic household and office equipment

EU Directive 2009/125/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for the setting of ecodesign requirements for energy-related products



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Jordan JSNO 2090/2013 Technical Regulation on eco-design requirements for energy related products

Jordan JSNO 2109/2013 Technical Regulation on eco-design requirements for standby and off mode electric power consumption of electrical and electronic household and office equipment

2.11.8 Routers

Requirements for Japan

These requirements are from Japan Ordinance No. 39 of the METI amending the Japan Enforcement Regulation of the Law Concerning the Rational Use of Energy. English translation is not yet available from the Japan Ministry.

Definitions

Router – Router apparatus specified by a Cabinet Order set forth in Paragraph 1 of Article 78 of the Law shall be defined in Article 21 in Enforcement Ordinance of the Law Concerning the Rational Use of Energy as below:

(xxii) Routing apparatus (referring to apparatus which transmit and receive telecommunication signals and are capable of identifying, in the transmission of telecommunication signals, the path that is the most appropriate of the existing plurality of paths to the destination apparatus according to circumstances such as the conditions of the said paths, and of transmitting the said telecommunication signals through the said path identified as being the most appropriate (limited to such apparatus used exclusively for telecommunications transmission via the Internet, excluding those used for connecting a communication terminal to the Internet via a telephone line for the purpose of telephoning an Internet-access service provider to connect the said communication terminal to the Internet, and other matters specified by an Ordinance of the METI.))

Exclusions from application for the Routing apparatus prescribed by an Enforcement Regulation of the METI as set forth in Article 48, item (20) of the Enforcement regulations shall be as follows:

- (i) Those which do not transmit or exchange Internet Protocol packets;
- (ii) Those which transmit Internet Protocol packets at a speed, in terms of the maximum sum of signal bits of the said packets transmitted per unit time, in excess of 200 megabits per second (excluding those listed in item (vi));
- (iii) Those equipped with a device intended for the use of Asynchronous Transfer Mode that cannot be easily removed;
- (iv) Those with the capability to superimpose a high-frequency current of 10 kilohertz or higher upon a power line;
- (v) Those with connection ports for transmitting and/or receiving telecommunication signals, at least three of which (excluding such connection ports which use Internet Protocol) are intended for transmitting and/or receiving audio signals;
- (vi) Those which wirelessly transmit Internet Protocol packets at a speed, in terms of the maximum sum of signal bits of the said packets transmitted per unit time, in excess of 100 megabits per second;
- (vii) Those with the capability to use an artificial satellite;
- (viii) Those with the capability to multiplex and then transmit 53 subcarriers or more by an orthogonal frequency division multiplex system;



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- (ix) Those with the capability to set up a virtual closed network;
- (x) Those designed to be capable of being incorporated into items such as a computer.

Requirements

Router suppliers must provide Lenovo the following information with respect to the energy efficiency ratio of an applicable Router to meet the Japanese Energy Savings law:

- (a) Product names, including manufacturer's name,
- (b) Category letter and the Standard Energy Efficiency Ratio,
- (c) Availability of 2.4 GHz band wireless output power (for Routers falling under category C, limited to cases of 2.4 GHz band wireless transmission only or of simultaneous transmission of waves of the two frequency bands),
- (d) Availability of 5 GHz band wireless output power (for Routers falling under Category C, limited to cases of 5GHz band wireless transmission only or of simultaneous transmission of waves of the two frequency bands), and
- (e) Energy efficiency ratio.

The above information must be included in a prominent location in a product catalog where either the performance of the Router is indicated or in a document used for the selection of a Router.

Requirements for the EU and other CE Marking jurisdictions

References

EU Commission Regulation No 801/2013 of 22 August 2013 amending Regulation (EC) No 1275/2008 with regard to ecodesign requirements for standby, off mode electric power consumption of electrical and electronic household and office equipment

EU Directive 2009/125/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for the setting of ecodesign requirements for energy-related products

Jordan JSNO 2090/2013 Technical Regulation on eco-design requirements for energy related products

Jordan JSNO 2109/2013 Technical Regulation on eco-design requirements for standby and off mode electric power consumption of electrical and electronic household and office equipment

2.12 Environmental Notifications - Customer Hardware Publications

Customer Hardware Publications for Lenovo Logo Deliverables must include specific Environmental Notices. Suppliers providing Lenovo with customer hardware publications must contact their Lenovo Procurement representative or the author of this specification for details.

3.0 Notification Procedures

If the Material, Part, or Product being supplied to Lenovo does not meet one or more of the applicable requirements in this ES, the supplier must immediately notify the Lenovo procurement representative. This also applies if the supplier or a subcontractor(s) makes changes in their operations that will cause a Material, Part, or Product to no longer comply with this ES. If any



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Material, Part, or Product contains any substances in applications restricted by **Tables 1 or 2**, or contains any mercury, suppliers must immediately report such information to their Lenovo procurement representative.

4.0 References

Argentina National Legislature Act 26184. Published 21 December 2006. Prohibitions on the manufacturing, assembly and importing of batteries and primary batteries.

Australian Ozone Protection and Synthetic Greenhouse Gas Management Act of 1989
<http://www.environment.gov.au/atmosphere/ozone/legislation/commonwealthleg.html>
Austrian Battery Ordinances 514/1990, as amended by BGBI No. 3/1991(4 January, 1991) and BGBI.II Nol. 495/1999 (28 December 1999) of the Ordinance of Federal Ministry for Environment, Youth and Family.

Austrian Ordinance by the Federal Minister for Agriculture, Forestry, Environment and Water Management on Bans and Restrictions for Partly Fluorinated and Fully Fluorinated Hydrocarbons and Sulphur Hexafluoride

California Code of Regulations, title 22, division 4.5: Chapter 33. Best Management Practices for Perchlorate Materials.

[Http://www.dtsc.ca.gov/LawsRegsPolicies/Title22/index.cfm](http://www.dtsc.ca.gov/LawsRegsPolicies/Title22/index.cfm)

California Safe Drinking Water and Toxic Enforcement Act of 1986:

[Http://www.oehha.org/prop65/law/P65law72003.html](http://www.oehha.org/prop65/law/P65law72003.html)

Canada Prohibition of Certain Toxic Substances Regulations, 2005. Canadian Environmental Protection Act, 1999.

[Http://laws.justice.gc.ca/en/showtdm/cr/SOR-2005-41//?showtoc=&instrumentnumber=SOR-2005-41](http://laws.justice.gc.ca/en/showtdm/cr/SOR-2005-41//?showtoc=&instrumentnumber=SOR-2005-41)

Connecticut Public Law 02-90, The Mercury Education and Reduction Act

http://www.ct.gov/dep/cwp/view.asp?a=2708&q=324028&depNav_GID=1638

Denmark Statutory Order no. 552 of 2 July 2002 Regulating Certain Industrial Greenhouse Gasses

<http://glwww.mst.dk/homepage/>

EU Directive 2011/65/EU of the European Parliament and of the Council of 8 June 2011 on the restriction of the use of certain hazardous substances in electrical and electronic equipment (recast)

<http://eurlex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:174:0088:0110:EN:PDF>

EU Directive 2012/19/EU of the European Parliament and of the Council of 4 July 2012 on waste electrical and electronic equipment (WEEE)

(recast) <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:197:0038:0071:en:PDF>



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EU Commission Decision 2005/618/EC of 18 August 2005 amending Directive 2002/95/EC of the European Parliament and of the Council for the purpose of establishing the maximum concentration values for certain hazardous substances in electrical and electronic equipment.

[Http://europa.eu.int/comm/environment/waste/weee_index.htm](http://europa.eu.int/comm/environment/waste/weee_index.htm)

EU Commission Decision 2005/717/EC of 13 October 2005 amending for the purposes of adapting to the technical progress the Annex to Directive 2002/95/EC of the European Parliament and of the Council on the restriction of the use of certain hazardous substances in electrical and electronic equipment.

[Http://europa.eu.int/comm/environment/waste/weee_index.htm](http://europa.eu.int/comm/environment/waste/weee_index.htm)

EU Commission Decision 2005/747/EC of 21 October 2005 amending for the purposes of adapting to technical progress the Annex to Directive 2002/95/EC of the European Parliament and of the Council on the restriction of the use of certain hazardous substances in electrical and electronic equipment.

[Http://europa.eu.int/comm/environment/waste/weee_index.htm](http://europa.eu.int/comm/environment/waste/weee_index.htm)

EU Commission Directive 2002/95/EC of the European Parliament and of the Council of 27 January 2003 on the restriction of the use of certain hazardous substances in electrical and electronic equipment. Official Journal of the European Union 13.2.2003

[Http://europa.eu.int/eur-lex/pri/en/oj/dat/2003/l_037/l_03720030213en00190023.pdf](http://europa.eu.int/eur-lex/pri/en/oj/dat/2003/l_037/l_03720030213en00190023.pdf)

EU Commission Directive 2012/19/EU of the European Parliament and of the Council of 27 January 2003 on waste electrical and electronic equipment (WEEE)

[Http://ec.europa.eu/environment/waste/weee/index_en.htm](http://ec.europa.eu/environment/waste/weee/index_en.htm)

EU Commission Directive 2006/122/EC of 12 December 2006 amending for the 30th time Council Directive 76/769/EEC on the approximation of the laws, regulations and administrative provisions of the Member States relating to restrictions on the marketing and use of certain dangerous substances and preparations (perfluorooctane sulfonates)

<http://eur-lex.europa.eu/JOHtml.do?uri=OJ:L:2006:372:SOM:en:HTML>

EU Council Directive 76/769/EEC, on the approximation of the laws, regulations and administrative provisions of the Member States relating to the restrictions on the marketing and use of dangerous substances and preparations

<http://eur-lex.europa.eu/LexUriServ/site/en/consleg/1976/L/01976L0769-20030215-en.pdf>

EU Commission Directive 2006/66/EC of the European Parliament and of the Council of 6 September 2006 on batteries and accumulators and waste batteries and accumulators

[Http://eur-lex.europa.eu/LexUriServ/site/en/oj/2006/l_266/l_26620060926en00010014.pdf](http://eur-lex.europa.eu/LexUriServ/site/en/oj/2006/l_266/l_26620060926en00010014.pdf)



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EU Commission Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH). Official Journal of the European Union 30.12.2006
[Http://eur-lex.europa.eu/JOIndex.do?year=2006&serie=L&textfield2=396&Submit=Search](http://eur-lex.europa.eu/JOIndex.do?year=2006&serie=L&textfield2=396&Submit=Search)

EU Directive 2006/66/EC of the European Parliament and of the Council of 6 September 2006 on batteries and accumulators and waste batteries and accumulators
http://eur-lex.europa.eu/LexUriServ/site/en/oj/2006/l_266/l_26620060926en00010014.pdf

EU Regulation (EC) No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH)
Http://eur-lex.europa.eu/LexUriServ/site/en/oj/2006/l_396/l_39620061230en00010849.pdf

EU: Regulation (EC) No. 2037/2000 of the European Parliament and of the Council of 29 June 2000 on substances that deplete the ozone layer.
<http://eur-lex.europa.eu/LexUriServ/site/en/consleg/2000/R/02000R2037-20041224-en.pdf>

EU Commission Directive 2006/122/EC of 12 December 2006 amending for the 30th time Council Directive 76/769/EEC on the approximation of the laws, regulations and administrative provisions of the Member States relating to restrictions on the marketing and use of certain dangerous substances and preparations (perfluorooctane sulfonates)
<http://eur-lex.europa.eu/JOHtml.do?uri=OJ:L:2006:372:SOM:en:HTML>

Florida Statutes Title XXIX, Chapter 403.7192 Batteries; requirements for consumer, manufacturers, and sellers.
Http://www.leg.state.fl.us/Statutes/index.cfm?App_mode=Display_Statute&Search_String=&URL=Ch0403/SEC7192.HTM&Title=->2007->Ch0403->Section%207192#0403.7192

Lenovo Packaging Specification 41A0613 - Recyclable Packaging Materials, Selection and Identification
http://www.lenovo.com/global_procurement/us/en/Guidelines/Restrictions_and_Packaging.html

Lenovo Information for Suppliers web site:
http://www.lenovo.com/global_procurement/us/en/information_suppliers.html

Japan Ordinance No. 95 of the Ministry of Economy, Trade, and Industry under the Law for the Promotion of the Effective Utilization of Resources (Law No. 48, 1993 as amended, 2001).
Japan Law Concerning the Protection of the Ozone Layer through the Control of Specified Substances and Other Measures (Law No. 53 of May 20, 1988)

Japan's Law concerning the examination and regulation of manufacture, etc. of chemical substances (1973 Law No. 117, Amended July 2002)

Louisiana Mercury Risk Reduction Act of 2006



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<http://www.legis.state.la.us/billdata/streamdocument.asp?did=399136>

Maine Public Law Chapter 296 Section 1. 38 MRSA 1609 An Act to Protect Pregnant Women and Children from Toxic Chemicals released into the home.

<http://janus.state.me.us/legis/ros/lom/LOM123rd/PUBLIC296.asp>

Maine Title 38, Chapter 24, Subchapter 4, 2165 Regulation of certain dry cell batteries

<Http://janus.state.me.us/legis/statutes/38/title38sec2165.html>

Maryland Title 6 of the Environment Code, Subtitle 11 Rechargeable Batteries, 6-1101 - 1114.

Http://www.dsd.state.md.us/comar/Annot_Code_Idx/EnvirIndex.htm

Minnesota Statutes 115A 9155 - Disposal of certain dry cell batteries. 115A 9157 - Rechargeable batteries and products.

<Http://ros.leg.mn/revisor/pages/forms/getstatute.php>

Netherlands, The, No. 553 Decree of 9 September 1998, comprising regulations regarding products containing mercury (Decree on Product Containing Mercury, 1998 Environmentally Hazardous Substances Act)

http://www2.vrom.nl/docs/internationaal/kwikbesluit_engels.pdf

Netherlands, The, 178 Besluit van 22 maart 2001, houdende vaststelling van het Warenwetbesluit formaldehyde in textiel.

New Jersey Dry Cell Battery Management Act; NJSA 12:1E-99.5 - 206

Http://lis.njleg.state.nj.us/cgi-bin/om_isapi.dll?clientID=27915686&depth=2&expandheadings=off&headingswithhits=on&infobase=statutes.nfo&softpage=TOC_Frame_Pg42

New York Battery Reduction and Elimination. New York State Consolidated Laws. Environmental Conservation

<Http://caselaw.lp.findlaw.com/nycodes/c37/a125.html>

Norway Product Control Regulation Chapter 2. Restricted Substances and Preparations

http://www.sft.no/seksjonsartikkel_30217.aspx

Organization for Economic Cooperation and Development. OECD ENV/JM/MONO(2006)15, 12 April 2006. Preliminary List of PFOS, PFAS, PFOA and Related Compounds and Chemicals that may degrade to PFCA.

[http://appli1.oecd.org/olis/2006doc.nsf/linkto/env-jm-mono\(2006\)15](http://appli1.oecd.org/olis/2006doc.nsf/linkto/env-jm-mono(2006)15)

People's Republic of China GB 18455-2001 Packaging Recycling Mark

Http://www.aeanet.org/governmentaffairs/gajl_Packaging_GB18455_2001ENG.asp



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People's Republic of China - Management Methods for Controlling Pollution by Electronic Information Products

Chinese: [Http://www.mii.gov.cn/art/2006/03/02/art_521_7344.html](http://www.mii.gov.cn/art/2006/03/02/art_521_7344.html)

English: [Http://www.aeanet.org/governmentaffairs/gabl_ChinaRoHS_FINAL_March2006.asp](http://www.aeanet.org/governmentaffairs/gabl_ChinaRoHS_FINAL_March2006.asp)

People's Republic of China - Ministry of Information Industry - Electronic Information Products Classification and Explanation

Chinese: [Http://www.mii.gov.cn/art/2006/03/16/art_1221_8441.html](http://www.mii.gov.cn/art/2006/03/16/art_1221_8441.html)

English: [Http://www.aeanet.org/governmentaffairs/gabl_HK_Art3_EIPTranslation.asp](http://www.aeanet.org/governmentaffairs/gabl_HK_Art3_EIPTranslation.asp)

People's Republic of China SJ/T 11363-2006 Requirements for Concentration Limits for Certain Hazardous Substances in Electronic Information Products

[Http://www.aeanet.org/governmentaffairs/gajl_MCV_SJT11363_2006ENG.asp](http://www.aeanet.org/governmentaffairs/gajl_MCV_SJT11363_2006ENG.asp)

People's Republic of China SJ/T 11364-2006 Marking for Control of Pollution Caused by Electronic Information Products

[Http://www.aeanet.org/governmentaffairs/gajl_LABELING_SJT11364_2006ENG.asp](http://www.aeanet.org/governmentaffairs/gajl_LABELING_SJT11364_2006ENG.asp)

People's Republic of China SJ/T 11365-2006 Testing Methods for Toxic and Hazardous Substances in Electronic Information Products (draft version)

[Http://www.aeanet.org/governmentaffairs/gajl_ChinaRoHS_TestingMethods_August2006.asp](http://www.aeanet.org/governmentaffairs/gajl_ChinaRoHS_TestingMethods_August2006.asp)

Rhode Island Mercury Education and Reduction Act

<http://www.rilin.state.ri.us/Statutes/TITLE23/23-24.9/INDEX.HTM>

Sweden Mercury-containing Products (Certain) Ordinance (SFS 1991:1290)

Switzerland Ordinance on Risk Reduction related to Chemical Products (ORRChem)

[Http://www.bafu.admin.ch/chemikalien/01410/01411/index.html?lang=en](http://www.bafu.admin.ch/chemikalien/01410/01411/index.html?lang=en)

United States Section 611 of the 1990 amendments of the Clean Air Act <http://www.epa.gov/air/caa/>

United States Mercury-Containing and Rechargeable Battery Management Act (Public Law 104-142)

<http://www.epa.gov/epaoswer/hazwaste/state/policy/pl104.pdf>

United States Toxic Substances Control Act; Occupational Safety and Health Act (29 CFR 1910.1001-1051)

http://www.osha.gov/pls/oshaweb/owadisp.show_document?p_table=STANDARDS&p_id=9696

Vermont Statutes Title 10. Chapter 159. Subchapter 1. 6621b. Regulation of dry cell batteries and 6621c Lead Acid Batteries; collection for recycling.

[Http://www.leg.state.vt.us/statutes/sections.cfm?Title=10&Chapter=159](http://www.leg.state.vt.us/statutes/sections.cfm?Title=10&Chapter=159)



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Washington, Revised Code, Title 70, Public Health and Safety. An Act relating to phasing out the use of polybrominated diphenyl ethers.

[Http://www.leg.wa.gov/pub/billinfo/2007-08/Pdf/Bills/Session%20Law%202007/1024-S.SL.pdf](http://www.leg.wa.gov/pub/billinfo/2007-08/Pdf/Bills/Session%20Law%202007/1024-S.SL.pdf)

Australia/New Zealand Minimum Energy Performance Requirements for External Power Supplies

<http://www.energyrating.gov.au/regulations/legislation/legislation-for-e3-under-gems/>

<http://www.energyrating.gov.au/regulations>

United States Federal US statute 42 U.S.C. 6291(36), US Department of Energy rule for Certification, Compliance and Enforcement Requirements for Certain Consumer Products and Commercial and Industrial Equipment, 75 Federal Register Regulation 652

United States CA Code of Regulations, Title 20 Section 1601-1608

United States Oregon Minimum Energy Efficiency Standards for State-regulated appliances and equipment Canada Energy Efficiency Act, Energy Efficiency Regulations

Korea - Korean Regulation on Energy Efficiency Labeling and Standards, July 31, 2008.

European Union Commission Regulation EC No 278/2009 of 6 April 2009 implementing Directive 2005/32/EC with regard to ecodesign requirements for no-load condition electric power consumption and average active efficiency of external power supplies



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ANNEXES: Detailed Chemical Lists with CAS Numbers

Unless specifically indicated as complete for the chemicals affected, these annex listings are not exhaustive.

Annex A. Asbestos

| | |
|---------------------|------------|
| Asbestos | 1332-21-4 |
| Actinolite | 77536-66-4 |
| Amosite (Grunerite) | 12172-73-5 |
| Anthophyllite | 77536-67-5 |
| Chrysotile | 12001-29-5 |
| Crocidolite | 12001-28-4 |
| Tremolite | 77536-68-6 |

Annex B. Azo colorants

Note: The EC azo dyes ban applies to:

1.) Certain azo colorants that by reductive cleavage of azo groups may release one of the following 22 aromatic amines, and 2.) The Azodye compound listed in the second table of this annex.

| 1. List of regulated aromatic amines | |
|---|----------------------------------|
| biphenyl-4-ylamine | 92-67-1 |
| benzidine | 92-87-5 |
| 4-chloro-o-toluidine | 95-69-2 |
| 2-naphthylamine | 91-59-8 |
| o-aminoazotoluene | 97-56-3 |
| 5-nitro-o-toluidine | 99-55-8 |
| 4-chloroaniline | 106-47-8 |
| 4-methoxy-m-phenylenediamine | 615-05-4 |
| 4,4'-methylenedianiline | 101-77-9 |
| 3,3'-dichlorobenzidine | 91-94-1 |
| 3,3'-dimethoxybenzidine | 119-90-4 |
| 3,3'-dimethylbenzidine | 119-93-7 |
| 4,4'-methylenedi-o-toluidine | 838-88-0 |
| 6-methoxy-m-toluidine | 120-71-8 |
| 4,4'-methylene-bis(2-chloroaniline) | 101-14-4 |
| 4,4'-oxydianiline | 101-80-4 |
| 4,4'-thiodianiline | 139-65-1 |
| o-toluidine | 95-53-4 |
| 4-methyl-m-phenylenediamine | 95-80-7 |
| 2,4,5-trimethylaniline | 137-17-7 |
| o-anisidine | 90-04-0 |
| 4-amino azobenzene | 60-09-3 |
| 2. List of regulated azodyes | |
| mixture of Disodium- (6- (4- anisidino) -3- sulfonato -2- (3,5- dinitro-2-oxidophenylazo) -1-naphtholato) (1- (5-chloro -2-oxidophenylazo) -2-naphtholato) chromate (1-); (molecular formula C ₃₉ H ₂₃ ClCrN ₇ O ₁₂ S ₂ Na); Trisodium bis (6- (4-anisidino) -3- sulfonato -2- (3,5- dinitro-2-oxidophenylazo) -1- naphtholato) chromate(1-) (molecular formula C ₄₆ H ₃₀ CrN ₁₀ O ₂₀ S ₂ 3Na) | 118685-33-9 Not available |

Annex C. Halogenated aromatic substances

| | |
|--|-------------|
| Polychlorinated biphenyls (PCB)(Note: PCBs are prohibited by | See Annex H |
|--|-------------|



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| | |
|---|------------|
| other regulations, see PCBs in Table 1 and Annex H) | |
| Halogenated diarylalkanes - | |
| Monomethyl tetrachloro diphenyl methane ade name: Ugilec 141 | 76253-60-6 |
| Monomethyl dichloro diphenyl methane Trade name: Ugilec 121, Ugilec 21 | 81161-70-8 |
| Monomethyl dibromo dipenyl methane Trade name: DBBT | 99688-47-8 |
| Halogenated benzenes - | |
| Chlorobenzene (Monochlorobenzene, MCB) | 108-90-7 |
| Dichlorobenzene, 1,2- (ortho-DCB) | 95-50-1 |
| Dichlorobenzene, 1,4- (para-DCB) | 106-46-7 |
| Pentachlorobenzene | 608-93-5 |
| Tetrachlorobenzene, 1, 2, 4, 5- | 95-94-3 |
| Tetrachlorobenzene, 1, 2, 3, 5- | 634-90-2 |
| Tetrachlorobenzene, 1, 2, 3, 4- | 634-66-2 |
| Trichlorobenzene, 1, 2,4 - | 120-82-1 |
| Trichlorobenzene, 1, 2, 3- | 87-61-6 |
| Hexachlorobenzene | 118-74-1 |

Annex D. Halogenated diphenyl methanes

| | |
|---|------------|
| Monomethyl tetrachloro diphenyl methane ade name: Ugilec 141 | 76253-60-6 |
| Monomethyl dichloro diphenyl methane Trade name: Ugilec 121, Ugilec 21 | 81161-70-8 |
| Monomethyl dibromo dipenyl methane Trade name: DBBT | 99688-47-8 |

Annex E. Nickel

| | |
|------------------------|------------------------|
| Nickel | 7440-02-0 |
| Nickelacetate | 373-02-4 |
| Nickelcarbonate | 3333-67-3 |
| Nickelcarbonyl | 13463-39-3 |
| Nickelhydroxide | 12054-48-7, 11113-74-9 |
| Nickelocene | 1271-28-9 |
| Nickeloxide | 1313-99-1 |
| Nickelsulfide | 12035-72-2 |
| Other nickel compounds | - |

Annex F. Ozone Depleting Substances

| | |
|--|---|
| Chlorofluorocarbons (CFCs): | |
| Trichlorofluoromethane (CFC-11) and its isomers | 75-69-4 DR ² 62185-70-0 DR ² 79620-41-0 DR ² 83589-40-6 DR ² 91315-61-6 |
| Dichlorodifluoromethane (CFC-12) and its isomers | 75-71-8 DR ² 185009-39-6 DR ² 62185-71-1 |
| Trichlorotrifluoroethane (CFC-113) and its isomers | 76-13-1 |



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| | |
|---|--|
| | DR ² 39349-94-5 DR ² 56996-61-3 DR ² 57762-34-2 |
| Dichlorotetrafluoroethane (CFC-114) and its isomers | 76-14-2 |
| Monochloropentafluoroethane (CFC-115) and its isomers | 76-15-3 DR ² 12770-91-1 |
| Chlorotrifluoromethane (CFC-13) and its isomers | 75-72-9 185009-43-2 |
| Pentachlorofluoroethane (CFC-111) and its isomers | 354-56-3 29756-45-4 |
| Tetrachlorodifluoroethane (CFC-112) and its isomers | 76-12-0 76-11-9 |
| Heptachlorofluoropropane (CFC-211) and its isomers | 422-78-6 135401-87-5 |
| Hexachlorodifluoropropane (CFC-212) and its isomers | 3182-26-1 |
| Pentachlorotrifluoropropane (CFC-213) and its isomers | 2354-06-5 134237-31-3 |
| Tetrachlorotetrafluoropropane (CFC-214) and its isomers | 29255-31-0 2268-46-4 |
| Trichloropentafluoropropane (CFC-215) and its isomers | 1599-41-3 4259-43-2 76-17-5 |
| Dichlorohexafluoropropane (CFC-216) and its isomers | 661-97-2 |
| Chloroheptafluoropropane (CFC-217) and its isomers | 422-86-6 76-18-6 |
| Halons: | |
| Bromochlorodifluoromethane (Halon-1211) and its isomers | 353-59-3 11104-73-7 |
| Bromotrifluoromethane (Halon-1301) and its isomers | 75-63-8 62395-25-9 |
| Dibromotetrafluoroethane (Halon-2402) and its isomers | 124-73-2 DR ² 76199-55-8 |
| Carbon tetrachloride | 56-23-5 |
| 1,1,1-trichloroethane (methyl chloroform) and its isomers except 1,1,2-trichloroethane | 71-55-6 DR ² 74552-83-3 |
| Bromomethane (methyl bromide) | 74-83-9 |
| Chlorobromomethane | 74-97-5 |
| Hydrobromofluorocarbons (HBFCs) and their isomers: | |
| Bromodifluoromethane and its isomers | 1511-62-2 |
| HBFC-22B1 (FM-100) 1511-62-2 | |
| CH ₂ Br ₂ | 1868-53-7 |
| CH ₂ FBr | NA |
| C ₂ H ₂ FBr ₄ | NA |
| C ₂ H ₂ F ₂ Br ₃ | NA |
| C ₂ H ₂ F ₃ Br ₂ | 354-04-1 DR ² 66542-88-9 |
| C ₂ H ₂ F ₄ Br | NA |
| C ₂ H ₂ F ₂ Br ₃ | NA |
| C ₂ H ₂ F ₂ Br ₂ | 75-82-1 |
| C ₂ H ₂ F ₃ Br | 421-06-7 |
| C ₂ H ₃ FBr ₂ | 358-97-4 |
| C ₂ H ₃ F ₂ Br | NA |
| C ₂ H ₄ FBr | 762-49-2 |
| C ₃ H ₂ FBr ₆ | NA |
| C ₃ H ₂ F ₂ Br ₅ | NA |
| C ₃ H ₂ F ₃ Br ₄ | NA |

| | |
|--|--|
| C3HF4Br3 | NA |
| C3HF5Br2 | NA |
| C3HF6Br | NA |
| C3H2FBr5 | NA |
| C3H2F2Br4 | NA |
| C3H2F3Br3 | NA |
| C3H2F4Br2 | NA |
| C3H2F5Br | NA |
| C3H3FBr4 | NA |
| C3H3F2Br3 | NA |
| C3H3F3Br2 | NA |
| C3H3F4Br | NA |
| C3H4FBr3 | NA |
| C3H4F2Br2 | NA |
| C3H4F3Br | NA |
| C3H5FBr2 | NA |
| C3H5F2Br | NA |
| C3H6FBr | NA |
| Hydrochlorofluorocarbons (HCFCs) and their isomers: | |
| Dichlorofluoromethane (HCFC-21) | 75-43-4 DR ² 39289-28-6 |
| Chlorodifluoromethane (HCFC-22) | 75-45-6 DR ² 73666-77-0 DR ² 134191-96-1 |
| Chlorofluoromethane (HCFC-31) | 593-70-4 |
| Tetrachlorofluoroethane (HCFC-121) | 130879-71-9 DR ² 134237-32-4 ³ |
| 1,1,1,2-tetrachloro-2-fluoroethane | 354-11-0 |
| 1,1,2,2-tetrachloro-1-fluoroethane | 354-14-3 |
| Trichlorodifluoroethane (HCFC-122) | 41834-16-6 |
| Trichloro-1,1-difluoroethane | 55949-46-7 |
| 1,2,2-trichloro-1,1-difluoroethane | 354-21-2 DR ² 134237-33-5 ³ DR ² 62549-18-2 |
| 1,2,2-trichloro-1,2-difluoroethane | 354-15-4 |
| 1,1,1-trichloro-2,2-difluoroethane | 354-12-1 |
| 1,1,2-trichloro-2,2-difluoroethane | NA |
| Dichlorotrifluoroethane (HCFC-123) | 34077-87-7 |
| Dichloro-1,1,2-trifluoroethane | 90454-18-5 |
| 2,2-dichloro-1,1,1-trifluoroethane | 306-83-2 |
| 1,2-dichloro-1,1,2-trifluoroethane (HCFC-123a) | 354-23-4 |
| 1,1-dichloro-1,2,2-trifluoroethane | 812-04-4 |
| 2,2-dichloro-1,1,2-trifluoroethane | NA |
| Chlorotetrafluoroethane (HCFC-124) | 63938-10-3 |
| 2-chloro-1,1,1,2-tetrafluoroethane | 2837-89-0 |
| 1-chloro-1,1,2,2-tetrafluoroethane (HCFC-124a) | 354-25-6 |
| Trichlorofluoroethane (HCFC-131) | 27154-33-2 134237-34-6 ³ |
| 1,1,2-trichloro-2-fluoroethane | 359-28-4 |
| 1,1,2-trichloro-1 (or 2)-fluoroethane | 90134-98-8 |
| 1,1,2-trichloro-1-fluoroethane (HCFC-131a) | 811-95-0 |
| 1,1,1-trichloro-2-fluoroethane (HCFC-131b) | 2366-36-1 |
| Dichlorodifluoroethane (HCFC-132) | 25915-78-0 |
| Dichloro-1,1-difluoroethane | 55494-45-6 |
| 1,1-dichlorodifluoroethane | 31153-51-2 |
| (meso) 1,2-dichloro-1,2-difluoroethane | 33579-37-2 |
| (R,R)-(+)-1,2-dichloro-1,2-difluoroethane | 33489-30-4 |

| | |
|---|----------------------------|
| 1,2-dichloro-1,1-difluoroethane (HCFC-132b) | 1649-08-7 |
| 1,1-dichloro-1,2-difluoroethane | 1842-05-3 |
| 1,1-dichloro-2,2-difluoroethane | 471-43-2 |
| 1,2-dichloro-1,2-difluoroethane | 431-06-1 |
| Chlorotrifluoroethane (HCFC-133) | 1330-45-6 |
| 1-chloro-1,2,2-trifluoroethane | DR ² 38097-47-1 |
| 1-chloro-1,1,2-trifluoroethane | 431-07-2 |
| 2chloro-1,1,1-trifluoroethane (HCFC-133a) | 421-04-5 |
| | 75-88-7 |
| Dichlorofluoroethane (HCFC-141) | 25167-88-8 |
| 1,1-dichloro-1-fluoroethane (HCFC-141b) | 1717-00-6 |
| 1,2-dichloro-1-fluoroethane | 430-57-9 |
| 1,1-dichloro-2-fluoroethane | 430-53-5 |
| Chlorodifluoroethane (HCFC-142) | 25497-29-4 |
| | DR ² 58561-84-5 |
| | DR ² 27175-71-9 |
| Chloro-1,1-difluoroethane | 55949-44-5 |
| 2-chloro-1,1-difluoroethane | 338-65-8 |
| 1-chloro-1,1-difluoroethane (HCFC-142b) | 75-68-3 |
| | DR ² 65762-25-6 |
| 1-chloro-1,2-difluoroethane (HCFC-142a) | 338-64-7 |
| Hexachlorofluoropropane (HCFC-221) | 29470-94-8 |
| | 134237-35-7 ³ |
| 1,1,1,2,3,3-hexachloro-3-fluoropropane | 431-79-8 |
| 1,1,1,2,3,3-hexachloro-2-fluoropropane | 422-40-2 |
| 1,1,1,2,2,3-hexachloro-1-fluoropropane | 422-26-4 |
| 1,1,2,2,3,3-hexachloro-1-fluoropropane | 422-28-6 |
| 1,1,1,3,3,3-hexachloro-2-fluoropropane | NA |
| Pentachlorodifluoropropane (HCFC-222) | 116867-32-4 |
| | 134237-36-8 ³ |
| 1,1,2,3,3-pentachloro-1,3-difluoropropane | 421-82-3 |
| 1,1,1,2,3-pentachloro-3,3-difluoropropane | 431-80-1 |
| 1,1,1,3,3-pentachloro-2,2-difluoropropane | 422-49-1 |
| 1,2,2,3,3-pentachloro-1,1-difluoropropane | 422-30-0 |
| 1,1,1,2,2-pentachloro-3,3-difluoropropane | 422-27-5 |
| 1,1,1,2,3-pentachloro-2,3-difluoropropane | NA |
| 1,1,1,3,3-pentachloro-2,3-difluoropropane | NA |
| (1,1,3,3,3-pentachloro-1,2-difluoropropane) | |
| 1,1,2,2,3-pentachloro-1,3-difluoropropane | NA |
| 1,1,2,3,3-pentachloro-1,2-difluoropropane | NA |
| Tetrachlorotrifluoropropane (HCFC-223) | 29470-95-9 |
| | 134237-37-9 ³ |
| 1,1,1,3-tetrachloro-2,3,3-trifluoropropane | 54002-59-4 |
| 1,1,2,3-tetrachloro-1,3,3-trifluoropropane | 431-83-4 |
| 1,1,1,2-tetrachloro-3,3,3-trifluoropropane | 431-81-2 |
| 1,1,3,3-tetrachloro-1,2,2-trifluoropropane | 422-52-6 |
| 1,1,1,3-tetrachloro-2,2,3-trifluoropropane | 422-50-4 |
| 1,2,3,3-tetrachloro-1,1,2-trifluoropropane | 422-41-3 |
| 2,2,3,3-tetrachloro-1,1,1-trifluoropropane | 422-35-5 |
| 1,1,2,2-tetrachloro-1,3,3-trifluoropropane | 422-29-7 |
| 1,1,1,2-tetrachloro-2,3,3-trifluoropropane | NA |
| 1,1,3,3-tetrachloro-1,2,3-trifluoropropane | NA |
| 1,2,2,3-tetrachloro-1,1,3-trifluoropropane | NA |
| 1,1,2,3-tetrachloro-1,2,3-trifluoropropane | NA |
| Trichlorotetrafluoropropane (HCFC-224) | 127564-91-4 |
| | 134237-38-0 ³ |
| 1,1,3-trichloro-1,2,3,3-tetrafluoropropane | 53063-53-9 |
| 1,1,1-trichloro-2,3,3,3-tetrafluoropropane | 53063-52-8 |

| | |
|--|--------------------------|
| 1,1,2-trichloro-1,3,3,3-tetrafluoropropane | 431-84-5 |
| 1,3,3-trichloro-1,1,2,2-tetrafluoropropane | 422-54-8 |
| 1,1,3-trichloro-1,2,2,3-tetrafluoropropane | 422-53-7 |
| 1,1,1-trichloro-2,2,3,3-tetrafluoropropane | 422-51-5 |
| 2,3,3-trichloro-1,1,1,2-tetrafluoropropane | 422-47-9 |
| 1,2,3-trichloro-1,1,2,3-tetrafluoropropane | 422-42-4 |
| 1,2,2-trichloro-1,1,3,3-tetrafluoropropane | 422-32-2 |
| 2,2,3-trichloro-1,1,1,3-tetrafluoropropane | NA |
| 1,1,2-trichloro-1,2,3,3-tetrafluoropropane | NA |
| Dichloropentafluoropropane (HCFC-225) | 127564-92-5 |
| 1,3-dichloro-1,1,2,3,3-pentafluoropropane | 136013-79-1 |
| 3,3-dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca) | 422-56-0 |
| 1,3-dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb) | 507-55-1 |
| 2,2-dichloro-1,1,1,3,3-pentafluoropropane (HCFC-225aa) | 128903-21-9 |
| 1,1-dichloro-1,2,3,3,3-pentafluoropropane | 111512-56-2 |
| (R,S)2,3-dichloro-1,1,1,2,3-pentafluoropropane | 111512-55-1 |
| (R,R)2,3-dichloro-1,1,1,2,3-pentafluoropropane | 111512-51-7 |
| 1,1-dichloro-1,2,2,3,3-pentafluoropropane | 13474-88-9 |
| 1,2-dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da) | 431-86-7 |
| 2,3-dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba) | 422-48-0 |
| 1,2-dichloro-1,1,2,3,3-pentafluoropropane | 422-44-6 |
| Chlorohexafluoropropane (HCFC-226) | 28987-04-4 |
| 2-chloro-1,1,1,2,3,3-hexafluoropropane (HCFC-226ba) | 134308-72-8 ³ |
| 2-chloro-1,1,1,3,3,3-hexafluoropropane (HCFC-226da) | 51346-64-6 |
| 3-chloro-1,1,1,2,2,3-hexafluoropropane (HCFC-226ca) | 431-87-8 |
| 1-chloro-1,1,2,2,3,3-hexafluoropropane (HCFC-226cb) | 422-57-1 |
| 1-chloro-1,1,2,3,3,3-hexafluoropropane (HCFC-226ea) | 422-55-9 |
| | 359-58-0 |
| Pentachlorofluoropropane (HCFC-231) | NA |
| | 134190-48-0 ³ |
| 1,1,1,2,3-pentachloro-2-fluoropropane | 421-94-3 |
| 1,1,2,3,3-pentachloro-2-fluoropropane | NA |
| 1,1,1,3,3-pentachloro-3-fluoropropane | NA |
| 1,1,2,2,3-pentachloro-1-fluoropropane | NA |
| 1,1,1,2,2-pentachloro-3-fluoropropane | NA |
| 1,1,1,2,3-pentachloro-3-fluoropropane | NA |
| 1,1,1,3,3-pentachloro-2-fluoropropane | NA |
| 1,1,2,2,3-pentachloro-3-fluoropropane | NA |
| 1,1,2,3,3-pentachloro-1-fluoropropane | NA |
| Tetrachlorodifluoropropane (HCFC-232) | 127564-82-3 |
| 1,2,3,3-tetrachloro-1,1-difluoropropane | 67879-59-8 |
| 1,1,3,3-tetrachloro-2,2-difluoropropane | 1112-14-7 |
| 1,1,1,3-tetrachloro-2,2-difluoropropane | 677-54-3 |
| 1,1,1,3-tetrachloro-3,3-difluoropropane | 460-89-9 |
| 1,1,1,3-tetrachloro-2,3-difluoropropane | NA |
| 1,1,1,2-tetrachloro-2,3-difluoropropane | NA |
| 1,1,1,2-tetrachloro-3,3-difluoropropane | NA |
| 1,1,2,3-tetrachloro-1,2-difluoropropane | NA |
| 1,1,2,3-tetrachloro-1,3-difluoropropane | NA |
| 1,2,3,3-tetrachloro-1,2-difluoropropane | NA |
| (1,1,2,3-tetrachloro-2,3-difluoropropane) | |
| 1,2,2,3-tetrachloro-1,1-difluoropropane | NA |
| 1,2,2,3-tetrachloro-1,3-difluoropropane | NA |
| 1,1,3,3-tetrachloro-1,3-difluoropropane | NA |
| 1,1,2,2-tetrachloro-3,3-difluoropropane | NA |
| (2,2,3,3-tetrachloro-1,1-difluoropropane) | |
| 1,1,2,2-tetrachloro-1,3-difluoropropane | NA |
| Trichlorotrifluoropropane (HCFC-233) | 61623-04-9 |

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|--|--------------------------|
| 1,1,3-trichloro-2,2,3-trifluoropropane | 134237-40-4 ³ |
| 1,1,1-trichloro-2,2,3-trifluoropropane | 131221-36-8 |
| 1,1,3-trichloro-1,2,3-trifluoropropane | 131211-71-7 |
| 1,1,1-trichloro-2,3,3-trifluoropropane | 54377-32-1 |
| 1,1,2-trichloro-2,3,3-trifluoropropane | 54306-56-8 |
| 1,1,1-trichloro-3,3,3-trifluoropropane | 13058-99-6 |
| 2,2,3-trichloro-1,1,1-trifluoropropane | 7125-84-0 |
| 2,3,3-trichloro-1,1,1-trifluoropropane | 7125-83-9 |
| 1,1,3-trichloro-1,2,2-trifluoropropane | 431-51-6 |
| 1,2,3-trichloro-1,1,2-trifluoropropane | 421-99-8 |
| 1,1,3-trichloro-1,3,3-trifluoropropane | 421-95-4 |
| 1,1,2-trichloro-1,2,3-trifluoropropane | 333-26-6 |
| 1,2,3-trichloro-1,2,3-trifluoropropane | NA |
| 1,1,2-trichloro-1,3,3-trifluoropropane | NA |
| 1,3,3-trichloro-1,1,2-trifluoropropane | NA |
| 2,2,3-trichloro-1,1,3-trifluoropropane | NA |
| 1,2,3-trichloro-1,1,3-trifluoropropane | NA |
| 1,2,2-trichloro-1,1,3-trifluoropropane | NA |
| Dichlorotetrafluoropropane (HCFC-234) | 127564-83-4 |
| 1,3-dichloro-1,1,3,3-tetrafluoropropane (HCFC-234fa) | 76140-39-1 |
| 1,3-dichloro-1,2,2,3-tetrafluoropropane | 70341-81-0 |
| 1,1-dichloro-1,2,2,3-tetrafluoropropane | 70192-63-1 |
| 1,1-dichloro-1,3,3,3-tetrafluoropropane | 64712-27-2 |
| (R,R) 1,3-dichloro-1,1,2,3-tetrafluoropropane | 53149-65-8 |
| 3,3-dichloro-1,1,1,2-tetrafluoropropane | 53063-54-0 |
| 2,2-dichloro-1,1,3,3-tetrafluoropropane | 17705-30-5 |
| 1,1-dichloro-2,2,3,3-tetrafluoropropane | 4071-01-6 |
| 1,2-dichloro-1,2,3,3-tetrafluoropropane | 425-94-5 |
| 1,3-dichloro-1,1,2,2-tetrafluoropropane (HCFC-234cc) | 422-00-5 |
| 2,3-dichloro-1,1,1,3-tetrafluoropropane (HCFC-234da) | NA |
| 1,1-dichloro-1,2,3,3-tetrafluoropropane | NA |
| 1,2-dichloro-1,1,3,3-tetrafluoropropane | NA |
| 2,3-dichloro-1,1,1,2-tetrafluoropropane | NA |
| 2,2-dichloro-1,1,1,3-tetrafluoropropane | NA |
| 1,2-dichloro-1,1,2,3-tetrafluoropropane | NA |
| 1,3-dichloro-1,1,2,3-tetrafluoropropane | NA |
| Chloropentafluoropropane (HCFC-235) | 108662-83-5 |
| 3-chloro-1,1,1,2,3-pentafluoropropane | 134237-83-5 ³ |
| 2-chloro-1,1,1,3,3-pentafluoropropane (HCFC-235da) | 134237-41-5 |
| 1-chloro-1,2,2,3,3-pentafluoropropane (HCFC-235ca) | 134251-06-2 |
| 1-chloro-1,1,2,2,3-pentafluoropropane (HCFC-235cc) | 28103-66-4 |
| 1-chloro-1,1,3,3,3-pentafluoropropane (HCFC-235fa) | 679-99-2 |
| 3-chloro-1,1,1,2,2-pentafluoropropane (HCFC-235cb) | 677-55-4 |
| 2-chloro-1,1,1,2,3-pentafluoropropane | 460-92-4 |
| 1-chloro-1,1,2,3,3-pentafluoropropane | 422-02-6 |
| 2-chloro-1,1,2,3,3-pentafluoropropane | NA |
| Tetrachlorofluoropropane (HCFC-241) | NA |
| 1,1,1,2-tetrachloro-3-fluoropropane | 134190-49-1 ³ |
| 1,1,1,3-tetrachloro-3-fluoropropane | 84816-05-7 |
| 1,1,2,3-tetrachloro-3-fluoropropane | 23153-22-2 |
| 1,1,2,2-tetrachloro-1-fluoropropane | 21981-25-9 |
| 1,1,2,3-tetrachloro-2-fluoropropane | 7126-06-9 |
| 1,1,1,2-tetrachloro-2-fluoropropane | 3175-26-6 |
| 1,1,2,3-tetrachloro-1-fluoropropane | 3175-25-5 |
| 1,1,1,3-tetrachloro-2-fluoropropane | 666-27-3 |
| | NA |

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| 1,1,2,2-tetrachloro-3-fluoropropane | NA |
| 1,2,2,3-tetrachloro-1-fluoropropane | NA |
| 1,1,3,3-tetrachloro-1-fluoropropane | NA |
| 1,1,3,3-tetrachloro-2-fluoropropane | NA |
| Trichlorodifluoropropane (HCFC-242) | 127564-90-3 134237-42-6 ³ |
| 1,3,3-trichloro-1,1-difluoropropane | 460-63-9 |
| 1,2,3-trichloro-1,2-difluoropropane | 7164-14-9 |
| 1,1,3-trichloro-2,2-difluoropropane | 1112-13-6 |
| 1,2,3-trichloro-1,1-difluoropropane | 431-24-3 |
| 1,1,1-trichloro-2,2-difluoropropane | 1112-05-6 |
| 1,2,2-trichloro-1,1-difluoropropane | 7126-05-8 |
| 1,1,2-trichloro-1,2-difluoropropane | 7126-04-7 |
| 1,1,1-trichloro-2,3-difluoropropane | NA |
| 1,1,2-trichloro-1,3-difluoropropane | NA |
| 1,1,3-trichloro-1,2-difluoropropane | NA |
| 1,1,2-trichloro-2,3-difluoropropane | NA |
| 1,2,2-trichloro-1,3-difluoropropane | NA |
| 2,2,3-trichloro-1,1-difluoropropane | NA |
| 1,1,1-trichloro-3,3-difluoropropane | NA |
| 1,1,3-trichloro-1,3-difluoropropane | NA |
| 1,1,2-trichloro-3,3-difluoropropane | NA |
| 1,1,3-trichloro-2,3-difluoropropane | NA |
| 1,2,3-trichloro-1,3-difluoropropane | NA |
| Dichlorotrifluoropropane (HCFC-243) | 116890-51-8 134237-43-7 ³ |
| 2,2-dichloro-1,1,1-trifluoropropane | 7126-01-4 |
| 1,1-dichloro-1,2,2-trifluoropropane | 7125-99-7 |
| 1,2-dichloro-1,1,2-trifluoropropane | 7126-00-3 |
| 2,3-dichloro-1,1,1-trifluoropropane (HCFC-243da) | 338-75-0 |
| 1,3-dichloro-1,2,2-trifluoropropane | 67406-68-2 |
| 1,1-dichloro-2,2,3-trifluoropropane | 70192-70-0 |
| 3,3-dichloro-1,1,1-trifluoropropane | 460-69-5 |
| 1,3-dichloro-1,1,2-trifluoropropane | NA |
| 1,2-dichloro-1,1,3-trifluoropropane | NA |
| 1,1-dichloro-1,2,3-trifluoropropane | NA |
| 2,3-dichloro-1,1,2-trifluoropropane | NA |
| 2,2-dichloro-1,1,3-trifluoropropane | NA |
| 1,2-dichloro-1,2,3-trifluoropropane | NA |
| 1,3-dichloro-1,1,3-trifluoropropane | NA |
| 1,1-dichloro-1,3,3-trifluoropropane | NA |
| 3,3-dichloro-1,1,2-trifluoropropane | NA |
| 2,3-dichloro-1,1,3-trifluoropropane | NA |
| 1,3-dichloro-1,2,3-trifluoropropane | NA |
| Chlorotetrafluoropropane (HCFC-244) | NA |
| 2-chloro-1,1,1,3-tetrafluoropropane (HCFC-244db) | 134190-50-4 ³ |
| 3-chloro-1,1,2,2-tetrafluoropropane | 117970-90-8 |
| 1-chloro-1,2,2,3-tetrafluoropropane | 679-85-6 |
| 1-chloro-1,1,3,3-tetrafluoropropane (HCFC-244fb) | 67406-66-0 |
| 2-chloro-1,1,3,3-tetrafluoropropane (HCFC-244da) | 2730-64-5 |
| 2-chloro-1,1,1,2-tetrafluoropropane (HCFC-244ba) | 19041-02-2 |
| 1-chloro-1,1,2,2-tetrafluoropropane | 421-73-8 |
| 1-chloro-1,1,2,3-tetrafluoropropane | 421-75-0 |
| 3-chloro-1,1,1,2-tetrafluoropropane | NA |
| 2-chloro-1,1,2,3-tetrafluoropropane | NA |
| 3-chloro-1,1,1,3-tetrafluoropropane | NA |
| 3-chloro-1,1,2,3-tetrafluoropropane | NA |

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|---|--------------------------|
| Trichlorofluoropropane (HCFC-251) | NA |
| (R,S)-(.+.) 1,2,3-trichloro-1-fluoropropane | 134190-51-5 ³ |
| (R,R)-(.+.) | 84847-80-3 |
| [R(R,S)] | 84847-79-0 |
| [R(R,R)] | 76985-34-7 |
| (R,S) | 76985-33-6 |
| (R,R) | 67832-50-2 |
| 1,2,3-trichloro-2-fluoropropane | 67832-44-4 |
| 1,2,2-trichloro-3-fluoropropane | 7126-16-1 |
| 1,1,3-trichloro-1-fluoropropane | 70192-89-1 |
| 1,1,3-trichloro-2-fluoropropane | 818-99-5 |
| 1,1,2-trichloro-1-fluoropropane | 76937-36-5 |
| 1,1,2-trichloro-2-fluoropropane | 421-41-0 |
| 1,1,1-trichloro-2-fluoropropane | 3175-24-4 |
| 1,1,1-trichloro-3-fluoropropane | NA |
| 1,1,2-trichloro-3-fluoropropane | NA |
| 1,1,3-trichloro-3-fluoropropane | NA |
| 1,2,2-trichloro-1-fluoropropane | NA |
| 1,2,3-trichloro-1-fluoropropane | NA |
| Dichlorodifluoropropane (HCFC-252) | NA |
| 1,1-dichloro-2,2-difluoropropane | 134190-52-6 ³ |
| 1,1-dichloro-3,3-difluoropropane | 1112-01-2 |
| 1,1-dichloro-1,3-difluoropropane | 131404-17-6 |
| 1,2-dichloro-1,1-difluoropropane | 121612-64-4 |
| 1,2-dichloro-2,3-difluoropropane | 7126-15-0 |
| 2,3-dichloro-1,1-difluoropropane | 70192-74-4 |
| 1,3-dichloro-1,1-difluoropropane | 82578-00-5 |
| 1,3-dichloro-1,2-difluoropropane | 819-00-1 |
| 1,3-dichloro-2,2-difluoropropane | 111483-26-2 |
| 1,1-dichloro-1,2-difluoropropane | 1112-36-3 |
| 1,1-dichloro-2,3-difluoropropane | NA |
| 1,2-dichloro-1,2-difluoropropane | NA |
| 1,2-dichloro-1,3-difluoropropane | NA |
| 1,3-dichloro-1,3-difluoropropane | NA |
| 2,2-dichloro-1,1-difluoropropane | NA |
| 2,2-dichloro-1,3-difluoropropane | NA |
| Chlorotrifluoropropane (HCFC-253) | 26588-23-8 |
| 2-chloro-1,1,1-trifluoropropane | 134237-44-8 ³ |
| 3-chloro-1,1,1-trifluoropropane | 421-47-6 |
| 1-chloro-1,1,2-trifluoropropane | 460-35-5 |
| 2-chloro-1,1,2-trifluoropropane | 134251-05-1 |
| 3-chloro-1,1,2-trifluoropropane | 69202-10-4 |
| 1-chloro-1,1,3-trifluoropropane | 121612-65-5 |
| 1-chloro-1,2,2-trifluoropropane | 83124-56-5 |
| 1-chloro-2,2,3-trifluoropropane | 70192-76-6 |
| 2-chloro-1,1,3-trifluoropropane | 56758-54-4 |
| 3-chloro-1,1,3-trifluoropropane | NA |
| (1-chloro-1,3,3-trifluoropropane) | NA |
| 1-chloro-1,2,3-trifluoropropane | NA |
| 2-chloro-1,2,3-trifluoropropane | NA |
| Dichlorofluoropropane (HCFC-261) | 127404-11-9 |
| 1,1-dichloro-1-fluoropropane | 134237-45-9 ³ |
| 1,1-dichloro-2-fluoropropane | 7779-56-6 |
| 1,1-dichloro-3-fluoropropane | 53074-31-0 |
| | 53074-30-9 |



Engineering Specification

| | |
|---|---------------------------|
| 1,2-dichloro-1-fluoropropane | 7799-55-5 |
| 1,2-dichloro-2-fluoropropane | 420-97-3 |
| 1,2-dichloro-3-fluoropropane | 453-01-0 |
| 1,3-dichloro-1-fluoropropane | 83124-60-1 |
| 1,3-dichloro-2-fluoropropane | 816-38-6 |
| 2,2-dichloro-1-fluoropropane | NA |
| Chlorodifluoropropane (HCFC-262) | NA |
| 1-chloro-1,1-difluoropropane | 134190-53-7 ³ |
| 2-chloro-1,1-difluoropropane | 421-02-3 |
| | 430-93-3 |
| | DR ² 5268567-3 |
| 3-chloro-1,1-difluoropropane | 83124-57-6 |
| 1-chloro-1,2-difluoropropane | 430-96-6 |
| 1-chloro-2,3-difluoropropane | 37161-81-2 |
| 2-chloro-1,3-difluoropropane | 102738-79-4 |
| 1-chloro-2,2-difluoropropane | 420-99-5 |
| 2-chloro-1,2-difluoropropane | NA |
| 1-chloro-1,3-difluoropropane | NA |
| Chlorofluoropropane (HCFC-271) | NA |
| | 134190-54-8 ³ |
| 1-chloro-1-fluoropropane | 430-55-7 |
| 1-chloro-2-fluoropropane | 430-46-6 |
| 1-chloro-3-fluoropropane | 462-38-4 |
| 2-chloro-1-fluoropropane | 20372-78-5 |
| 2-chloro-2-fluoropropane | 420-44-0 |
| Notes: | |
| ¹ Manufacturing processes do not include facilities equipment or systems such as chillers and fire suppression systems. | |
| ² DR denotes a deleted registry number that was replaced with another registry number. | |
| ³ Chemical to which Chemical Abstract Service (CAS) assigned registry number based on premise that it was a trade name, although chemical may be the same as another one already listed. | |

Annex G. Perfluorocarbons (PFC)

| | |
|----------------------|---------|
| Carbon tetrafluoride | 75-73-0 |
| Perfluoroethane | 76-16-4 |

Annex H. Polychlorinated biphenyls (PCBs)

| | |
|-------------------------------|------------|
| Polychlorinated Biphenyls | 1336-36-3 |
| Aroclor | 12767-79-2 |
| Chlorodiphenyl (Aroclor 1260) | 11096-82-5 |
| Kanechlor 500 | 27323-18-8 |
| Aroclor 1254 | 11097-69-1 |
| Terphenyls | 26140-60-3 |

Annex I. Polychlorinated naphthalenes (more than 3 chlorine atoms)

| | |
|------------------------------|------------|
| Polychlorinated Naphthalenes | 70776-03-3 |
|------------------------------|------------|

Annex J. Shortchain Chlorinated Paraffins

Only short-chain chlorinated paraffins with carbon length of 10-13 atoms are covered.

| | |
|---|------------|
| Chlorinated paraffins (C10-13) | 85535-84-8 |
| Other Short Chain Chlorinated Paraffins | - |



Engineering Specification

Annex K. Tributyl tin oxide (TBTO)

| | |
|---------------------------|---------|
| Bis(tri-n-butyltin) oxide | 56-35-9 |
|---------------------------|---------|

Annex L. Cadmium/Cadmium Compounds

| | |
|-------------------------|------------|
| Cadmium | 7440-43-9 |
| Cadmium oxide | 1306-19-0 |
| Cadmium sulfide | 1306-23-6 |
| Cadmium chloride | 10108-64-2 |
| Cadmium sulfate | 10124-36-4 |
| Other cadmium compounds | - |

Annex M. Chromium VI Compounds

| | |
|----------------------|------------|
| Chromium (VI) oxide | 1333-82-0 |
| Barium chromate | 10294-40-3 |
| Calcium chromate | 13765-19-0 |
| Chromic acetate | 1066-30-4 |
| Chromium trioxide | 1333-82-0 |
| Lead (II) chromate | 7758-97-6 |
| Sodium chromate | 7775-11-3 |
| Sodium dichromate | 10588-01-9 |
| Strontium chromate | 7789-06-2 |
| Potassium dichromate | 7778-50-9 |
| Potassium chromate | 7789-00-6 |
| Zinc chromate | 13530-65-9 |

Annex N. Lead/Lead Compounds

| | |
|---|------------|
| Lead | 7439-92-1 |
| Lead (II) sulfate | 7446-14-2 |
| Lead (II) carbonate | 598-63-0 |
| Lead hydrocarbonate | 1319-46-6 |
| Lead acetate | 301-04-2 |
| Lead (II) acetate, trihydrate | 6080-56-4 |
| Lead phosphate | 7446-27-7 |
| Lead selenide | 12069-00-0 |
| Lead (IV) oxide | 1309-60-0 |
| Lead (II,IV) oxide | 1314-41-6 |
| Lead (II) sulfide | 1314-87-0 |
| Lead (II) oxide | 1317-36-8 |
| Lead (II) carbonate basic | 1319-46-6 |
| Lead hydroxidcarbonate | 1344-36-1 |
| Lead (II) phosphate | 7446-27-2 |
| Lead (II) chromate | 7758-97-6 |
| Lead (II) titanate | 12060-00-3 |
| Lead sulfate, sulphuric acid, lead salt | 15739-80-7 |
| Lead sulphate, tribasic | 12202-17-4 |
| Lead stearate | 1072-35-1 |
| Other lead compounds | - |

Annex O. Mercury /Mercury Compounds



Engineering Specification

| | |
|-------------------------|------------|
| Mercury | 7439-97-6 |
| Mercuric chloride | 33631-63-9 |
| Mercury (II) chloride | 7487-94-7 |
| Mercuric sulfate | 7783-35-9 |
| Mercuric nitrate | 10045-94-0 |
| Mercuric (II) oxide | 21908-53-2 |
| Mercuric sulfide | 1344-48-5 |
| Other mercury compounds | - |

Annex P. Polybrominated biphenyls (PBBs) including all congeners and isomers

| | |
|-------------------------|------------------------------------|
| 2-Bromobiphenyl | 2052-07-05 |
| 3-Bromobiphenyl | 211-57-7 |
| 4-Bromobiphenyl | 92-66-0 |
| Decabromobiphenyl | 13654-09-06 |
| Dibromobiphenyl | 92-86-4 |
| Heptabromobiphenyl | 35194-78-6 |
| Hexabromobiphenyl | 59080-40-9, 36355-01-8, 67774-32-7 |
| Nonabromobiphenyl | 27753-52-2 |
| Octabromobiphenyl | 61288-13-9 |
| Pentabromobiphenyl | 56307-79-0 |
| Polybrominated Biphenyl | 59536-65-1 |
| Tetrabromobiphenyl | 40088-45-7 |
| Tribromobiphenyl | 59080-34-1 |
| Firemaster FF-1 | 67774-32-7 |

Annex Q. Polybrominated diphenyl ethers (PBDEs) including all congeners and isomers

| | |
|--------------------------|------------|
| Bromobiphenyl Ether | 101-55-3 |
| Decabromobiphenyl Ether | 1163-19-5 |
| Dibromobiphenyl Ether | 2050-47-7 |
| Heptabromobiphenyl Ether | 68928-80-3 |
| Hexabromobiphenyl Ether | 36483-60-0 |
| Nonabromobiphenyl Ether | 63936-56-1 |
| Octabromobiphenyl Ether | 32536-52-0 |
| Pentabromobiphenyl Ether | 32534-81-9 |
| Tetrabromobiphenyl Ether | 40088-47-9 |
| Tribromobiphenyl Ether | 49690-94-0 |

Annex R. Antimony/Antimony Compounds

| | |
|--------------------------|------------|
| Antimony (metallic) | 7440-36-0 |
| Antimony trioxide | 1309-64-4 |
| Antimony pentoxide | 1314-60-9 |
| Antimony trichloride | 10025-91-9 |
| Sodium antimonate | 15432-85-6 |
| Other antimony compounds | - |

Annex S. Arsenic/Arsenic Compounds

| | |
|------------------|-----------|
| Arsenic | 7440-38-2 |
| Gallium arsenide | 1303-00-0 |
| Calcium arsenate | 7778-44-1 |



Engineering Specification

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|-------------------------|------------|
| Calcium arsenite | 27152-57-4 |
| Arsenic pentoxide | 1303-28-2 |
| Arsenic trioxide | 1327-53-3 |
| Potassium arsenite | 10124-50-2 |
| Potassium arsenate | 7784-41-0 |
| Lead arsenate | 3687-31-8 |
| Other arsenic compounds | - |

Annex T. Beryllium/Beryllium Compounds

| | |
|--------------------------------|------------|
| Beryllium | 7440-41-7 |
| Beryllium-aluminum alloy | 12770-50-2 |
| Beryllium chloride | 7787-47-5 |
| Beryllium fluoride | 7787-49-7 |
| Beryllium hydroxide | 13327-32-7 |
| Beryllium oxide | 1304-56-9 |
| Beryllium phosphate | 13598-15-7 |
| Beryllium sulfate | 13510-49-1 |
| Beryllium sulfate tetrahydrate | 7787-56-6 |
| Beryl ore | 1302-52-9 |
| Other beryllium compounds | - |

Annex U. Bismuth/Bismuth Compounds and Alloys

| | |
|-------------------------|------------|
| Bismuth | 7440-69-9 |
| Bismuth trioxide | 1304-76-3 |
| Bismuth nitrate | 10361-44-1 |
| Other bismuth compounds | - |

Annex V. Brominated Flame Retardants (other than PBB or PBDE)

| | |
|---|-------------|
| Poly(2,6-dibromo-phenylene oxide) | 69882-11-7 |
| Tetra-decabromo-diphenoxy-benzene | 58965-66-5 |
| 1,2-Bis(2,4,6-tribromo-phenoxy) ethane | 37853-59-1 |
| 3,5,3',5'-Tetrabromo-bisphenol A (TBBA) | 79-94-7 |
| TBBA carbonate oligomer, 2,4,6-tribromo-phenol terminated | 71342-77-3 |
| TBBA carbonate oligomer, phenoxy end capped | 94334-64-2 |
| TBBA carbonate oligomer | 28906-13-0 |
| TBBA-TBBA-diglycidyl-ether oligomer | 70682-74-5 |
| TBBA-epichlorhydrin oligomer | 40039-93-8 |
| TBBA, unspecified | 30496-13-0 |
| Brominated epoxy resin end-capped with tribromophenol | 139638-58-7 |
| Brominated epoxy resin end-capped with tribromophenol | 135229-48-0 |
| TBBA-(2,3-dibromo-propyl-ether) | 21850-44-2 |
| TBBA bis-(2-hydroxy-ethyl-ether) | 4162-45-2 |
| TBBA-bis-(allyl-ether) | 25327-89-3 |
| TBBA-dimethyl-ether | 37853-61-5 |
| Tetrabromo-bisphenol S | 39635-79-5 |
| TBBS-bis-(2,3-dibromo-propyl-ether) | 42757-55-1 |
| 2,4-Dibromo-phenol | 615-58-7 |
| 2,4,6-tribromo-phenol | 118-79-6 |
| Pentabromo-pheno | 1 608-71-9 |
| 2,4,6-Tribromo-phenyl-allyl-ether | 3278-89-5 |



Engineering Specification

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|--|-------------|
| Tribromo-phenyl-allyl-ether, unspecified | 26762-91-4 |
| Bis(2-ethylhexyl)tetrabromo-phthalate | 26040-51-7 |
| 2-Hydroxy-propyl-2-(2-hydroxy-ethoxy)-ethyl-TBP | 20566-35-2 |
| TBPA, glycol-and propylene-oxide esters | 75790-69-1 |
| N,N'-Ethylene -bis-(tetrabromo-phthalimide) | 32588-76-4 |
| Ethylene-bis(5,6-dibromo-norbornane-2,3-dicarboximide) | 52907-07-0 |
| 2,3-Dibromo-2-butene-1,4-diol | 3234-02-4 |
| Dibromo-neopentyl-glycol | 3296-90-0 |
| Dibromo-propanol | 96-13-9 |
| Tribromo-neopentyl-alcohol | 36483-57-5 |
| Poly tribromo-styrene | 57137-10-7 |
| Tribromo-styrene | 61368-34-1 |
| Dibromo-styrene grafted PP | 171091-06-8 |
| Poly-dibromo-styrene | 31780-26-4 |
| Bromo-/Chloro-paraffins | 68955-41-9 |
| Bromo-/Chloro-alpha-olefin | 82600-56-4 |
| Vinylbromide | 593-60-2 |
| Tris-(2,3-dibromo-propyl)-isocyanurate | 52434-90-9 |
| Tris(2,4-Dibromo-phenyl) phosphate | 49690-63-3 |
| Tris(tribromo-neopentyl) phosphate | 19186-97-1 |
| Chlorinated and brominated phosphate ether | 125997-20-8 |
| Pentabromo-toluene | 87-83-2 |
| Pentabromo-benzyl bromide | 38521-51-6 |
| 1,3-Butadiene homopolymer, brominated | 68441-46-3 |
| Pentabromo-benzyl-acrylate, monomer | 59447-55-1 |
| Pentabromo-benzyl-acrylate, polymer | 59447-57-3 |
| Decabromo-diphenyl-ethane | 84852-53-9 |
| Tribromo-bisphenyl-maleinimide | 59789-51-4 |
| Brominated trimethylphenyl-lindane | 59789-51-4 |
| Other Brominated Flame Retardants | - |
| Hexabromo-cyclo-dodecane (HBCD), unspecified | 3194-55-6 |
| Tetrabromo-cyclo-octane | 31454-48-5 |
| 1,2-Dibromo-4-(1,2 dibromo-methyl)-cyclo-hexane | 3322-93-8 |
| TBPA Na salt | 25357-79-3 |
| Tetrabromo phthalic anhydride | 632-79-1 |

Annex W. Magnesium/Magnesium Alloys

| | |
|------------------------|-----------|
| Magnesium | 7439-95-4 |
| Other magnesium alloys | - |

Annex X. Phthalates

| | |
|-------------------------------------|----------|
| Bis (2-ethylhexyl) phthalate (DEHP) | 117-81-7 |
| Dibutylphthalate (DBP) | 84-74-2 |
| Bis(2-methoxyethyl) phthalate (DBP) | 117-82-8 |

Annex Y. Polyvinyl Chloride

| | |
|--------------------------|-----------|
| Polyvinyl chloride (PVC) | 9002-86-2 |
|--------------------------|-----------|

Annex Z. Radioactive Substances

| | |
|-----------|-----------|
| Uranium | 7440-61-6 |
| Plutonium | 7440-07-5 |



Engineering Specification

| | |
|------------------------------|------------|
| Radon | 10043-92-2 |
| Americium | 7440-35-9 |
| Thorium | 7440-29-1 |
| Cesium | 7440-46-2 |
| Strontium | 7440-24-6 |
| Other radioactive substances | - |

Annex AA. Selenium/Selenium Compounds

| | |
|--------------------------|------------|
| Selenium | 7782-49-2 |
| Hydrogen selenide | 7783-07-5 |
| Sodium selenide | 1313-85-5 |
| Selenium dioxide | 7446-08-4 |
| Sodium selenate | 10112-94-4 |
| Dimethyl selenide | 593-79-3 |
| Selenium oxide | 12640-89-0 |
| Other selenium compounds | - |

Annex BB. Tributyl Tin, Triphenyl Tin

| | |
|--|---|
| Tributyltin | 688-73-3 |
| Tributyltin oxide | 56-35-9 |
| Tributyltin benzoate | 4342-36-3 |
| Tributyl tin bromide | 1461-23-0 |
| Tributyltin linoleate | 24124-25-2 |
| Tributyltin methacrylate | 2155-70-6 |
| Triphenyl tin | 668-34-8 |
| Triphenyltin N,N'-dimethyldithiocarbamate | 1803-12-9 |
| Triphenyltin fluoride | 379-52-2 |
| Triphenyltin acetate | 900-95-8 |
| Triphenyltin chloride | 639-58-7 |
| Triphenyltin hydroxide | 76-87-9 |
| Triphenyltin fatty acid salts (C=9-11) | 47672-31-1 |
| Triphenyltin chloroacetate | 7094-94-2 |
| Tributyltin methacrylate | 2155-70-6 |
| Bis(tributyltin) fumarate | 6454-35-9 |
| Tributyltin fluoride | 1983-10-4 |
| Bis(tributyltin) 2,3-dibromosuccinate | 31732-71-5 |
| Tributyltin acetate | 56-36-0 |
| Tributyltin laurate | 3090-36-6 |
| Bis(tributyltin) phthalate | 4782-29-0 |
| Copolymer of alkyl acrylate, methyl methacrylate and tributyltin | |
| methacrylate(alkyl, C=8) | 67772-01-4 |
| Tributyltin sulfamate | 6517-25-5 |
| Bis(tributyltin) maleate | 14275-57-1 |
| Tributyltin chloride | 1461-22-9,7342-38-3 |
| Mixture of tributyltin cyclopentanecarboxylate and its analogs (Tributyltin naphthenate) | - |
| Tributyltin cyclopentane carbonate=mixture | 5409-17-2 |
| Triphenyltin fatty acid ((9-11) salt) | 18380-71-7,18380-72-8,47672-31-1,94850-90-5 |
| Mixture of tributyltin | 26239-64-5 |

| | |
|---|------------|
| 1,2,3,4,4a,4b,5,6,10,10a-decahydro-7-isopropyl-1,4a-dimethyl-1-phenanthrenecarboxylate and its analogs (Tributyltin rosin salt) | |
| Tributyltin naphthenate | 85409-17-2 |
| Other Tributyl Tins & Triphenyl Tins | - |

Annex CC. Polycyclic Aromatic Hydrocarbons

| | |
|-------------------------|----------|
| Acenaphthene | 83-32-9 |
| Acenaphthylene | 208-96-8 |
| Anthracene | 120-12-7 |
| Benzo(a)anthracene | 56-55-3 |
| Benzo(a)pyrene | 50-32-8 |
| Benzo(b)fluoranthene | 205-99-2 |
| Benzo(e)pyrene | 192-97-2 |
| Benzo(g,h,i)perylene | 191-24-2 |
| Benzo(j)fluoranthene | 205-82-3 |
| Benzo(k)fluoranthene | 207-08-9 |
| Chrysene | 218-01-9 |
| Dibenzo(a,h)anthracene | 53-70-3 |
| Fluoranthene | 206-44-0 |
| Fluorene | 86-73-7 |
| Indeno(1,2,3-c,d)pyrene | 193-39-5 |
| Naphthalene | 91-20-3 |
| Phenanthrene | 81-5-8 |
| Pyrene | 129-00-0 |
| | |



Annex DD. SVHC Candidate List (current as of the date of this specification, current list maintained by ECHA at <http://echa.europa.eu/web/guest/candidate-list-table>)

| Substance Name | CAS Number |
|--|------------|
| Imidazolidine-2-thione; (2-imidazoline-2-thiol) | 96-45-7 |
| Dihexyl phthalate | 84-75-3 |
| Cadmium sulphide | 1306-23-6 |
| Disodium 3,3'-[[1,1'-biphenyl]-4,4'-diylbis(azo)]bis(4-aminonaphthalene-1-sulphonate) (C.I. Direct Red 28) | 573-58-0 |
| Disodium 4-amino-3'-[[4'-[(2,4-diaminophenyl)azo][1,1'-biphenyl]-4-yl]azo]-5-hydroxy-6-(phenylazo)naphthalene-2,7-disulphonate (C.I. Direct Black 38) | 1937-37-7 |
| Trixylyl phosphate | 25155-23-1 |
| Lead di(acetate) | 301-04-2 |
| Dipentyl phthalate (DPP) | 131-18-0 |
| Ammonium pentadecafluorooctanoate (APFO) | 3825-26-1 |
| Cadmium oxide | 1306-19-0 |
| 4-Nonylphenol, branched and linear, ethoxylated <i>[substances with a linear and/or branched alkyl chain with a carbon number of 9 covalently bound in position 4 to phenol, ethoxylated covering UVCB- and well-defined substances, polymers and homologues, which include any of the individual isomers and/or combinations thereof]</i> | - |
| Pentadecafluorooctanoic acid (PFOA) | 335-67-1 |



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| Cadmium | 7440-43-9 |
| Hexahydromethylphthalic anhydride [1], Hexahydro-4-methylphthalic anhydride [2], Hexahydro-1-methylphthalic anhydride [3], Hexahydro-3-methylphthalic anhydride [4] <i>[The individual isomers [2], [3] and [4] (including their cis- and trans- stereo isomeric forms) and all possible combinations of the isomers [1] are covered by this entry]</i> | 25550-51-0, 19438-60-9, 48122-14-1, 57110-29-9 |
| Diazene-1,2-dicarboxamide (C,C'-azodi(formamide)) | 123-77-3 |
| 6-methoxy-m-toluidine (p-cresidine) | 120-71-8 |
| Dinoseb (6-sec-butyl-2,4-dinitrophenol) | 88-85-7 |
| Pentalead tetraoxide sulphate | 12065-90-6 |
| Silicic acid, lead salt | 11120-22-2 |
| 4,4'-oxydianiline and its salts | 101-80-4 |
| 1-bromopropane (n-propyl bromide) | 106-94-5 |
| Furan | 110-00-9 |
| Lead bis(tetrafluoroborate) | 13814-96-5 |
| Diethyl sulphate | 64-67-5 |
| N-pentyl-isopentylphthalate | 776297-69-9 |
| o-aminoazotoluene | 97-56-3 |
| Lead cyanamidate | 20837-86-9 |
| Tetralead trioxide sulphate | 12202-17-4 |
| o-Toluidine | 95-53-4 |
| Dioxobis(stearato)trilead | 12578-12-0 |
| Silicic acid (H ₂ SiO ₅), barium salt (1:1), lead-doped <i>[with lead (Pb) content above the applicable generic concentration limit for ΓÇÖtoxicity for reproductionΓÇÖ Repr. 1A (CLP) or category 1 (DSD); the substance is a member of the group entry of lead compounds, with index number 082-001-00-6 in Regulation (EC) No 1272/2008]</i> | 68784-75-8 |
| 4,4'-methylenedi-o-toluidine | 838-88-0 |
| Bis(pentabromophenyl) ether (decabromodiphenyl ether; DecaBDE) | 1163-19-5 |
| N,N-dimethylformamide | 68-12-2 |
| 4-Aminoazobenzene | 60-09-3 |
| N-methylacetamide | 79-16-3 |
| Heptacosafuorotetradecanoic acid | 376-06-7 |
| 3-ethyl-2-methyl-2-(3-methylbutyl)-1,3-oxazolidine | 143860-04-2 |
| Pentacosafuorotridecanoic acid | 72629-94-8 |
| Tetraethyllead | 78-00-2 |
| Trilead dioxide phosphonate | 12141-20-7 |
| Lead monoxide (lead oxide) | 1317-36-8 |
| Acetic acid, lead salt, basic | 51404-69-4 |
| Dibutyltin dichloride (DBTC) | 683-18-1 |
| Lead dinitrate | 10099-74-8 |
| Methoxyacetic acid | 625-45-6 |
| 4-(1,1,3,3-tetramethylbutyl)phenol, ethoxylated <i>[covering well-defined substances and UVCB substances, polymers and homologues]</i> | - |
| Pyrochlore, antimony lead yellow | 8012-00-8 |
| Lead titanium trioxide | 12060-00-3 |
| 1,2-Benzenedicarboxylic acid, dipentylester, branched and linear | 84777-06-0 |
| Methyloxirane (Propylene oxide) | 75-56-9 |
| Cyclohexane-1,2-dicarboxylic anhydride [1], cis-cyclohexane-1,2-dicarboxylic anhydride [2], trans-cyclohexane-1,2-dicarboxylic anhydride [3] <i>[The individual cis- [2] and trans- [3] isomer substances and all possible combinations of the cis- and trans-isomers [1] are covered by this entry]</i> | 85-42-7, 13149-00-3, 14166-21-3 |
| Fatty acids, C16-18, lead salts | 91031-62-8 |
| Dimethyl sulphate | 77-78-1 |

| | |
|--|------------|
| 4-Nonylphenol, branched and linear <i>[substances with a linear and/or branched alkyl chain with a carbon number of 9 covalently bound in position 4 to phenol, covering also UVCB- and well-defined substances which include any of the individual isomers or a combination thereof]</i> | - |
| Biphenyl-4-ylamine | 92-67-1 |
| 1,2-Diethoxyethane | 629-14-1 |
| Sulfurous acid, lead salt, dibasic | 62229-08-7 |
| [Phthalato(2-)]dioxotrilead | 69011-06-9 |
| Tricosafuorododecanoic acid | 307-55-1 |
| Lead oxide sulfate | 12036-76-9 |
| Diisopentylphthalate | 605-50-5 |
| Orange lead (lead tetroxide) | 1314-41-6 |
| Lead titanium zirconium oxide | 12626-81-2 |
| 4-methyl-m-phenylenediamine (toluene-2,4-diamine) | 95-80-7 |
| Henicosafuoroundecanoic acid | 2058-94-8 |
| Trilead bis(carbonate)dihydroxide | 1319-46-6 |
| Formamide | 75-12-7 |
| 1,2-bis(2-methoxyethoxy)ethane (TEGDME; triglyme) | 112-49-2 |
|  Bis[4-(dimethylamino)phenyl]-4 (phenylamino)naphthalene-1-methanol (C.I. Solvent Blue 4) [with ΓēÑ 0.1% of Michler's ketone (EC No. 202-027-5) or Michler's base (EC No. 202-959-2)] | 6786-83-0 |
| 1,3,5-tris[(2S and 2R)-2,3-epoxypropyl]-1,3,5-triazine-2,4,6-(1H,3H,5H)-trione ( TGIC) | 59653-74-6 |
| 4,4'-bis(dimethylamino)benzophenone (MichlerΓÇÖs ketone) | 90-94-8 |
| 1,3,5-Tris(oxiran-2-ylmethyl)-1,3,5-triazine-2,4,6-trione (TGIC) | 2451-62-9 |
| N,N,N',N'-tetramethyl-4,4'-methylenedianiline (MichlerΓÇÖs base) | 101-61-1 |
| [4-[[4-anilino-1-naphthyl][4-(dimethylamino)phenyl]methylene]cyclohexa-2,5-dien-1-ylidene] dimethylammonium chloride (C.I. Basic Blue 26) [with ΓēÑ 0.1% of Michler's ketone (EC No. 202-027-5) or Michler's base (EC No. 202-959-2)] | 2580-56-5 |
| [4-[4,4'-bis(dimethylamino)benzhydrylidene]cyclohexa-2,5-dien-1-ylidene]dimethylammonium chloride (C.I. Basic Violet 3) [with ΓēÑ 0.1% of Michler's ketone (EC No. 202-027-5) or Michler's base (EC No. 202-959-2)] | 548-62-9 |
| 1,2-dimethoxyethane; ethylene glycol dimethyl ether (EGDME) | 110-71-4 |
| 4,4'-bis(dimethylamino)-4''-(methylamino)trityl alcohol [with ΓēÑ 0.1% of Michler's ketone (EC No. 202-027-5) or Michler's base (EC No. 202-959-2)] | 561-41-1 |
| Lead(II) bis(methanesulfonate) | 17570-76-2 |
| Diboron trioxide | 1303-86-2 |
| Lead diazide, Lead azide | 13424-46-9 |
| Calcium arsenate | 7778-44-1 |
| Bis(2-methoxyethyl) phthalate | 117-82-8 |
| Arsenic acid | 7778-39-4 |
| Lead dipicrate | 6477-64-1 |
| Potassium hydroxyoctaoxodizincatedichromate | 11103-86-9 |
| Phenolphthalein | 77-09-8 |
| Bis(2-methoxyethyl) ether | 111-96-6 |
| Pentazine chromate octahydroxide | 49663-84-5 |
| Zirconia Aluminosilicate Refractory Ceramic Fibres<i> are fibres covered by index number 650-017-00-8 in Annex VI, part 3, table 3.1 of Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, and fulfil the three following conditions: a) oxides of aluminium, silicon and zirconium are the main components present (in the fibres) within variable concentration ranges b) fibres have a length weighted geometric mean diameter | - |



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| less two standard geometric errors of 6 or less micrometres (\sqrt{d} m). c) alkaline oxide and alkali earth oxide ($\text{Na}_2\text{O}+\text{K}_2\text{O}+\text{CaO}+\text{MgO}+\text{BaO}$) content less or equal to 18% by weight</i> | |
| Trilead diarsenate | 3687-31-8 |
| Dichromium tris(chromate) | 24613-89-6 |
| Formaldehyde, oligomeric reaction products with aniline | 25214-70-4 |
| 1,2-dichloroethane | 107-06-2 |
| 4-(1,1,3,3-tetramethylbutyl)phenol | 140-66-9 |
| Lead styphnate | 15245-44-0 |
| 2,2'-dichloro-4,4'-methylenedianiline | 101-14-4 |
| 2-Methoxyaniline; o-Anisidine | 90-04-0 |
| Aluminosilicate Refractory Ceramic Fibres <i>are fibres covered by index number 650-017-00-8 in Annex VI, part 3, table 3.1 of Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, and fulfil the three following conditions: a) oxides of aluminium and silicon are the main components present (in the fibres) within variable concentration ranges b) fibres have a length weighted geometric mean diameter less two standard geometric errors of 6 or less micrometres (\sqrt{d} m) c) alkaline oxide and alkali earth oxide ($\text{Na}_2\text{O}+\text{K}_2\text{O}+\text{CaO}+\text{MgO}+\text{BaO}$) content less or equal to 18% by weight</i> | - |
| N,N-dimethylacetamide | 127-19-5 |
| Cobalt dichloride | 7646-79-9 |
| 1,2-Benzenedicarboxylic acid, di-C6-8-branched alkyl esters, C7-rich | 71888-89-6 |
| Strontium chromate | 7789-06-2 |
| 2-Ethoxyethyl acetate | 111-15-9 |
| 1,2,3-Trichloropropane | 96-18-4 |
| Hydrazine | 302-01-2, 7803-57-8 |
| 1-Methyl-2-pyrrolidone | 872-50-4 |
| 1,2-Benzenedicarboxylic acid, di-C7-11-branched and linear alkyl esters | 68515-42-4 |
| Acids generated from chromium trioxide and their oligomers. Names of the acids and their oligomers: Chromic acid, Dichromic acid, Oligomers of chromic acid and dichromic acid. | 7738-94-5, 13530-68-2 |
| 2-Ethoxyethanol | 110-80-5 |
| Cobalt(II) diacetate | 71-48-7 |
| 2-Methoxyethanol | 109-86-4 |
| Chromium trioxide | 1333-82-0 |
| Cobalt(II) sulphate | 10124-43-3 |
| Cobalt(II) carbonate | 513-79-1 |
| Cobalt(II) dinitrate | 10141-05-6 |
| Potassium chromate | 7789-00-6 |
| Ammonium dichromate | 7789-09-5 |
| Disodium tetraborate, anhydrous | 1303-96-4, 1330-43-4, 12179-04-3 |
| Sodium chromate | 7775-11-3 |
| Potassium dichromate | 7778-50-9 |
| Boric acid | 10043-35-3, 11113-50-1 |
| Trichloroethylene | 79-01-6 |
| Tetraboron disodium heptaoxide, hydrate | 12267-73-1 |
| Acrylamide | 79-06-1 |
| Anthracene oil, anthracene paste, anthracene fraction | 91995-15-2 |
| Anthracene oil, anthracene-low | 90640-82-7 |
| Diisobutyl phthalate | 84-69-5 |
| Anthracene oil, anthracene paste | 90640-81-6 |



Engineering Specification

| | |
|---|---|
| Tris(2-chloroethyl)phosphate | 115-96-8 |
| Lead chromate | 7758-97-6 |
| Lead sulfochromate yellow (C.I. Pigment Yellow 34) | 1344-37-2 |
| Pitch, coal tar, high temp. | 65996-93-2 |
| Anthracene oil, anthracene paste, distn. lights | 91995-17-4 |
| Anthracene oil | 90640-80-5 |
| 2,4-Dinitrotoluene | 121-14-2 |
| Lead chromate molybdate sulphate red (C.I. Pigment Red 104) | 12656-85-8 |
| Bis (2-ethylhexyl)phthalate (DEHP) | 117-81-7 |
| Alkanes, C10-13, chloro (Short Chain Chlorinated Paraffins) | 85535-84-8 |
| Lead hydrogen arsenate | 7784-40-9 |
| Bis(tributyltin)oxide (TBTO) | 56-35-9 |
| 4,4'- Diaminodiphenylmethane (MDA) | 101-77-9 |
| Sodium dichromate | 7789-12-0, 10588-01-9 |
| 5-tert-butyl-2,4,6-trinitro-m-xylene (musk xylene) | 81-15-2 |
| Benzyl butyl phthalate (BBP) | 85-68-7 |
| Diarsenic trioxide | 1327-53-3 |
| Triethyl arsenate | 15606-95-8 |
| Diarsenic pentaoxide | 1303-28-2 |
| Anthracene | 120-12-7 |
| Dibutyl phthalate (DBP) | 84-74-2 |
| Hexabromocyclododecane (HBCDD) and all major diastereoisomers identified: Alpha-hexabromocyclododecane Beta-hexabromocyclododecane Gamma-hexabromocyclododecane | 25637-99-4, 3194-55-6 (134237-50-6) (134237-51-7) (134237-52-8) |
| Cadmium chloride | 10108-64-2 |
| 1,2-Benzenedicarboxylic acid, dihexyl ester, branched and linear | 68515-50-4 |
| Sodium perborate; perboric acid, sodium salt | Not available |
| Sodium peroxometaborate | 7632-04-4 |

Annex EE. List of substances subject to REACH Authorisation (current as of the date of this specification, current list maintained in the latest REACH regulation and its amendments) PROHIBITED AT OR ABOVE 0.1% WEIGHT BY WEIGHT OF THE ARTICLE

| | |
|---|--|
| 1,2-dichloroethane (EDC) | 107-06-2 |
| 2,2'-dichloro-4,4'-methylenedianiline (MOCA) | 101-14-4 |
| 2,4-dinitrotoluene (2,4-DNT) | 121-14-2 |
| 4,4'- Diaminodiphenylmethane (MDA) | 101-77-9 |
| 5-tert-butyl-2,4,6-trinitro-m-xylene (Musk xylene) | 81-15-2 |
| Acids generated from chromium trioxide and their oligomers Group containing: Chromic acid Dichromic acid Oligomers of chromic acid and dichromic acid | 7738-94-5 13530-68-2 Not yet assigned |
| Chromic acid | 13530-68-2, 7738-94-5 |
| Dichromic acid | 13530-68-2, 7738-94-5 |
| Ammonium dichromate | 7789-09-5 |
| Arsenic acid | 7778-39-4 |
| Benzyl butyl phthalate (BBP) | 85-68-7 |



Engineering Specification

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|---|--------------------------|
| Bis(2-ethylhexyl) phthalate (DEHP) | 117-81-7 |
| Bis(2-methoxyethyl) ether | 111-96-6 |
| Chromium trioxide | 1333-82-0 |
| Diarsenic pentaoxide | 1303-28-2 |
| Diarsenic trioxide | 1327-53-3 |
| Dibutyl phthalate (DBP) | 84-74-2 |
| Dichromium tris(chromate) | 24613-89-6 |
| Diisobutyl phthalate (DIBP) | 84-69-5 |
| Formaldehyde, oligomeric reaction products with aniline | 25214-70-4 |
| Hexabromocyclododecane (HBCDD) | 3194-55-6, 25637-99-4 |
| alpha-hexabromocyclododecane | 134237-50-6 |
| beta-hexabromocyclododecane | 134237-51-7 |
| gamma-hexabromocyclododecane | 134237-52-8 |
| 1,2,5,6,9,10-hexabromocyclododecane | 3194-55-6 |
| gamma-hexabromocyclododecane | 134237-52-8 |
| Hexabromocyclododecane | 25637-99-4 |
| alpha-hexabromocyclododecane | 134237-50-6 |
| beta-hexabromocyclododecane | 134237-51-7 |
| Lead chromate | 7758-97-6 |
| Lead chromate molybdate sulfate red | 12656-85-8 |
| Lead sulfochromate yellow | 1344-37-2 |
| Pentazine chromate octahydroxide | 49663-84-5 |
| Potassium chromate | 7789-00-6 |
| Potassium dichromate | 7778-50-9 |
| Potassium hydroxyoctaoxidizincatedichromate | 11103-86-9 |
| Sodium chromate | 7775-11-3 |
| Sodium dichromate | 10588-01-9, 7789-12-0 |
| Strontium chromate | 7789-06-2 |
| Trichloroethylene | 79-01-6 |
| Tris(2-chloroethyl) phosphate | 115-96-8 |

Annex FF. Hydrofluorocarbons (HFCs)

| | |
|---|-------------|
| Trifluoromethane (HFC-23) | 75-46-7 |
| Difluoromethane (HFC-32) | 75-10-5 |
| Fluoromethane (HFC-41) | 593-53-3 |
| 1,1,1,2,3,4,4,5,5,5-decafluoropentane (HFC-43-10) | 138495-42-8 |
| 2H, 3H-Decafluoropentane (HFC-43-10mee) | 138495-42-8 |
| Pentafluoroethane (HFC-125) | 354-33-6 |
| 1,1,2,2-tetrafluoroethane (HFC-134) | 359-35-3 |
| 1,1,1,2-tetrafluoroethane (HFC-134a) | 811-97-2 |
| 1,1,2-trifluoroethane (HFC-143) | 430-66-0 |
| 1,1,1-trifluoroethane (HFC-143a) | 420-46-2 |
| 1,2-Difluoroethane (HFC-152) | 624-72-6 |
| 1,1-Difluoroethane (HFC-152a) | 75-37-6 |



Engineering Specification

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|--|------------|
| Monofluoroethane (Ethyl fluoride) (HFC-161) | 353-36-6 |
| 1,1,1,2,3,3,3-heptafluoropropane (HFC-227ca) | 431-89-0 |
| 1,1,1,2,2,3,3-heptafluoropropane (HFC-227ca) | 2252-84-8 |
| 1,1,2,2,3,3-hexafluoropropane (HFC-236ca) | 27070-61-7 |
| 1,1,1,2,2,3-hexafluoropropane (HFC-236cb) | 677-56-5 |
| 1,1,1,2,3,3-hexafluoropropane (HFC-236ea) | 431-63-0 |
| 1,1,1,3,3,3-hexafluoropropane (HFC-236fa) | 690-39-1 |
| 1,1,2,2,3-pentafluoropropane (HFC-245ce) | 679-86-7 |
| 1,1,1,3,3-pentafluoropropane (HFC-245fa) | 460-73-1 |
| 1,1,1,3,3-pentafluorobutane (HFC-365mfc) | 406-58-6 |
| Heptafluorocyclopentane (HFC-c-447ef) | 15290-77-4 |

Annex GG. Nonylphenols

| | |
|------------------------------------|--------------|
| Nonylphenol | 25154-52-3 |
| p-nonyl-phenol | 104-40-5 |
| 4-nonyl-phenol, branched= | 84852-15-3 |
| Nonylphenol, branched | 90481-04-2 |
| Isononylphenol | 11066-49-2 |
| p-Isononylphenol | 26543-97-5 |
| p-(Nonan-2-yl)phenol | 17404-66-9 |
| p-(2-Methyloctan-2-yl) phenol | 30784-30-6 |
| 4-(3-Methyloctan-3-yl) phenol | 52427-13-1 |
| o-Nonylphenol | 136-83-4 |
| o-Isononylphenol | 27938-31-4 |
| Phenol, 2-nonyl-, branched | 91672-41-2 |
| m-Nonylphenol | 139-84-4 |
| Neononylphenol | 1196678-78-0 |
| 4-(3,5-Dimethylheptan-3-yl) phenol | 186825-36-5 |
| 4-(3,6-Dimethylheptan-3-yl)phenol | 142731-63-3 |
| 2-(Nonan-2-yl) phenol | 17404-45-4 |
| Phenol, 2-tert-nonyl- | 89585-68-2 |
| Phenol, sec-nonyl- | 97372-03-7 |
| Phenol, 4-tert-nonyl- | 58865-77-3 |
| Phenol, o-sec-nonyl- | 27214-48-8 |
| Phenol, p-sec-nonyl- | 27072-91-9 |

Annex HH. Perchlorates

| | |
|-----------------------|------------|
| Ammonium perchlorate | 7790-98-9 |
| Lithium perchlorate | 7791-03-9 |
| Potassium perchlorate | 7778-74-7 |
| Sodium perchlorate | 7601-89-0 |
| Barium perchlorate | 13465-95-7 |
| Lead perchlorate | 13637-76-8 |
| Magnesium perchlorate | 10034-81-8 |
| Nickel perchlorate | 13637-71-3 |



Engineering Specification

Annex II. Perfluorooctyl acid (PFOA) and salts

(for a more comprehensive list of PFOA CAS numbers see OECD

<http://search.oecd.org/officialdocuments/displaydocumentpdf/?cote=env/jm/mono%282006%2915&doclanguage=en>

| | |
|---|------------|
| Pentadecafluorooctanoic acid | 335-67-1 |
| 2,2,3,3,4,4,5,5,6,6,7,7,8,8,8-penta-deca-fluoro-octanoic acid, sodium salt | 335-95-5 |
| 2,2,3,3,4,4,5,5,6,6,7,7,8,8,8-penta-deca-fluoro-octanoic acid, potassium salt | 2395-00-8 |
| 2,2,3,3,4,4,5,5,6,6,7,7,8,8,8-penta-deca-fluoro-octanoic acid, silver salt | 335-93-3 |
| Acid Fluoride of PFOA | 335-66-0 |
| Methyl ester of PFOA | 376-27-2 |
| Ethyl ester of PFOA | 3108-24-5 |
| 2,2,3,3,4,4,5,5,6,6,7,7,8,8,8-penta-deca-fluoro-octanoic acid, ammonium salt | 3825-26-1 |
| Octanoic acid, 2,2,3,3,4,4,5,5,6,6,7,7,8,8,8-pentadecafluoro-, chromium(3+) | 68141-02-6 |
| Ethanaminium, N,N,N-triethyl-, salt with pentadecafluorooctanoic acid (1:1) | 98241-25-9 |

Annex JJ. Perfluorinated compounds

| | |
|---|---------------|
| Perfluoropolymethylisopropyl-ether (PFPMIE) | Not available |
| Trifluoromethyl sulphur pentafluoride | Not available |
| Nitrogen trifluoride | 7783-54-2 |
| Perfluorocyclopropane | Not available |

Annex KK. Toluene Diisocyanate (this list is all inclusive)

| | |
|---|------------|
| Toluene diisocyanate trimer | 9019-85-6 |
| Poly(toluene diisocyanate) | 9017-01-0 |
| Toluene diisocyanate dimer | 26747-90-0 |
| Toluene diisocyanate "cyclic" trimer | 26603-40-7 |
| 2,6-Toluene diisocyanate Note - reportable except for use in coatings, adhesives, elastomers, binders, and sealants at less than or equal to 0.1% in a Consumer Product (defined as a chemical substance that is directly, or as part of a mixture, sold or made available to consumers for their use in or around a permanent or temporary household or residence, in or around a school, or in recreation. [Source: US Code of Federal Regulations Title 40 Part 721.3 Subpart A]) | 91-08-7 |
| 2,4-Toluene diisocyanate Note - reportable except for use in coatings, adhesives, elastomers, binders, and sealants at less than or equal to 0.1% in a Consumer Product (defined as a chemical substance that is directly, or as part of a mixture, sold or made available to consumers for their use in or around a permanent or temporary household or residence, in or around a school, or in recreation. [Source: US Code of Federal Regulations Title 40 Part 721.3 Subpart A]) | 584-84-9 |
| Toluene diisocyanate unspecified isomer Note - reportable except for use in coatings, adhesives, elastomers, binders, and sealants at less than or equal to 0.1% in a Consumer Product (defined as a chemical substance that is directly, or as part of a mixture, sold or made available to consumers for their use in or around a permanent or temporary household or residence, in or around a school, or in recreation. [Source: US Code of Federal Regulations Title 40 Part 721.3 Subpart A]) | 26471-62-5 |



Engineering Specification

Annex LL. Nonylphenol Ethoxylates

| | |
|--|------------|
| Ethanol, 2-[2-(4-nonylphenoxy)ethoxy]- | 20427-84-3 |
| Poly(oxy-1,2-ethanediyl), α -(4-nonylphenyl)- ω -hydroxy- | 26027-38-3 |
| 3,6,9,12,15,18,21-Heptaotricosan-1-ol, 23- (nonylphenoxy)- | 27177-05-5 |
| 3,6,9,12,15,18,21,24,27-Nonaoxononacosan-1- ol, 29-(nonylphenoxy)- | 27177-08-8 |
| Ethanol, 2-(nonylphenoxy)- | 27986-36-3 |
| Ethanol, 2-[2-[2-(4- nonylphenoxy)ethoxy] ethoxy]ethoxy]- | 7311-27-5 |
| Poly(oxy-1,2-ethanediyl), α (nonylphenyl)- ω -hydroxy- | 9016-45-9 |
| Ethanol, 2-[2-(nonylphenoxy)ethoxy]- | 27176-93-8 |
| Poly(oxy-1,2-ethanediyl), α -(2- nonylphenyl)- ω -hydroxy- | 51938-25-1 |
| Poly(oxy-1,2-ethanediyl), α -(isononylphenyl)- ω -hydroxy- | 37205-87-1 |
| 3,6,9,12,15,18,21,24-Octaoxahexacosan-1-ol, 26-(nonylphenoxy)- | 26571-11-9 |